

European Plan for Aviation Safety 2020-2024 DRAFT

(cover page - reserved)



Foreword by the Strategy and Safety Management Director

I am delighted to introduce the draft European Plan for Aviation Safety (EPAS) 2020-2024 for your review and comments.

This year significant efforts have been made to anticipate the draft EPAS delivery to May, allowing consultation prior to the summer holiday season.

This is certainly not the only novelty: While the Agency strategic priorities and enablers remain essentially unchanged, significant improvements have been introduced in processes and objectives:

First, the action prioritisation process was substantially revised in line with Better Regulation principles, with the introduction of the Best Intervention Strategy; ensuring proper prioritisation of the many EPAS inputs. This has now become the standard approach for any new activities.

Next, a new structure is proposed for Volume II to facilitate the reading and clarify the link with the key risk areas identified through the European Safety Risk Management process.

During 2018 a decision was made to 'cool-down' in terms of rulemaking output to concentrate on catching up with existing tasks and resorb the backlog of rulemaking proposals pending adoption. At the same time, new tasks and projects stemming from the New Basic Regulation needed to be accommodated within the existing capacities. This situation led to establishing clear priorities for 2019-2021 and a list of rulemaking projects that were de-prioritised. Out of that list seven projects are now proposed to be resumed.

The General Aviation Roadmap is now twinned by the Rotorcraft Safety Roadmap, launched in November 2018, aimed at targeting significant safety improvements for rotorcraft.

And while the current planning concerning ATM/ANS mostly addresses "traditional" activities (e.g. alignment with ICAO SARPs), we are already considering how to accommodate new products, systems, technologies, concepts for operation, and new business models, such as new air mobility, single pilot and remote pilot operations, autonomous flights, together with their opportunities and potential risks.

Finally, the new draft EPAS includes a number of actions in the area of environmental protection and sustainability, grouped in a dedicated chapter. The growing importance of environmental matters, underlined by our commitment to CORSIA and the implementation of ICAO standards, shall be fully recognised and exploited.

We look forward to your comments on this draft EPAS 2020-2024.

Luc Tytgat Strategy and Safety Management Director



European Plan for Aviation Safety (EPAS) 2020-2024 including the Rulemaking and Safety Promotion Programmes Draft for Advisory Bodies' consultation

European Union Aviation Safety Agency, 21st May 2019



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Executive Summary

Volume I



1 Executive Summary

The European Plan for Aviation Safety (EPAS) is built on a proactive approach to support the future growth of aviation while securing a high and uniform level of safety and environmental protection for all Member States. This proactive approach allows the European Commission, the European Union Aviation Safety Agency and the Member States to take the necessary actions at the right time in order to prioritise risks to be managed, facing the challenges posed by the increasing complexity and continued growth in civil aviation. EPAS addresses the key risk areas identified through the European risk management process, thereby providing a European framework to support and encourage the implementation of safety plans at Member State level.

The proactive approach underlying EPAS is expected to evolve towards a more integrated approach, supporting the management of different types of risks (safety, security, capacity, and environment) across the total aviation system. EASA initiated discussion with its Advisory Bodies to assess how integrated risk management may support the European Union Aviation Strategy in the future.

Draft EPAS 2020-2024 is consistent with the goals and objectives of the 2020-2022 Global Aviation Safety Plan¹ to enhance the level of safety in aviation and to support Member States in fostering mature safety management capabilities as part of their State Safety Programmes. While related investigations are still ongoing, the tragic accidents occurred in Ethiopia and Russia remind us of the need to carefully plan for aviation safety. In particular, we will need to continue our efforts in areas such as pilot training, equipment design and operational procedures. The lessons learned from these and other aviation occurrences are continuously incorporated into the EPAS.

The overall safety objective is to achieve constant safety improvement with a growing aviation industry. In the area of Air Traffic Management, the performance ambitions adopted with the ATM Master Plan² reflect this overall objective.

In the area of environmental protection this draft EPAS edition reflects the expectations of the travelling public for aviation to take greater responsibility to minimise its environmental footprint, while providing safe air transport. Three new research projects are included in this area. Moreover, it is key to have environmental requirements that are consistent with the rest of the world, to ensure a level playing field. A related objective is to support European industry to prepare for and adapt to the effects of climate change.

Data and information sources feeding EPAS include not only occurrence data (feeding the domain safety risk portfolios), but also oversight and standardisation data and related information (feeding the Standardisation Annual Report), as well as the ATM Master Plan.

¹ <u>https://www.icao.int/safety/Pages/GASP.aspx</u>. The GASP 2020-2022 will be published following its endorsement at the 40th ICAO General Assembly (24 September – 4 October 2019)

² The ATM Master Plan (<u>https://www.atmmasterplan.eu</u>) is developed by the Single European Sky ATM Research (SESAR) Joint Undertaking (SJU) and is adopted by the SJU governing bodies. It provides an integrated view of the European ATM system outlining the essential operational and technological changes required to deliver the SESAR contributions to the Single European Sky performance objectives.



The draft EPAS 2020-2024 edition comprises two distinct volumes:

- Volume I provides the executive summary as well as an introduction, describes the strategy and includes the key indicators. It consists of Chapters 1 to 4.
- Volume II contains the EPAS actions, including details for each of those. It consists of Chapters 5 to 16, with each corresponding to an aviation domain (1 systemic and 15 operational). This new structure provides a clearer link with the safety risk portfolios (Annual Safety Review) and facilitates the identification of actions relevant for different stakeholder groups.

Strategic priorities are described in **Section 3.1.** The strategic priorities are stable compared to the previous edition. The strategic priority *'safe integration of new technologies and concepts'* is strengthened to support the safe, secure and environmental friendly implementation of new business models and new technologies. A new Rulemaking task will be initiated to develop rules and amend existing ones to address new technologies and operational air transport concepts (RMT.0731 'New Air Mobility').

In **Chapter 4 Performance** data needs for the process indicator 'SMS effectiveness' are further specified. These safety performance indicators do not override those established under the Single European Sky ATM Performance Scheme³.

This draft EPAS edition reflects the near-term priorities agreed in 2018 for the period 2019-2021, it encompasses **201 actions**. The majority of actions are Rulemaking tasks (65.2 %), followed by Safety Promotion tasks (13.4%). Half of these actions are strategic, meaning they are linked to the areas highlighted in Chapter 3. Key indicators in terms of EPAS actions are included in **Section 4.1**.

Among the 201 EPAS actions **35 are expected to be completed in the course of 2019.** With most of those being Rulemaking tasks, the backlog continues to be resorbed. Finally, this draft EPAS proposes **13 new actions**, including the new Rulemaking task addressing 'New Air Mobility', 5 new Evaluation tasks to assess existing regulations/safety initiatives and 2 new actions to address English Language Proficiency. An overview of all new actions is included in **Appendix C**: New actions, deleted actions and negative priorities overview.

³ <u>Commission Implementing Regulation (EU) 2019/317</u>



Introduction

2 Introduction

The European Aviation Safety Programme (EASP) defines the aviation safety framework at European level. The EASP functionally corresponds, at EU level, to the State Safety Programme as described in ICAO Annex 19 'Safety Management'. It is prepared jointly by the EC and EASA and describes the processes, roles and responsibilities of the different actors and lays down general principles for European safety management, including safety action planning.

The objective of the EASP is to ensure that the system for the management of aviation safety in the European Union delivers the highest level of safety performance, uniformly enjoyed across the whole Union, and continuing to improve over time, while taking into account other relevant objectives such as environmental protection.

In December 2015, the EC issued the second edition of the European Aviation Safety Programme⁴. This edition took into consideration the legislative changes occurred since 2011 as well as the evolution of safety management in all areas. In addition, it strengthened safety promotion at EU level and described the process to update and develop EPAS, giving it a truly European dimension.

In addition to being developed in accordance with the processes, roles and responsibilities described in the EASP, EPAS considers the ICAO global plans in the area of aviation safety and air navigation and ensures alignment with the SES ATM Master Plan.

2.1 The Global Aviation Safety Plan (GASP)

EPAS supports the objectives and priorities of the GASP. The purpose of the GASP is to continually reduce fatalities, and the risk of fatalities, by guiding the development of a harmonised aviation safety strategy and the development and implementation of regional and national aviation safety plans. A safe aviation system contributes to the economic development of States and their industries. The GASP promotes the implementation of a State's safety oversight system, a risk-based approach to managing safety as well as a coordinated approach to collaboration between States, regions and industry. One of the GASP goals is for States to improve their effective safety oversight capabilities and to progress in the implementation of State Safety Programmes (SSPs). Thus, GASP calls for States to put in place robust and sustainable safety oversight systems that should progressively evolve into more sophisticated means of managing safety. These objectives are mainly addressed in EPAS **Chapter 5** Systemic Safety.

In addition to addressing systemic safety, the GASP addresses high-risk categories of occurrences, which are deemed global safety priorities. These categories were determined based on actual fatalities from past accidents, high fatality risk per accident or the number of accidents and incidents. The following high risk categories have been identified for the 2020-2022 edition of the GASP:

- controlled flight into terrain;
- loss of control in-flight;
- mid-air collision;
- runway excursion; and
- runway incursion.

^{4 &}lt;u>https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:52015DC0599</u>

These are consistent with the Key Risk Areas identified through the European Risk Management Process The GASP global priorities are addressed in the following **Sections**: **6.1.1.1 Aircraft upset in flight (LOC-I)**, **0 Runway Safety** and **6.1.1.4 Terrain Collision**.

Since 2017 the ICAO Regional Office for the EUR/NAT region and EASA have been working together to develop a Regional Aviation Safety Plan (RASP) based on EPAS, thus allowing all States that are part of the EUR/NAT region to benefit from this approach. The aim of the RASP is to facilitate the achievement of the GASP goals at a regional level. The Regional Aviation Safety Group (RASG)-EUR is the main body to monitor the EUR RASP implementation and to collect feedback from stakeholders with the assistance of ICAO and EASA. The first EUR RASP covering the period 2019-2023⁵ was issued on 31.01.2019 following endorsement at the combined meeting of the coordination groups of the European Air Navigation Planning Group (EANPG) and RASG – EUR region (RASG-EUR) of ICAO. This makes EUR-NAT the first ICAO region having adopted its Regional Aviation Safety Plan.

2.2 The ATM Master Plan and GANP

Article 93 (c) of Regulation (EU) 2018/1139⁶ (hereafter referred to as Basic Regulation (BR)), stipulates that 'The Agency shall, where it has the relevant expertise and upon request, provide technical assistance to the Commission, in the implementation of the Single European Sky, in particular by contributing to the implementation of the ATM Master Plan (MP), including the development and deployment of the SESAR programme.'

The **ATM MP** is the European planning tool for setting ATM priorities. The ATM MP enables that the Single European Sky ATM Research (SESAR) 'Target Concept', which is aligned with the ICAO's Global Air Navigation Plan (GANP)⁷, becomes a reality. The SESAR 'Target Concept' aims at achieving a high-performing ATM system by enabling airspace users to fly their optimum trajectories through effective sharing of information between air and ground. The ATM MP is evolving and is built in collaboration with and for the benefit of all ATM stakeholders. The ATM MP also provides stakeholders with a business view of what deployment will mean in terms of return on investment.

The alignment between EPAS and the ATM MP requires two actions. Firstly, that the ATM MP identifies solutions that can mitigate related safety risks identified by the European aviation safety system, and secondly that EPAS makes references to those solutions from the ATM MP that are actually mitigating those identified safety risks.

This alignment is now ensured as follows⁸:

- Volume I is in line with the ATM MP Level 1 (Executive View), Edition 2019; and
- Volume II is aligned with the ATM MP Level 3, draft Edition 2019, and includes references to those existing solutions in the ATM MP that aim to mitigate existing safety risks.

⁵ <u>https://www.icao.int/EURNAT/EUR%20and%20NAT%20Documents/EUR/EUR%20RASP/EUR%20RASP%202019-2023.pdf</u>

⁶ REGULATION (EU) 2018/1139 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 4 July 2018 on common rules in the field of civil aviation and establishing a European Union Aviation Safety Agency, and amending Regulations (EC) No 2111/2005, (EC) No 1008/2008, (EU) No 996/2010, (EU) No 376/2014 and Directives 2014/30/EU and 2014/53/EU of the European Parliament and of the Council, and repealing Regulations (EC) No 552/2004 and (EC) No 216/2008 of the European Parliament and of the Council and Council Regulation (EEC) No 3922/91

⁷ <u>https://www.icao.int/airnavigation/pages/ganp-resources.aspx</u>

⁸ The correspondence between this edition of EPAS and the ATM MP actions is labelled in each applicable EPAS action in Volume II.



Future versions of both documents will mature in line with this alignment concept. For future editions, it is also envisaged to evolve to further align in terms of environment and interoperability of ATM systems.

Future versions of both documents will also need to consider the recommendations stemming from the Report of the Wise Persons Group on the Future of the Single European Sky⁹ and the Proposal for the Future Architecture of the European Airspace¹⁰. The Wise Persons Group developed a set of ten recommendations on the future of the Single European Sky to enable additional ATM Capacity in Europe, to be provided in a flexible and scalable manner, at reasonable costs, to deliver a more resilient ATM system, while continuing to ensure safety and security and meeting environmental concerns. An implementation roadmap for those recommendations is not yet available.

The **GANP** represents a rolling, 15-year strategic methodology which leverages existing technologies and anticipates future developments based on State/industry agreed operational objectives. It offers a long-term vision that will assist ICAO, States and industry to ensure continuity and harmonisation among their modernisation programmes.

EASA is the body responsible for the SES safety pillar. Safety is one of the key performance indicators (KPIs) within the SES ATM Performance Scheme, and the ATM MP contributes to achieving the ambitions within the SES ATM Performance Scheme. EPAS actions and ATM MP solutions should be aligned where possible and the changes made in the 2019-2023 EPAS edition constitute an important step towards such alignment.

⁹ <u>https://ec.europa.eu/transport/modes/air/press-releases/2019-04-12-aviation-commission-receives-high-level-recommendations-air_en_</u>

¹⁰ <u>https://www.sesarju.eu/node/3253</u>



2.3 How EPAS is developed

2.3.1 Better Regulation

The EC's Better Regulation Agenda¹¹ aims at delivering tangible benefits for European citizens and addressing the common challenges Europe faces. Thus, Better Regulation principles are applied in the development of the EPAS.

Applying Better Regulation principles means for EASA that efforts must aim at:

- a transparent and streamlined regulatory process that is supported by an efficient stakeholder consultation;
- evidence-based decisions (through safety data analysis, impact assessment, monitoring and evaluation);
- a plain and easily understandable language also for non-native English speakers;
- communication and IT platforms that give stakeholders easy access to consulted deliverables and regulatory material, including soft law;
- a regulatory approach that
 - is performance-based where appropriate;
 - respects the principles of subsidiarity and proportionality;
 - contributes to the competitiveness of the industry, without compromising safety; and
- actors involved in the drafting of regulatory material that have been appropriately trained in drafting performance-based rules.

Modern, proportionate rules that are fit for purpose are essential in aviation safety to uphold high common standards and ensure the competiveness of the European industry. Regulations should be as efficient and performance-based as possible, and as prescriptive as necessary to provide legal certainty.

Regulating elements of aviation safety by describing the desired outcome is not new. This so-called performance-based approach is intended to make aviation safer, more efficient and flexible. This approach promotes the principles of subsidiarity and proportionality by prescribing safety objectives instead of prescribing how to achieve them.

The expected benefits of performance-based regulations (PBRs) are:

- Resilience: the increased complexity in operations and aviation activities, the dynamics of aviation business models, and fast and proliferating technological advancements require a regulatory framework capable of anticipating changes (technology-neutral regulations).
- Flexibility: by focusing on safety outcomes, PBRs provide flexibility and encourage innovation by not restricting a priori the means to control specific risks.
- Safety management: by providing a flexible implementation framework and focusing on safety outcomes, PBRs allow organisations and authorities to foster risk management capability and to better allocate resources against risks identified under their SMS and SSP.

To meet EC's Better Regulation Agenda, EASA must ensure that its regulatory proposals and other EPAS actions deliver maximum safety, economic, social and environmental benefits at minimum cost to citizens, businesses and workers, without creating unnecessary regulatory burden for MSs and EASA itself. To that end, EASA must prioritise and design the EPAS actions through a transparent process and based on evidence, understandable by those who are affected and backed up by the views of stakeholders. Evidence is gathered ex-ante through

¹¹ https://ec.europa.eu/info/law/law-making-process/planning-and-proposing-law/better-regulation-why-and-how en



a Best Intervention Strategy (BIS) at the programming stage, and Regulatory Impact Assessment (RIA) at the rule development stage (see **Chapter 2.3.3.3**) or ex-post through the evaluation process (see **Chapter 2.3.4**). About two thirds of the EPAS actions take the form of rulemaking tasks.

To be fully effective, Better Regulation must cover the entire regulatory cycle, i.e. the programming and planning phase, design of a proposal, adoption, implementation, application, evaluation and revision. In other words, the effort to equip the EU with state of the art aviation safety rules must start already in the planning and programming phase. Efficient planning and programming in the context of Better Regulation means to take well-informed decisions which must be based on holistic risk management principles. Where there is no or limited data available, such as for innovative technologies or new ways of operating, decisions may have to rely on expert knowledge and/or the extrapolation of existing data from similar subjects.

Stakeholder consultation

In line with the principles of Better Regulation, EASA engages with its stakeholders via different channels and for different purposes, such as:

- EASA Advisory Bodies (ABs) and Collaborative Aviation Groups (CAGs) to identify the aviation issues to be further assessed;
- EASA Advisory Bodies (ABs) consultation of BIS, Regulatory impact Assessment (RIA) and evaluation reports;
- AB consultation of the draft EPAS;
- Open public consultation of Rulemaking ToRs and NPAs;
- Targeted consultation to groups of stakeholders (e.g. questionnaire for evaluation of existing rules); and
- Work with groups of experts (e.g. Safety Risk Panels, focus groups)

2.3.2 The programming cycle

EPAS covers a five-year time frame. In line with BR Article 6(1), EPAS is updated on a yearly basis. Hence, EPAS is developed as a rolling five-year plan in close cooperation with stakeholders, drawing increasingly from an evidence-based approach. There are two distinct programming phases, each with a dedicated stakeholder consultation.

- During the 'strategic phase', the strategic priorities developed for the previous programming cycle (see
 Chapter 3) are discussed and agreed with the EASA ABs.
- Based on these strategic priorities, a draft EPAS is then developed and provided to the ABs for detailed comments.

Following AB consultation, the final draft EPAS is consolidated and presented for approval to the EASA Management Board (MB). Following its formal approval by the MB, it is published on the EASA website¹².

¹² <u>https://www.easa.europa.eu/easa-and-you/safety-management/european-plan-aviation-safety</u>



Introduction

2.3.3 How actions are prioritised in EPAS

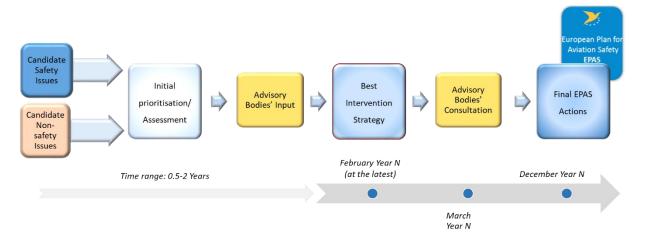


Figure 1. Key steps to prioritise actions in EPAS

The proposed candidate issues (safety and non-safety ones) are recorded in a Candidate Issue Register from which an initial priority list is developed. The Candidate Issue Register is an internal repository for all received proposals. The prioritised candidate issues are then further assessed with a view to finding the most cost-effective approach to tackle them. The outcome is a BIS report, which is consulted with the ABs. It is only after the ABs validation that the actions proposed in the BIS report become EPAS actions.

2.3.3.1 EPAS inputs

How to submit a new proposal to be included in EPAS

A new proposal, such as a new issue or a proposal for a new EPAS action to be included in EPAS can be submitted at any moment in the programming cycle. For this purpose, a 'Candidate issue identification form' has been created. This form replaces the old Rulemaking Proposal Form. It is meant to encompass a larger range of proposals for actions, such as safety promotion, research, rulemaking, as well as the identification of new issues in the EPAS areas of safety, environmental protection, level playing field or efficiency/proportionality.

In addition to the individual candidate issue proposals, EASA collects proposals from other sources, including safety and non-safety issues. For each proposal, core data is recorded in the Candidate Issue Register.



Figure 2. EPAS inputs



An initial review of the received candidate issues is carried out in order to allow for initial prioritisation. Candidate issues are clustered according to the four EPAS drivers, as follows:

 Safety: The actions in this category are driven by the need to increase or maintain the current level of safety in the aviation sector.

The main source for safety candidate issues is the European Safety Risk Management Process (SRM). This process is described in the Annual Safety Review (cf. ASR 2019 - Introduction).

Environment: The actions in this category are driven by the need to improve the current environmental protection in the aviation sector, while striving to ensure a level playing field globally.

Main sources for environmental candidate issues are the ICAO SARPs and European Aviation Environmental Report (refer to **Section 3.1.4**).

Efficiency/proportionality: The actions in this category are primarily driven by the need to ensure that rules are cost-effective in achieving their objective, as well as proportionate to the risks identified. Having included an action in this category by no means signals that there are no related safety objectives; however, the effects on efficiency and proportionality prevail over those on safety.

Main sources for efficiency and proportionality issues are feedback from industry and NAAs, channelled through the ABs, as well as the results of evaluations.

Level playing field: The actions in this category are mainly driven by the need to ensure that all players in a certain segment of the aviation market can benefit from the same set of rules, thereby promoting innovation, supporting fair competition and ensuring free movement of persons and services. This is particularly important for technological or business advancements where common 'rules of the game' need to be defined for all actors. 'Level playing field' may either relate to ensuring standardisation within EASA MSs or address the need to harmonise with the rules of main EASA counterparts, such as the Federal Aviation Administration (FAA), Transport Canada Civil Aviation (TCCA) or Agência Nacional de Aviação Civil (ANAC) Brazil, in order to ensure fair competition or facilitate the free movement of goods, persons and services. Actions in this category will directly contribute to maintaining or even increasing the current level of safety.

Main sources for level playing field actions are feedback from EASA Standardisation, feedback from industry and NAAs, as well as rulemaking coordination with the main EASA counterparts.

These four drivers should be understood as *main* drivers. A number of actions could well fall under several of these drivers, but only the most relevant one will be indicated for each EPAS action.

2.3.3.2 Initial prioritisation

The aim of the initial prioritisation is to assess for each issue/action proposal several elements including:

- legal obligation to act;
- potential safety, economic, social and environmental consequences, if the action proposed is not endorsed;
- the link with the EPAS strategic priorities (Chapter 3.1); and
- resources needed.

2.3.3.3 Best Intervention Strategies

Further to the initial prioritisation, the retained actions are grouped per topic and then assessed for the impact to propose the Best Intervention Strategy. This phase includes consultation with stakeholders.



Following consultation a BIS report is drafted for each BIS topic; summarising the main findings and proposing actions ('intervention strategy').

For each step, evidence should be included to support the analysis performed. For safety issues this is normally provided through the related Safety Issues Assessment (SIA) performed as part of the EU SRM process.

Affected stakeholders are considered throughout the analysis, not only focusing on the ones mostly and directly impacted on, but also taking a wider view on other stakeholders.

The analysis of impacts is proportionate to the extent of the impacts and the controversial elements considered. The bigger and/or the more controversial the issue is, the more detailed will the analysis be.

For rulemaking tasks, during the development of the BIS, the Agency will also assess the suitability for a performance based rule, based on the following criteria:

- measurability;
- need for flexibility;
- impact on innovation;
- impact on bilateral agreements;
- impact on level playing field;
- efficiency gains (through a performance-based solution); and
- need for interoperability.

One domain where rules have been reviewed in line with PBR principles is General Aviation (GA): EASA is engaged in developing simpler, proportionate, lighter and better rules for GA. This is being achieved in line with the GA Roadmap¹³ created in partnership with the EC and stakeholders by addressing the recognised importance of GA and its contribution to the European economy and a safe European aviation system. The GA Roadmap 2.0 was issued in March 2019¹⁴.

Impact assessment methodologies

The methodologies applied to assess the impacts could be one or a combination of the following: Multi-Criteria Analysis (MCA), Cost-Effectiveness Analysis (CEA), Cost-Benefit Analysis (CBA).

- CBA: Full cost-benefit analysis should be used when the most significant part of both costs and benefits can be quantified and monetised. It entails identifying and evaluating expected economic, environmental and social benefits and costs of proposed public initiatives. A measure is considered to be justified where net benefits can be expected from the intervention.
- CEA: This method is used when the fixed objective would be difficult to monetise, e.g., in the aviation sector, the prevention of a fatality. It requires calculating the net cost needed to achieve the objective, and then comparing the net cost per 'unit of effectiveness' of each option. It is an alternative to cost-benefit analysis in cases where it is difficult to value benefits in money terms.
- MCA is undertaken to make a comparative assessment between options for which the impacts are a mixture of qualitative, quantitative and monetary data. The aim is to combine a range of positive and negative impacts into a single framework to allow easier comparison.

Most of the impact assessments developed by EASA use MCA, as it would be very difficult to monetise all elements for each criterion and to evaluate the impacts with the same unit of measurement. In some cases a

¹³ Available on EASA website: <u>https://www.easa.europa.eu/newsroom-and-events/news/easa-ga-roadmap</u>

¹⁴ <u>https://www.easa.europa.eu/document-library/general-publications/ga-roadmap-2019-update-%E2%80%93-making-ga-safer-and-cheaper</u>



detailed CBA or CEA is performed for instance on economic criteria. In this case, the result of the analysis can then be integrated into the MCA, comparing options across all relevant criteria.

Current developments

In line with Article 89 of the BR, EASA shall take into account in its decision making the interdependencies between civil aviation safety and socio-economic factors. Investing resources in a thorough assessment of the risks, impacts and possible side effects of proposed actions, before they are confirmed in the EPAS, is expected to increase efficiency. These early assessments shall be carried out in partnership with the MSs and the industry stakeholders who have to be prepared to support EASA with their expert knowledge already during the planning phase.

Accordingly, EASA gives much importance to social impacts in its impact assessments and is working to further improve its methodology to this regard. The social impact assessment methodology to be developed will enable a more precise assessment of the social impacts of future EASA activities, more specifically in the case of rulemaking tasks. This methodology will be progressively improved throughout its implementation, based on feedback received from stakeholders.

2.3.3.4 BIS output for EPAS

The output of the BIS could be any one or a combination of the following types of EPAS actions:

- rulemaking tasks (RMTs),
- safety promotion tasks (SPTs),
- research projects (RES); and
- Member States tasks (MSTs).
- RMTs lead to new or amended regulatory material (implementing rules, AMC or GM), but the related work is usually not limited to rules drafting. Depending on the scope and issues addressed, a RM project may also include implementation support activities, such as the organisation of conferences, workshops, roadshows, the creation of frequently asked questions (FAQs), etc. A RMT may also be supported by a dedicated SPT managed in accordance with EASA's Safety Promotion Strategy (see Section 0), or by a research task.
- SPTs involve Safety Training, awareness/ education and dissemination of safety relevant information to further engage and interact with relevant aviation stakeholders in order to positively influence or change individual behaviour with the ultimate objective of achieving pre-determined aviation safety objectives. It includes the promotion of safety topics, rulemaking and awareness, communicating about safety intelligence, priorities and actions and other tasks to raise awareness with individuals. SPTs can involve a wide range of deliverables that include guides, videos, text for use in websites and printed media, social media and outreach activities.
- MSTs are EPAS actions based on safety priorities identified in collaboration with MSs and owned by them. Most of them are continuous actions to ensure continuous monitoring of the underlying safety risks and regular reporting on progress of those MS actions. Results are discussed with MSs during the regular Technical Body (TeB) meetings. Different implementation approaches, difficulties or best practices are brought up and discussed to enhance the collaboration amongst MSs and between MSs and EASA.
- RES actions are innovation- and/or efficiency-related research projects to support the safe integration of new technologies and concepts, and to measures improving environmental protection. RES actions may also be triggered by the need to better understand a safety issue in view of determining intervention strategies in the future, or to assess the effectiveness of existing regulations, as an alternative to evaluations. The research projects included in EPAS are those triggered by safety recommendations addressed to EASA and those either already covered by a funding source or likely to be funded by the start of the reference period of a given EPAS.



The BIS reports to support the actions which could be included in the EPAS are consulted with the ABs. Following consultation and feasibility check from the resource point of view, the actions are included in the EPAS.

For confirmed RMTs, the information gathered for the BIS will be reviewed to provide the RIA as part of the NPA/Opinion. Information and data provided at the BIS stage will be updated, as necessary.

2.3.4 Regular updates

The aviation industry is complex and rapidly evolving. The corresponding rules need to be updated regularly to ensure that they are fit for purpose, cost-effective, can be implemented in practice, and are in line with the latest ICAO SARPs. The vehicle to address these miscellaneous issues of non-controversial nature are systematic rulemaking projects called regular updates

Regular updates of the implementing rules and related AMCs/GM are initiated when relevant data is available to support the need for an update, following an update of industry standards or feedback from certification activities or to address minor issues raised by the stakeholders. Due to their nature an impact assessment is generally not required for these projects.

This EPAS edition includes 33 regular update RMTs, among which 15 relate to the update of relevant CSs.

2.3.5 Evaluation

EASA is assessing the performance of the rules and non-rulemaking actions. Evaluations are used to assess if aviation regulations and related initiatives (e.g. SPTs) are delivering the expected results at minimum cost.

These evaluations intend to conclude whether the existing rules/non-rulemaking actions are fit for purpose and whether/ in which areas improvements are needed. An EVT will draw conclusions on whether the rules/actions continue to be justified or whether they should be modified to improve their effectiveness and eliminate excessive burden.

The evaluation is intended to answer the following questions:

- Is the rule/action useful to the stakeholders? criterion 'relevance'
- Have the objectives been reached with the results? criterion 'effectiveness'
- Are the spent resources proportionate to the achieved results? criterion 'efficiency'
- Are the rules/actions consistent with others which are interrelated to them? criterion 'coherence'
- Does EU regulatory framework provide an added value to the national system? criterion 'EU added value'

In addition, a standard feature of any evaluation of existing rules is to assess the potential for introducing more performance-based elements following a thorough assessment. The outcome of the evaluation includes a list of recommendations that are then further analysed in the BIS.

Several criteria are taken into account to decide on future evaluations conducted by EASA:

- Legal obligation to undertake an evaluation of the rules:
- Feedback on the controversy, complexity of the rules/non-rulemaking actions, whether they generate safety risks and/or regulatory inefficiencies. This feedback is gained by analysing the flexibility provisions

(BR Articles 70 and 71), requests for alternative means of compliance (AltMoC), requests from stakeholders, feedback by the ABs on regulatory gaps/inefficiencies, permanently open findings from EASA Standardisation, etc.

 Rules/non-rulemaking actions have reached a level of implementation to enable an evaluation based on sufficient evidence (sufficient time, e.g. 5 years have elapsed after the adoption of the rules/nonrulemaking actions).

The result of the analysis is reflected in the proposed list of evaluations as included with EPAS 2020-2024.

It should be noted that the evaluation concept is equally applicable to rules and other non-rulemaking actions. In this regard, EASA initiated a first evaluation on safety promotion activities related to European Operators Flight Data Monitoring (EOFDM) coordination, paving the way for more assessments of this kind.



2.4 How EPAS is structured

The 2020-2024 EPAS edition comprises two distinct volumes:

- Volume I provides the executive summary as well as an introduction, describes the strategy and includes the key indicators. It consists of Chapters 1 to 4.
- **Volume II** contains the detailed list of EPAS actions. It consists of Chapters 5 to 16.

<u>Volume I</u>

Volume I provides an executive summary with the main highlights of each edition. This is followed by an introductory chapter where the link with other planning documents at European and global level is explained. **Chapter 2 Introduction** explains how EPAS is developed and presents the structure of the document, how actions are presented as well as how new proposals to be included in EPAS can be submitted. Moreover, Chapter 2 now includes an explanation of how Better Regulation principles guide the programming and planning of EPAS actions (previously included with Section 3.3).

The structure of **Chapter 3 Strategy** remains unchanged in this edition.

Section 3.1 'Strategic Priorities' addresses the following priorities:

- 3.1.1 Systemic safety
- 3.1.2 Operational safety
- 3.1.3 Safe integration of new technologies and concepts
- 3.1.4 Environment

Section 3.2 'Strategic enablers' includes the following enablers:

- 3.2.1 Research
- 3.2.2 Safety promotion
- 3.2.3 International cooperation
- 3.2.4 Digitalisation
- 3.2.5 Technical training
- 3.2.6 Oversight and Standardisation

The text in these sections has been revised to reflect the latest developments.

Section 3.3 New Basic Regulation is maintained to update the information on priorities guiding the implementation of Regulation (EU) 2018/1139 (BR), as provided with EPAS 2019-2023.

<u>Volume II</u>

The structure of Volume II is entirely reviewed to provide a clearer link with the safety risk portfolios (ASR) and facilitate the identification of actions relevant for different stakeholder groups:

- All systemic safety issues are grouped within Chapter 5, which is further subdivided to address the various action areas.
- All actions other than those related to systemic safety and corresponding to drivers 'safety', 'efficiency/proportionality' and/or 'level playing field' are grouped per operational domain.



 All actions corresponding to the driver 'environment' continue to be included as a separate chapter, now Chapter 16.

Ch.	Title					
5	Systemic safety					
5.1	5.1 Safety management					
5.2 Human Factors and Human performance						
5.3	Competence of personnel					
5.4	Aircraft tracking, rescue operations and accident investigation					
5.5	Impact of security on safety					
5.6	Oversight and Standardisation					
6	Flight operations aeroplane					
6.1	CAT by Aeroplane & NCC (Business)					
6.2	SPO Aeroplane					
7	Rotorcraft					
8	General Aviation ¹⁵					
9	Design and Production					
10	Maintenance and Continuing Airworthiness Management					
11	Air Traffic Management and Air Navigation Services					
12	Aerodromes					
13	3 Groundhandling					
14	14 Unmanned Aircraft Systems					
15	New technologies and concepts					
16	Environmental protection					
16.1	Noise, local air quality and climate change standards					
16.2	Market Based Measures					

The proposed new structure for Volume II is as follows:

A table that shows the correspondence between the structure of the EPAS 2019-2023 and the EPAS 2020-2024 version is included in Appendix I: Volume II – new structure.

Depending on the number and type of actions included Chapters 6 to 16 may be further organised in 'action areas'. Each Chapter / action area shows the issue, the objective and the related actions. An action area may contain several actions (RMT, SPT, EVT, RES, MST).

Within each Chapter/Section/action area, actions are grouped per action type and for each type they are listed in chronological order of the unique EPAS action reference number.

Where an action is relevant to more than one domain, its full description will be included in the main domain Chapter, and a reference to it added in the other domain Chapter(s).

Example:

• An action for flight crew training in the rotorcraft domain would be included with its full description in the Section 5.3 Competence of personnel, in addition, a reference to it would be added in Chapter 7 Rotorcraft.

¹⁵ non-commercial operations with aeroplanes other than CMPA, all operations with balloons and sailplanes



Introduction

How individual actions are presented

RMT.xxxx		Title				
(1) text		(2) text				
Status		(3) text				
Reference(s)		(4) text				
Affected stakeholders		(5) text				
Owner			(6) acronym			
Priority		(7) text	RM Procedure	(8) acronym	Harmonisation	(9) acronym
			Р		S	
SubT	ToR		NPA	Opinion	Commission IR	Decision
1-n	(10) nce or year	date/refere /quarter	(10) date/reference or year/quarter	(10) date/reference or year/quarter	(10) date/reference or year/quarter	(10) date/reference or year/quarter

Figure 3: EPAS action template for RMTs.

For each EPAS action a unique reference and title are provided. Tasks that have been newly added to the plan are identified by using red colour in the **action number**.

For RMTs the following information is provided:

- (1) main driver;
- (2) action description: issue, objective and rationale;
- (3) status of the action (new/ongoing/completed, on-hold, de-prioritised, merged, etc.);

(4) reference(s) to related actions in other plans (e.g. ATM Master Plan) or to other EPAS actions, important reference documents.;

- (5) affected stakeholders;
- (6) action owner: e.g. EASA department;
- (7) priority: YES or NO, on the basis of the strategic priorities defined in Volume I;

(8) the applicable rulemaking procedure in accordance with EASA Management Board Decision No 18/2015 'Rulemaking Procedure'. Possible entries are:

- DP: Direct publication: Article 15 of the Rulemaking Procedure;
- AP: Accelerated procedure: Article 16 of the Rulemaking Procedure; or
- ST: Standard procedure.

(9) an indication as to whether the RMT is harmonised with third countries and/or ICAO, in order to alleviate differences between EASA and other aviation authorities (Brazil, US, Canada) under a BASA with the EU or ICAO SARPs, while ensuring an equivalent level of safety. Possible entries are:

- ANAC;
- FAA;
- TCCA;
- ICAO.



(10) the planning milestones for each deliverable. The *rulemaking process deliverables* are: ToR, NPA, Opinion and Decision. The *legislative process deliverable* is the Commission Implementing Rule (IR). IRs may take the form of delegated acts (DA) or implementing acts (IA), depending on the topic and domain.

- Where the documents are already delivered, the document reference and the publication date are provided in date format: dd/mm/yyyy.
- For documents yet to be delivered, the planned date for publication is provided in year and quarter format: yyyy Qn.
- In case a RMT has several sub-tasks, a separate line will provide deliverables and planning milestones for each sub-task (1-n).

XYZ.xxx	Title			
(1) text	(2) text			
Status	(3) text			
Reference(s)	(4) text			
Affected stakeholders		(5) text		
Owner		(6) acronym		
			EXPECTED OUTPUT	
Deliverable(s)				Timeline
(7) text				(08) date/reference or year/quarter

Figure 4: EPAS action template for MSTs/RESs/SPTs

(1) main driver;

(2) action description: issue, objective and rationale;

(3) status of the action (new/ongoing/completed, on-hold, de-prioritised, merged, etc.);

(4) reference(s) to related actions in other plans (e.g. ATM Master Plan) or to other EPAS actions, important reference documents.;

- (5) affected stakeholders;
- (6) action owner: e.g. EASA department, SPN, MSs;
- (7) type of deliverable (report, best practice, guidance material, study, etc...);
- (8) planning milestone for the deliverable:
 - Where the document is already delivered, the date is provided in format: dd/mm/yyyy.
 - For documents yet to be delivered, the planned date for completion is provided in year and quarter format: yyyy Q (1-2-3-4).
 - In case an action has several sub-tasks, a separate line will provide deliverables and planning milestones for each sub-task (1-n).

The same logic applies to EVT actions. In addition to the above information, the planning milestone/delivery date for the EVT ToR is provided.



Appendices

EPAS is complemented by 10 Appendices with additional information in support of or for easy access to the information provided in Volumes I and II:

- Appendix A: Opinions and Decision published in 2019;
- Appendix B: Deliverables planned for 2020;
- Appendix C: Overview of new, deleted and de-prioritised actions;
- Appendix D & E: Relevant EU/EASA policies providing direction to EPAS;
- Appendix F: Acronyms and definitions;
- Appendix G: Working Groups and Bodies contributing to EPAS;
- Appendix H: EASA departments owning EPAS actions;
- Appendix I: New Structure of Volume II (cross-reference table EPAS 2019-2023vs Volume II EPAS 2020-2024);
- Appendix J: Index of all EPAS actions.



2.5 How EPAS is monitored

EPAS **Chapter 4 Section 4.2** presents an outline for EPAS safety performance metrics reflecting the EPAS strategic priorities in the area of safety and the high-level safety objective set out in the BR to 'establish and maintain a high uniform level of civil aviation safety in the Union'.

The efficiency of actions included in EPAS in relation to environmental protection will continue to be monitored as part of the EAER (refer to **Chapter 4 Section 4.3**).

Regarding the actions owned by MSs, in the past EASA monitored those actions by means of an online survey. The survey was addressed to all EASA MSs, as well as non-EASA MSs applying EPAS, and initiated once EPAS was published and sought States' feedback on the status of implementation of EPAS MST actions. The results were summarised in an implementation report¹⁶.

In accordance with Chapter II of the BR, MSs are required to develop a State Plan for Aviation Safety (SPAS), taking into consideration the actions they own in EPAS and providing justifications when such actions are not considered relevant to them. Accordingly, SPAS will be the primary tool for MSs to report on action implementation. States are expected to provide an up-to-date SPAS at least annually or, where the SPAS is not updated annually, a report on the implementation of EPAS actions. EASA made available an online platform for MSs to upload their SSP, SPAS and any other relevant material. This online platform, hosted on the EASA SharePoint site for the EASA ABs¹⁷, is also intended to facilitate the exchange of information amongst MSs on EPAS and SSP implementation.

For the remaining EPAS actions (RMT, SPT, RES and EVT), feedback on implementation is regularly provided during ABs meetings. Most of the deliverables planned in EPAS are published on the EASA website (see rulemaking process¹⁸, safety promotion¹⁹, research projects²⁰ and evaluation of rules²¹).

¹⁶ Latest States' implementation report on EPAS 2017- 2021: <u>https://www.easa.europa.eu/document-library/general-publications/states-implementation-report-epas-2017-2021</u>

¹⁷ https://imf.easa.europa.eu/case/eab/mabtebs/SSPDocuments/Forms/AllItems.aspx

¹⁸ <u>https://www.easa.europa.eu/document-library/rulemaking-process-overview</u>

¹⁹ <u>https://www.easa.europa.eu/document-library/safety-promotion</u>

²⁰ <u>https://www.easa.europa.eu/document-library/research-projects</u>

²¹ https://www.easa.europa.eu/document-library/general-publications?publication type%5B%5D=2481



Strategy

3 Strategy

In the 2017-2021 programming cycle, EASA introduced the notion of strategic priorities for EPAS. The strategic priorities were based on the Commission's Aviation Strategy²² and EASA's strategic plan (see **Appendix D**: European Commission's priorities and EASA's Strategic Plan). The safety priorities are based on the European Safety Risk Portfolios published in the ASR. The efficiency and level playing field priorities are based on stakeholder feedback. The environmental priorities are aligned with the European Aviation Environmental Report (EAER) 2019²³.

EASA consulted these priorities with stakeholders in February and March 2019. The comments received led to a number of adjustments and improvements, notably the identification of priorities to be addressed first. In Volume II, the actions linked to strategic priorities are identified in the field 'Priority'.

In line with the total system approach to aviation safety management, EPAS is evolving to ensure better integration of relevant sources and key inputs in terms of safety information, such as the ASR, the SAR, and the ATM MP. The objective is to obtain on overarching, consolidated aviation safety picture at European level, supporting strategic planning and prioritisation of safety actions.

How priorities are established

In the previous edition, the rulemaking activities were prioritised to take into consideration the need to make resources available to tackle BR responsibilities (not only related to rulemaking), as explicitly requested by the EASA MB in April 2018. The BR roadmap (see **Section 3.3.2**) clearly identifies the areas where work will start within the range 2019-2021, therefore not all new responsibilities will be tackled immediately. The prioritisation takes into account the compromise to continue working towards mitigating major safety risks across domains and addressing the strategic priorities which are described in this Chapter and have been agreed with industry and States.

In 2019 EASA has re-assessed the activities that were postponed in the previous EPAS edition. This has resulted in the reprioritisation of a number of rulemaking projects. Priority has been given to those tasks that were more advanced and for which positive benefits (safety or economical) were identified. These RMTs will be resumed. The related timeliness can be found in Volume II.

Chapters 5 to 16 contain the full list of EPAS actions that are programmed for the next 5 years. **Appendix C**: New actions, deleted actions and negative priorities overview includes the overview of all tasks that remain on the list of de-prioritised tasks.

²² <u>https://www.europeansources.info/record/communication-on-an-aviation-strategy-for-europe/</u>

²³ <u>https://www.easa.europa.eu/eaer/system/files/usr_uploaded/219473_EASA_EAER_2019_WEB_LOW-RES.pdf</u>



3.1 Strategic priorities

3.1.1 Systemic safety

3.1.1.1 Improve safety by improving safety management

Despite the fact that the last years have clearly brought continued improvements in safety across every operational domain, the latest accidents and serious incidents underline the complex nature of aviation safety and the significance of addressing human factor aspects. Aviation authorities and organisations should anticipate new emerging threats and associated challenges by developing SRM principles. Those principles will be strengthened by SMS implementation supported by ICAO Annex 19 and Regulation (EU) No 376/2014²⁴ on occurrence reporting, follow-up and the protection of safety information.

EASA defined an SMS policy for the regulation of SMS in the different aviation domains. This policy is included in **Appendix E**: Policy on Safety Management Systems.

Key actions:

- Incorporate safety management requirements in initial and continuing airworthiness (RMT.0251)
- Support States in implementing State Safety Programmes (MST.001) and States Safety Plans (MST.028)
- Encourage international harmonisation of SMS implementation and human factor principles (MST.002 and SPT.057)
- Support the implementation of a robust oversight system across Europe (MST.032))

See Section 5.1.

3.1.1.2 Human factors

EASA monitors data relating to human performance and assesses feedback from stakeholders, through the Human Factors CAG (HF CAG) and through other regulatory and oversight activities. As the aviation system changes, it is imperative to ensure that human factors and the impact on human performance are taken into account, both at service provider and regulatory levels.

Human factors and human performance are terms that are sometimes used interchangeably. While both human factors and human performance examine the capabilities, limitations and tendencies of human beings, they have different emphases:

- Human factors (HF) this term focusses on why human beings function in the way that they do. The term incorporates both mental and physical processes, and the interdependency between the two.
- Human performance (HP) the output of human factors is HP. This term focuses on how people do the things that they do.

Note: Throughout Volume II, actions with a strong HF component are identified by adding 'HF' below the driver indication.

REGULATION (EU) No 376/2014 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 3 April 2014 on the reporting, analysis and follow-up of occurrences in civil aviation, amending Regulation (EU) No 996/2010 of the European Parliament and of the Council and repealing Directive 2003/42/EC of the European Parliament and of the Council and Commission Regulations (EC) No 1321/2007 and (EC) No 1330/2007



The HF CAG prioritised a series of safety issues for a more in-depth analysis. These issues are systemic safety issues, and the other CAGs address safety issues that also have HP elements²⁵. The issues that have been recently completed, are underway or due to be started shortly are as follows:

Safety Issue assessments complete:

- Human factors competence for regulatory staff Without HF competencies, regulators cannot adequately oversee HF implementation in the aviation industry.
- Design and use of procedures It is imperative for procedures to be designed so that they are usable, but this is increasingly difficult in the context of a complex system.

Safety Issue assessments underway:

- Senior management knowledge, competence, and commitment to HF/HP Unless senior management takes the lead in implementing HF practices, the culture does not permeate through the organisation, with consequences for safety and efficiency.
- Organisational and individual resilience Organisational and individual resilience are key factors in successfully managing safety, but there is little regulatory guidance on how to apply the concept.
- Training effectiveness and competence There can be too large a gap between work as imagined and work as done, resulting in ineffective or negative training. Some changes to training regimes may exacerbate the problem.

New Safety Issue Assessments for 2019:

 Fatigue (Quality Sleep) – Fatigue has been identified by almost all the domain CAGs and the HF CAG as a safety issue, despite extensive research and regulation in this area.

The results of the in-depth analysis of the above issues may lead to the determination of additional actions for future EPAS editions.

3.1.1.3 Competence of personnel

As new technologies and new business models or operational concepts emerge on the market and the complexity of the system continues to increase, it is of key importance for aviation personnel to have the right competencies and adapt training methods to cope with new challenges. It is equally important for aviation personnel to take advantage of the opportunity presented by new technologies to enhance safety.

The safety actions related to aviation personnel are aimed at introducing competency-based training in all licences and ratings, updating fatigue requirements, and facilitating the availability of appropriate personnel in competent authorities (CAs). These actions will contribute to mitigating related safety issues, which play a role in improving safety across all aviation domains. Training and education are considered key enablers. The EASA strategy for technical training takes this into account, i.e. '[to] continuously improve the technical competence of Agency staff and manage the harmonisation of training standards for aviation authority staff within the EASA system'.

Key actions:

• Introduce evidence- and competency-based training into all licences and ratings (RMT.0599 and SPT.012);

²⁵ As a result, the HF CAG also provides expertise to assess HF-related safety issues identified by the other CAGs.



• Promote and implement the European Action Plan for the Prevention of Runway Incursions (EAPPRI) and Excursions (EAPPRE) – (RMT.0703);

3.1.1.4 Impact of security on safety

<u>Cybersecurity</u>

Citizens travelling by air are more and more exposed to cybersecurity threats. In order for the new generation of aircraft to have their systems connected to the ground in real time, ATM technologies require internet and wireless connections between the various ground centres and the aircraft. The multiplication of network connections and the surge in digitalisation of aviation systems increases the vulnerability of the whole system. It is essential that the aviation industry and authorities share knowledge and learn from experiences to ensure systems are secure from individuals/organisations with malicious intent.

EASA signed a Memorandum of Cooperation with the Computer Emergency Response Team (CERT-EU) of the EU Institutions on 10 February 2017. EASA and CERT-EU are cooperating in the establishment of a European Centre for Cyber Security in Aviation (ECCSA)²⁶. The ECCSA's mission is to provide information and assistance to European aviation manufacturers, airlines, maintenance organisations, air navigation service providers (ANSPs), aerodromes (ADR), etc. in order to protect critical elements of the system such as aircraft, navigation and surveillance systems, datalinks, etc. The ECCSA will cover the full spectrum of aviation. In addition to the information-sharing initiatives intended to be implemented through the ECCSA, the strategy to address cybersecurity risks should be focused on research and studies, event investigation and response, knowledge and competence building, international cooperation and harmonisation and regulatory activities and development of industry standards.

Key actions:

- Develop and implement a strategy for cybersecurity in aviation (SPT.071);
- Implement a regulatory framework for cybersecurity covering all aviation domains (RMT. 0720);
- Introduce new cybersecurity provisions in the certification specifications (RMT.0648).

<u>Conflict zones</u>

Since the tragic downing of Malaysian Airlines flight MH17, there is a general consensus that States shall share their information about possible risks and threats in conflict zones. Numerous initiatives have been taken to inform the airlines about risks on their international flights.

An EU high-level task force was set up to define further actions to be taken at European level in order to provide common information on risks arising from conflict zones. The task force handed over its final report to Ms Violeta Bulc, European Commissioner for Transport, on 17 March 2016. It contains recommendations for various stakeholders and a proposal to set up a conflict zone alerting system at European Level, through cooperation between MSs, European institutions, EASA and other aviation stakeholders.

The objective of the alerting system is to join up available intelligence sources and conflict zone risk assessment capabilities in order to enable the publication of information and recommendations on

²⁶ https://www.easa.europa.eu/eccsa



conflict zone risks in a timely manner, for the benefit of all European MSs, operators and passengers. It complements national infrastructure mechanisms, when they exist, by adding, when possible, a European level common risk picture and corresponding recommendations.

EASA acts as coordinating entity for activities not falling directly under MSs or EC's responsibility and initiates the drafting, consultation and publication of Conflict Zone Information Bulletins²⁷, based on common EU risk assessment.

Key action:

• Disseminate information to air operators in order to mitigate the risk associated with overflying conflict zones (SPT.078).

3.1.1.5 Impact of socio-economic factors on safety

Article 89 of the BR requires the MSs, the Commission, the Agency and other Union institutions bodies, offices and agencies to cooperate with a view to ensuring that interdependencies between civil aviation safety and related socio-economic factors are taken into account. In particular, it addresses the need to address socio economic risks to aviation safety. EASA is also required to consult relevant stakeholders when addressing such interdependencies and every three years, publish a review, which shall give an objective account of the actions and measures undertaken, in particular those addressing the interdependencies between civil aviation safety and socio-economic factors.

Key actions:

- Through the MAB, set up a forum to cooperate with the Members States and the Commission on interdependencies between civil aviation safety and socio-economic risks.
- Set up a consultation process on interdependencies between civil aviation safety and socio-economic risks through the SAB and the EU Aviation Social Dialogue platform.

3.1.1.6 Data4Safety

Data4Safety (also known as D4S) is a data collection and analysis programme that aims at collecting and gathering all data that may support the management of safety risks at European level. This includes safety reports (or occurrences), flight data (i.e. data generated by the aircraft via the flight data recorders), surveillance data (air traffic data), weather data — these being only a few from a much longer list.

More specifically, the programme will allow to identify better where the risks are (safety issue identification), determine the nature of these risks (risk assessment), and verify whether the safety actions are delivering the needed level of safety (performance measurement). It aims to develop the capability of discovering vulnerabilities in the system across terabytes of data.

An initial proof of concept (PoC) phase has been launched with a limited number of partners to test the technical challenges as well as the governance structure of such a programme. A number of key-building blocks have been achieved, in particular:

- The partnership principles have been framed into a programme charter.
- The data protection rules have been agreed upon and captured into the rules and procedures document and in a data sharing and protection agreement template.

²⁷ <u>https://www.easa.europa.eu/easa-and-you/air-operations/information-on-conflict-zones</u>



- The use cases for the PoC phase have been agreed upon and specified.
- The Big Data infrastructure has been set up and the initial set of data uploaded.
- Data Scientists have now joined the programme and started to work on the advanced analytics.

D4S is, in essence, a collaborative partnership programme that aims at inferring safety intelligence. This is done by organising a massive collection of safety data and, equally important, organising the analytical capacity amongst all European aviation safety system stakeholders. This will take the collaborative work with the industry at a scale never done before in Europe.

D4S will therefore directly respond to the GASP Objective 11A — 'Work with industry stakeholders to leverage best practices with safety information analysis.'

3.1.2 Operational safety

3.1.2.1 Address safety risks in CAT aeroplane operations and NCC business operations

During 2018, there were no fatal accidents involving European air operator certificate (AOC) holders performing CAT passenger/cargo. In this category, there were 14 non-fatal accidents; however, the number of non-fatal accidents was lower than the average of the previous 10-year period. In 2018 the number of serious incidents in this category increased in comparison with the average of the previous 10-year period, with 107 serious incidents recorded in 2018 in comparison with the 10-year period average of 81.3.

In the NCC business operations category there were 3 non-fatal accidents in 2018, compared with an average of 1.4 per year over the previous decade. There was also 1 fatal accident, with 1 fatality. The number of serious incidents was also higher than usual, with 7 in 2018, compared with an average of 3.7 per year over the previous decade.

This operational domain remains the greatest focus of the EASA safety activities. The CAGs and ABs will help EASA to learn more about the safety challenges faced by airlines and manufacturers.

The European SRM process identified the following as the most important risk areas for CAT aeroplane and NCC business operations:

<u>aircraft upset in flight (loss of control)</u>

Aircraft upset or loss of control is the most common accident outcome for fatal accidents in CAT aeroplane operations. It includes uncontrolled collisions with terrain, but also occurrences where the aircraft deviated from the intended flight path or aircraft flight parameters, regardless of whether the flight crew realised the deviation and whether it was possible to recover or not. It also includes the triggering of stall warning and envelope protections.

Key actions:

- Review and promote training provisions on recovery from upset scenarios (RMT.0196, RMT.0581 and SPT.012);
- MSs to address loss of control in flight by taking actions at national level and measuring their effectiveness (MST.028).

See Section 5.2.

<u>runway excursions, runway incursions and runway collisions</u>



Strategy

Runway excursion covers materialised runway excursions, both at high and low speed, and occurrences where the flight crew had difficulties maintaining the directional control of the aircraft or of the braking action during landing, where the landing occurred long, fast, off-centred or hard, or where the aircraft had technical problems with the landing gear (not locked, not extended or collapsed) during landing. Runway excursions account for 81 high-risk occurrences recorded in the period 2013-2017 in CAT aeroplane and NCC business operations.

Runway incursion refers to the incorrect presence of an aircraft, vehicle or person on an active runway or in its areas of protection. Their accident outcome, runway collisions, account for 28 high-risk occurrences recorded in the period 2013-2017. Despite the relatively low number, the risk of the reported occurrences was demonstrated to be very real.

Key actions:

- Require on-board technology to reduce runway excursions (RMT.0570);
- Improve safety in relation to runway surface condition reporting and in-flight assessment of landing performance (RMT.0296 Opinion 02/2019 published on 22/02/2019);
- Promote and implement the European Action Plan for the Prevention of Runway Incursions (EAPPRI) and Excursions (EAPPRE) (RMT.0703);
- MSs to address runway safety by taking actions at national level and measuring their effectiveness (MST.028).

See Section 0.

3.1.2.2 Rotorcraft safety improvement

The **Rotorcraft Safety Roadmap** was delivered and endorsed in November 2018 and is available on the EASA website²⁸. This roadmap contains proposals of action in order to significantly reduce the number of rotorcraft accidents and incidents. The roadmap was initiated by EASA who tasked a group of external experts to develop, jointly with EASA, a set of ambitious proposals.

The focus of this roadmap is on traditional/conventional rotorcraft including General Aviation (GA) rotorcraft where the number of accidents is recognised to be greater. Drones, electrical vertical take-off and landing (VTOL) aircraft and urban air mobility vehicles are outside the scope of this activity. The roadmap focuses on safety and transversal issues that are affected by the different domains including training, operations, initial and continuing airworthiness, environment and innovation.

The vision of the roadmap is to 'achieve significant safety improvement for Rotorcraft with a growing and evolving aviation industry'. The following objectives are defined in order to deliver the vision stated above:

Improve overall rotorcraft safety by 50 % within the next 10 years

Most of the accidents can be attributed to operational causes and it is recognised that influencing behaviour in the wider community is a complex process where step changes are difficult to achieve in the short term. However, for accidents caused by technical failures, an ambitious target is set to reduce the number of accidents caused primarily by technical failures by one order of magnitude.

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https://www.easa.europa.eu/download/Events/Rotorcraft%20Safety%20Roadmap%20-%20Final.pdf



Make positive and visible changes to the rotorcraft safety trends within the next 5 years

The aim of this objective is to drive the implementation of the quick-wins that are identified and to rapidly progress a number of safety improvements.

 Develop performance-based and proportionate solutions that help maintain competitiveness, leadership and sustainability of the European industry

This objective also aims to support the development of new business models and encourage innovation.

This specific set of rotorcraft objectives align with the EASA Strategic Objectives, which have been used to derive the strategic priorities for EPAS. The main elements of the roadmap were presented in several fora, including the Rotorcraft Committee (R.COM) and the Rotorcraft Symposium.

The actions are organised in accordance with the following work-streams:

- WS1 Safety Data,
- WS2 Safety Rating and market based solution to incentivise safety,
- WS3 Training Safety,
- WS4 Training Devices and simulators,
- WS5 Safety Promotion,
- WS6 Helicopter Design improvements,
- WS7 Net Safety Benefit and CS Modernisation,
- WS8 Simplify,
- WS9 Continued Aviation Education,
- WS10 Fostering EU financial support for safety improvements.

The outcome of the above activities will feed into ongoing Rulemaking tasks. Following impact assessment and prioritisation, which will entail the update of the Rotorcraft PIA (now BIS) new EPAS actions may be proposed.

3.1.2.3 Address safety risks in GA in a proportionate and effective manner

In the last years, accidents involving recreational aeroplanes have led to an average of 86 fatalities per year in Europe (based on 2008-2017 figures, excluding fatal accidents involving microlight airplanes, gliders and balloons), which makes it one of the sectors of aviation with the highest yearly number of fatalities. In 2018, there were 49 fatal accidents causing 95 fatalities in non-commercial operations with aeroplanes and 17 fatal accidents causing 19 fatalities in the domain of sailplane operations (the 2008-2017 average is 28.6 fatalities per year in Europe). The GA roadmap is key to the EASA strategy in this domain. 2018 seems to show an improvement for gliders, and a deterioration for GA fixed wing.

Although it is difficult to precisely measure the evolution of safety performance in GA due to lack of consolidated exposure data (e.g. accumulated flight hours), it is reasonable to assume that more initiatives and efforts are needed to mitigate risks leading to these fatalities.

The following has been achieved:

 Safety promotion task on airspace infringement (SPT.089), developed in cooperation with the Safety Promotion Network (SPN) of the MSs, now completed;



- <u>Sunny Swift comics²⁹</u>, the first 11 issues have been published in all EU languages. Sunny Swift is now well accepted and becoming a connecting link to attract attention of the end user to a safety topic and related information;
- Creation of the 'Technology for Safety think tank' (T4S) (SPT.084);
- Launch of the first EASA GA Safety Award at the Aero Friedrichshafen 2019;
- Basic instrument rating (NPA 2016-14), cooperation with EUROCONTROL to promote the results of RMT.0677 (SPT.088). The Opinion for RMT.0677, reference 01/2019 (A) & (B), was published on 19/02/2019. In parallel, the AWO RMT.0379 will allow to promote IFR approaches on non-IFR airfields;
- 3rd workshop on 'Enhance See and Avoid' organised first quarter 2019;
- 'GA and low level weather' workshop organised second quarter 2019. On the same theme, a VFR into IMC simulator project organised first quarter 2019 as part of the safety promotion plan for GA.

To improve the dissemination of safety messages (MST.025), EASA introduced in 2018 the GA Community website and organised its Annual Safety Conference on 'Promoting Safety Together: a vision for the future of General Aviation'. Other dissemination actions include the GA roadmap roadshows and continued participation in AERO Friedrichshafen, the 'global show for General Aviation'. The GA Community site has been reinforced in 2019 with a new **GA Safety Together Facebook page³⁰** in order to reach a wider audience.

EASA, in cooperation with its ABs, launched GA Roadmap 2.0. It will concentrate on making GA safer and cheaper thanks to innovation and technology and to support implementation of new or amended regulations.

Key actions:

- Improve the dissemination of safety promotion and training material by authorities, associations, flying clubs, insurance companies targeting flight instructors and/or pilots; to create a General Aviation Safety Promotion platform (SPT.092);
- Encourage the installation and use of modern technology (SPT.084) and establish a policy to document the concept of 'Net Safety Benefit' in order to facilitate the installation of innovative safety equipment on board GA aircraft by embracing an end-to-end, holistic approach that considers globally the equipment, the organisation and the users. The concept should allow to weigh the safety benefit of a new equipment versus the risks introduced in case of failure of the associated function(s). (SPT.084);
- Support the introduction of new business models (i.e. Cost Sharing Platforms);
- Adapt Design and Production rules ('Part 21 Light') to become more proportionate to the risks (RMT.0689);
- Bring data to the GA cockpits: Weather, Flight Information Services (FIS), and traffic information data should progressively be made available in all GA cockpits. (SPT.087 + RES-021); and
- Support the implementation of new or amended regulations.

3.1.3 Safe integration of new technologies and concepts

This strategic priority guides the introduction of new technologies, innovative solutions and operating concepts to support their safe integration into the aviation system. It will require an evolution of the current

²⁹ <u>https://www.easa.europa.eu/easa-and-you/general-aviation/sunny-swift-flight-instructor</u>

³⁰ <u>https://www.facebook.com/easagasafetypromotion</u>



European regulatory framework for aviation safety, initially designed for conventional fixed wing aircraft, rotorcraft, balloons and sailplanes. The existing framework relies on active contribution of human beings, increasingly assisted by automation, be it on board or on the ground. Propulsion is mostly provided by piston or turbine engines using fossil fuels.

3.1.3.1 Facilitate European emerging technologies and innovation

Many of the technologies and innovations emerging in the aviation industry bear significant potential to further improve the level of safety, e.g. by improving the collection and analysis of operational data, better condition monitoring of aircraft for the purpose of preventive maintenance, improved accessibility and better quality of meteorological information, etc.

Digitalisation and automation are rapidly increasing in aviation systems. While this has resulted overall in significantly improved safety, the trend towards increasing automation requires a renewed safety focus on the interactions between humans and automation. The next generation of automation will be Artificial Intelligence (AI). This domain, no longer the province of science fiction, could well be the next 'game-changer' for aviation³¹. In the near future, new EPAS actions will be required to maximise related safety benefits, while mitigating any threats induced by the implementation of these new technologies.

EASA is very active in developing an AI Roadmap to be released by mid-2019. This AI Roadmap aims at identifying the opportunities, challenges and impact of this emerging technology on the various domains under EASA's mandate and to propose a corresponding action plan. It will allow the Agency to be prepared in accompanying industrial strategic changes and developments in the coming years. The introduction of a 'learning assurance' concept to complement the existing 'development assurance' processes will also be assessed in due time.

In this fast evolving context, EASA is putting a lot of effort in preparing the future with e.g. the identification of dedicated resources to innovative projects (internal Innovation Cell), the establishment of an AI Task Force, or the Certification Directorate reorganisation 'CT Roadmap 2020'. Among others, one of the main objectives of the CT Roadmap 2020 is to map available inside competencies and knowledge to be better prepared for handling new technological developments.

EASA is developing new tools such as Innovation Partnership Contracts (IPC) and Memoranda of Cooperation (MoC) on Innovation with key industry stakeholders, with the double objective of easing the safe introduction of new technologies in the aviation market and better preparing the Agency to face innovation challenges by bridging the knowledge asymmetry with industry on New Technologies. Current IPCs and MoCs cover a wide spectrum of topics such as single pilot operation concepts, the certification of machine learning, new avionics concepts, virtualisation and digitalisation of ATM functions, electric and hybrid Commercial Air Transport, etc. The first deliverables will be available in the second half of 2019.

On new crew concepts, PART-ORO already foresees conditions and limitations under which these type of operations are allowed. In the future, these conditions and limitations will need to evolve in order to extend to large aeroplanes the possibility to be operated by a single-pilot, provided that effective mitigations (e.g. ground assistance, advanced cockpit with workload alleviation means, capability to cope with an incapacitation, two pilots on the ground, etc.) are in place in order to offer an overall equivalent level of safety.

³¹ See AVIATION SAFETY – Challenges and ways forward for a safe future, Research & Innovation Projects for Policy, EC – Directorate General for Research and Innovation, January 2018: <u>https://publications.europa.eu/en/publication-detail/-publication/b4690ade-3169-11e8-b5fe-01aa75ed71a1/language-en/format-PDF/source-75248795</u>



In 2019 EASA started an internal project aiming to evaluate the impact of required changes (internal and external) on a variety of aspects:

- changes to the regulatory environment;
- interaction with ICAO; and
- changes in operators' business models and social impacts.

This will result in a specific set of EPAS actions to be developed and included in the next editions.

All these initiatives should support future enhancement of our global performance/risk based regulatory system fostering the introduction of new aircraft design and operating concepts, in a far more digital environment than we have today.

Research on new technological advances will play an important role to prepare for their safe integration into the aviation system. An objective of EASA's research strategy³² is the upstream support to research activities performed by industry, research centres and universities, by contributing the regulator's views and advice to ensure that the regulatory framework will not be an impediment to innovation. This assures safety, security and environmental protection of novel technologies and simultaneously assists to reduce 'time-to-market' of new products and new kinds of operation.

At the same time, new types of aircraft or propulsion systems are emerging and their novel features may not be addressed in existing certification specifications and operational regulations, (including flight crew licensing, air operations, continuing airworthiness, aerodromes and ATM/ANS).

For example:

Electric propulsion for aircraft

The market potential is considered significant with related effects on wealth and job creation. Environmental benefits for Europe are also potentially significant both in terms of gaseous emissions and noise.

Airships

There are at least two airship projects in Europe. These lighter-than-air aircraft are likely to be used in specialised operations in the medium term. The existing flight crew licensing, air operations, continuing airworthiness and aerodrome regulations will need to be adapted to incorporate this type of operation.

— Supersonic Aircraft

Although there are no supersonic aircraft being developed in Europe, it is very likely that such aircraft will be operated in Europe in the medium term. Noise regulations will need to be adapted.

Tilt-rotor aircraft

There is currently one project under certification in the US, such aircraft could thus be operated in Europe by mid-2021. Tilt rotor aircraft will require adaptation of the flight crew licensing, air operations and continuing airworthiness regulations in particular. Current air operations regulations only address fixed-wing aircraft, helicopters and balloons.

Sub-orbital aircraft

Such aircraft are already operated in the US and several European countries are interested in developing spaceports. Air operations regulations for example would need to be adapted. These aircraft use rockets

³² https://www.easa.europa.eu/easa-and-you/safety-management/research



to reach the fringe of outer space. Fuelling of such rockets at airports would require the installation of dedicated, protected areas.

3.1.3.2 Systemic integration – system safety

To cope with the ever-growing complexity of the aviation system, EASA's work will increasingly focus on managing interfaces and interdependencies between aviation system 'components' with due consideration of the total aviation system. This focus is expected to increase the efficiency in certification and oversight processes, as well as more generally in risk management.

For example, for RMT.0379 'All-weather operations', it is essential to consider the interactions among the different system components involved (aircraft, aerodromes, operational procedures, involved personnel, etc.). Therefore, EASA applied the systems-theoretic process analysis (STPA) methodology developed by the *Massachusetts Institute of Technology.* The adopted STPA methodology represents a hazard analysis technique based on systems thinking and a model of accident causation based on systems theory rather than reliability theory.

Engine/aircraft certification

In 2016 EASA, together with the FAA, initiated a dedicated Engine/Aircraft Certification Working Group (EACWG) to streamline the overall certification process by improving engine/aircraft interface certification and standard-setting practices. The EACWG aims at reducing unnecessary burden in the certification process and better address the interdependencies between aircraft and engine certification programmes of transport category aircraft with turbine engines. This work will also lead to better identifying and addressing gaps and overlaps when updating related CSs.

An effective and efficient certification process, combined with streamlined certification requirements and standards will have clear safety benefits.

The EACWG identified a total of 29 recommendations, in the following areas:

- conducting a certification programme;
- understanding and developing the regulatory requirements;
- understanding if the engine/airframe certification interface is working effectively;
- addressing specific rule and policy gaps.

A number of recommendations were made beyond the scope of the EACWG, such as reviewing the operating regulations, to determine whether discrepancies exist between certification and operational regulations.

The list of recommendations is included as Appendix D in the final report issued by the EACWG in June 2017³³.

In September 2018 the Certification Management Team (CMT), following a request from EASA and the FAA, approved the creation of the Engine Aircraft Certification Tracking Board (EACTB). The EACTB will be tasked with tracking the implementation of the EACWG recommendations, as well as monitoring and reporting any new issue identified either during or outside projects; for instance, associated with new technologies. CMT approved the EACTB request with follow on actions/comments. The EACTB will be framed under the Certification Authorities for Bilateral Agreements & Certification Procedures (CABA).

³³ https://www.easa.europa.eu/sites/default/files/dfu/EACWG final report June 2017.pdf



3.1.3.3 Ensure the safe operations of drones

Common European rules for Unmanned Aircraft Systems operations and registration

To ensure the free circulation of drones and a level playing field within the European Union EASA has developed common European rules. They contribute to the development of a common European market while ensuring safe operations and respecting the privacy and security of EU citizens.

Since 28 February 2019 Europe is one step closer to harmonised rules for safe drone operation as the EASA Committee voted unanimously to approve the EC's proposal for an Implementing Act to regulate the operations of Unmanned Aircraft Systems (UAS) in Europe and the registration of drone operators and of certified drones. The Implementing Act is accompanied by a Delegated Act, which defines the technical requirements for drones. It was adopted by the EC on 12 March 2019 and sent to the EU Parliament and to the EU Council for the mandatory 2 months scrutiny period. If no objections are raised by the EU Parliament or by the EU Council, both acts will be published before the summer of 2019 and the regulation will become gradually applicable within a year of publication. By 2022 the transitional period will be completed and the regulation will be fully applicable.

With this regulation, the proposed EASA general concept, establishing three categories of UAS operations ('open', 'specific' and 'certified' with different safety requirements, proportionate to the risk), is adopted at the European level and will be implemented.

Moreover, as the number of UAS operations increases, there is a need to establish unmanned traffic management (UTM) systems (named 'U-space' in Europe). There has been a huge development of U-space during the last year and it is expected that this will develop even faster in the years to come. The ATM MP reflect the details about the integration of UAS in the EU airspace.

Key actions and future outlook

Following the publication of the EU regulation, EASA will publish Guidance Material (GM) and the description of means to comply with the regulation, the Acceptable Means of Compliance (AMC).

They include:

- a revised version of the draft AMC and GM that were published with Opinion 01/2018³⁴;
- the SORA (Specific operation risk assessment) as AMC to the risk assessment that is required in the 'specific' category;
- the first pre-defined risk assessment to assist operators when applying for an authorisation in the specific category; and
- explanations resulting from the discussions held with stakeholders during the approval of the regulation.

In parallel, EASA is working on the next regulatory actions that will enable safe operations of UAS and the integration of these new airspace users into the European airspace:

- EASA's Opinion containing 2 standard scenarios that each allow the use of a declaration. These standard scenarios will be included in an appendix to the Implementing Act: EASA's Opinion is expected in Q4 2019.
- EASA's notice of proposed amendment (NPA) for UAS in the 'certified' category, which will include a comprehensive package addressing all aviation domains (airworthiness, continuing airworthiness,

³⁴ EASA Opinion No 01/2018: Introduction of a regulatory framework for the operation of unmanned aircraft systems in the 'open' and 'specific' categories



remote pilot licences, aircraft operations, ATM/ANS and aerodromes): the NPA is expected in Q4 2019or Q1 2020 and is expected to include IFR operations of large cargo UAS in controlled airspace and UAS operations in an urban environment.

• EASA's Opinion on U-space, including a high level framework: the Opinion is expected by Q4 2019.

All these regulatory actions are included in RMT.0230.

EASA continues to assess the need for action in the field of UAS in particular in relation to enable the harmonised implementation of the adopted regulations for the open and specific categories, the development of the necessary regulations for the certified category and the safe and harmonised development and deployment of U-space across the EU.

EASA Counter Drone Task Force – Proposed Action Plan

The events in Gatwick/Heathrow in December 2018 showed that the unauthorised use of drones at or around aerodromes may - given the potential effect on aircraft safety and security - lead to unacceptable disruption of operations, affecting the air transportation system.

Just after the events, an EASA internal Task Force was established to develop an action plan in order to support the aerodrome operators, aircraft operators and Air Traffic Service (ATS) providers to be better prepared to manage the presence (surveillance, detection or disruption) of unauthorised drones around aerodromes, while maintaining business continuity and while still being able to accommodate friendly drone operations.

EASA is proposing to act as the European coordinator of a roadmap to be developed and implemented with all involved stakeholders: the MSs (including NAAs and law enforcement authorities), the aerodrome operators (through ACI), and aircraft operators, the ANSPs, Eurocontrol and the European Commission. This implies also providing guidance on roles and responsibilities between all these different actors.

The action plan is articulated around five objectives, each objective being transposed into a short/medium term concrete action³⁵.

- Objective #1: Educate the public to reduce misuse of drones around aerodromes

With the upcoming Implementing Rules on drones, the MSs will be able to define geographical zones, i.e. portions of airspace that facilitate, restrict or exclude UAS operations in order to address risks pertaining to safety, privacy, protection of personal data, security or the environment, arising from UAS operations. The UAS geographical zones available to the public should be using a common unique digital format.

<u>Proposed action</u>: Create a standard providing a common and unique digital format to be used by MSs to make the UAS geographical zones available to the public. The ToR on this action, proposing a MS Task Force, was presented at the MAB SG meeting on 25 April 2019.

- Objective #2: Prepare aerodromes to mitigate risks from unauthorised drones use

Preparation of aerodromes to mitigate potential misuse of drones in their vicinity includes the definition of roles and responsibilities for the following areas: information gathering (including detection methods), coordination of procedures, risk assessment, training.

<u>Proposed action</u>: Develop guidance material on the definition of roles and responsibilities when sightings are experienced in the vicinity of an aerodrome.

³⁵ The action plan was distributed for comments and endorsement to MAB and SAB on 23 April 2019 and feedback is expected before end of May 2019.



Objective #3: Support the assessment of the safety risk of drones to manned aircraft with scientific data

Assessing the safety risk associated with the presence of an unauthorised malicious drone in the vicinity of an aerodrome, implies understanding the potential effect of a drone collision against manned aircraft. Currently there is a lack of conclusive scientific evidence, which led EASA to launch a research project to get an understanding of the outcome of potential collisions of mass market drones ('threat') with manned aircraft ('target'). This research project also aims to identify and recommend drone design strategies. The first project deliverables will not be available before the end of 2021. Therefore EASA is proposing as a short-term solution in the form of a workshop to share relevant scientific data available in the meantime.

<u>Proposed action</u>: Based on the future workshop's outcome, draft a report to gather any scientific data relevant to the consequences of a drone collision with manned aircraft.

Objective #4: Ensure that C-UAS measures are considered from a global safety perspective

While the responsibility for disrupting activity of misused or malicious drones falls under national law enforcement regulations, the choice of disruption technologies is a challenge since they could create unintended safety hazards and unmitigated risks to other manned aircraft, authorised drones or aerodrome infrastructures.

<u>Proposed action</u>: Develop guidance material to ensure the integrity of "No Flight Zones for Drones", to reduce unintended impact on CNS equipment and NAV aids infrastructure and to support public education and awareness initiatives.

- Objective #5: Support adequate occurrence reporting

EASA has an essential role to play in maintaining a record of occurrences for trend analysis and initiating proactive measures. Analysis of data/information related to unauthorised presence of drones in the aerodrome area and analysis of the effectiveness of the measures taken are considered as key.

<u>Proposed action</u>: Define criteria to classify an airprox between an UA and manned aircraft and an UA airspace infringement.

Other actions of non-regulatory nature on drones

- Coordinated safety promotion to create understanding and awareness of the rules and to support safe UAS operations in the long term (SPT.091);
- Aircraft drone collision research action.

EASA is very much engaged in the development of standards for the open and specific categories of drones and in the development of SP material.

3.1.3.4 New operating concepts and business models

Address current and future safety risks arising from new operating concepts and emerging business models

Some new business models such as those responding to the increased demand for flying in the cities, 'urban air mobility' or those generated by the increased digitalisation in the aviation industry (virtual/ augmented reality, digital twins, etc.), the introduction of more autonomous vehicles and platforms, single-pilot operations and completely autonomous cargo aircraft, will challenge the way authorities regulate and oversee the aviation system.



Until now the air travel over urban areas has been limited to very special operations, such as police operations or helicopter emergency medical services (HEMS). New aviation partners are seeking new business models to provide more services to citizens, ranging from parcel delivery by air within the cities to flying air taxis. These new business models and operations need to be performed in a safe and secure manner to maintain the confidence that citizens have in the air transport system. EASA has a key role to play in this area.

Key action:

• Develop rules or amend existing ones, where necessary, to address new technologies and operational air transport concepts (RMT.731 'New air mobility').

3.1.3.5 Electric & hybrid aircraft

Innovation in any industry is a key factor influencing its competitiveness, growth and employment potential. With this strategic priority in mind, and looking at the increasing number of new aircraft manufacturers and suppliers working on aircraft using electric propulsion (and increasingly electric systems), it becomes apparent that there are very strong prospects as well as demand, from industry and governments, to have hybrid propulsion and eventually fully electric aircraft. Environmental benefits, in terms of emissions and noise, as well as social enhancements (e.g. mobility and accessibility) are also determining factors. However, potential disadvantages, such as the disposal of batteries, will not be addressed.

To encourage the safe integration of new technological advancements in the wider electrical aviation sector overall, flexibility in the approach on all types of concepts, variations and designs types will be enhanced.

To allow for the projects to thrive, a number of complex issues need to be tackled from a regulatory perspective. In terms of rulemaking, until such time as enough experience will have been gained, Special Conditions/Derogations will be applied in a flexible and innovative way, as already allowed by the system and in line with Better Regulation principles. The use of performance-based and non-prescriptive regulations has been used for e.g. CS-23, CS-VLA and for the future rules for drones.

After two applications for small VTOL have been received EASA launched at the end of 2018 a public consultation on its proposal for a Special Condition (SC) that includes suitable airworthiness standards which will enable the certification of small VTOL aircraft. The number and the nature of the comments received can be seen as an indication that such aircraft may have to be treated as a new product category which would neither fit the CS-23 nor CS-27 product category. However, the SC is supposed to represent the first component of the regulatory framework to enable the safe operation of air taxi and electric VTOL (eVTOL) aircraft in Europe. In anticipation of future air taxi operations in urban area a number of noise measurements will be performed on small VTOL in summer 2019.

Moreover and in order to enable type certification of electrical engines (EPU) for propulsion a set of technical requirements for a Special Condition is under development that is supposed to be published by mid-2019. By summer 2019, the first fully electric propulsion small aircraft type model is planned to be type-certificated. Additionally, the first positive investigations, also for large transport aeroplanes, have been conducted.

Likewise, in electric and hybrid aviation, EASA aims to build up knowledge on emerging technologies, support certification and networking, in view of defining an all operations philosophy. EASA is also engaged through internal training, and providing derogations support. Coordination and development of the necessary research initiatives and/or safety promotion as well as accommodating these in the best possible manner will be considered in future EPAS editions.



Rulemaking actions are only foreseen for future EPAS editions, once EASA will have collected practical technical experience with the type certification of these types of aircraft. This includes some already identified gaps for electric propulsion as certain future operational environments are currently not covered by existing rules and specifications, for e.g. use of urban areas, specifically designated areas at aerodromes, unconventional landing pads, irrespective of location, off airfields, etc. This approach would help to define in advance the necessary steps towards properly changing, updating and/or introducing regulations, specifications or procedures.

Equally, interaction has to be established between electric and hybrid aviation and the relevant EU bodies, MSs and foreign authorities, promoting and communicating on European and global harmonisation on electric and hybrid aviation regulations. Activities are also foreseen to assess the extent to which expected environmental benefits are realised and what kind of new challenges may arise, e.g. the increased noise level in urban areas.

3.1.3.6 Enable the implementation of new technologies developed by SESAR

EPAS also caters for the regulatory and implementation needs of the SESAR essential operational change and other new technological advancements (such as, but not limited to, U-space technological solutions, virtualisation and cloud-based architecture and remote tower operations).

Since the Basic Regulation repealed the Regulation (EC) No 552/2204³⁶, global interoperability, civil-military cooperation and compatibility with other regions' development plans, such as NextGen, form an integral part of EASA's work in impact assessment and future rulemaking or other related actions. Furthermore, EPAS provides a proactive and forward-looking view to the implementation of essential operational changes that support improvements required to safely manage the SESAR target operational concept.

In addition, EASA will consider additional implementation support actions that facilitate the achievement of operational improvements and new ATM operational concepts. These actions should approach the implementation needs of the enabling infrastructure in a comprehensive manner, thus facilitating the safe, secure and interoperable implementation of cost-effective solutions considered as necessary. These solutions could include GNSS, SATCOM, other satellite-based Communications, Navigation and Surveillance solutions or other technical solutions coming from the telecommunications field. It should avoid requiring specific technological solutions while specifying clear performance requirements to be met.

Key actions:

- Support the development of datalink operations through RMT.0524, expanding the current Commission Regulation (EC) No 29/2009³⁷ to alternate data link technologies compliant with performance requirements;
- Support the implementation of performance-based navigation in the European ATM network as per Commission Implementing Regulation (EU) No 2018/1048³⁸;
- Support the implementation of the regulatory needs of the SESAR projects (RMT.0682). This encompasses regulatory actions at rule level and validation of ad-hoc industry standards.

³⁶ <u>REGULATION (EC) No 552/2004 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 10 March 2004 on the interoperability</u> of the European Air Traffic Management network (the interoperability Regulation)

³⁷ COMMISSION REGULATION (EC) No 29/2009 of 16 January 2009 laying down requirements on data link services for the single European sky

³⁸ COMMISSION IMPLEMENTING REGULATION (EU) 2018/1048 of 18 July 2018 laying down airspace usage requirements and operating procedures concerning performance-based navigation



3.1.3.7 Enable all-weather operations

The European industry should have the capability to take full advantage of the safety and economic benefits generated through new technologies and operational experience. This represents a widely recognised interoperability subject touching on a wide range of areas, including performance based aerodrome operating minima (PBAOM), related aerodrome equipment to support such operations, and procedures both for CAT and GA.

Aircraft operations have always been influenced by the weather. Whilst modern aircraft design and the availability of weather observations and forecasts contribute to a predominantly very safe flying environment, there remain occasions where severe weather events have been identified as being a contributing factor in the causal chain of accidents and incidents. Such events remain of concern within the aviation community and corresponding safety recommendations (SRs) have been addressed to EASA by accident investigation authorities.

Since 2015, EASA has increased its focus on weather-related challenges and, as part of that work, has sought to identify whether the meteorological information available to pilots could be enhanced. Accordingly, EASA organised a first workshop dedicated to 'Weather information provided to pilots'. Following the workshop and the acknowledged need to take further action, EASA integrated the 'Weather Information to Pilots' project within the 'All Weather Operations' (AWO) activities (RMT.0379). A project team put together in April 2016 — involving representatives from international organisations, associations and industry — was tasked with an assessment of the situation and this resulted in the 'Weather Information to Pilots Strategy Paper'³⁹ issued in January 2018. The EASA Strategy Paper focuses on the weather phenomena that introduce risk to aviation, describes the current mitigation measures, the deficiencies and how to overcome them. The scope of the paper is focusing on CAT aeroplanes. In the near future, similar work will be undertaken to address weather information to pilots in GA and rotorcraft operations.

The EASA Strategy Paper proposes nine recommendations to further improve weather information and awareness. The recommendations are detailed on the Weather Information to Pilots web page⁴⁰ and on pages 28-29 of the Strategy Paper itself. They are summarised below:

- Recommendation #1: Education and training: weather hazards, mitigation, and use of on-board weather radar
- Recommendation #2: Improved weather briefing presentation: promote improvements to the presentation of weather information in-flight briefing.
- Recommendation #3: Promotion of in-flight weather information updates: promote the use of the latest information available to ensure up-to-date situational awareness.
- Recommendation #4: Pan-European high-resolution forecasts: support the pan-European developments regarding the provision of high-resolution forecasts for aviation hazards (e.g. CAT, icing, surface winds, cumulonimbus (CB), winter weather).
- Recommendation #5: Use of supplementary, 'Tier 2' weather sources for aviation purposes: develop the necessary provisions to support the use of supplementary' 'Tier 2' meteorological information by pilots.

³⁹ https://www.easa.europa.eu/sites/default/files/dfu/EASA-Weather-Information-to-Pilot-Strategy-Paper.pdf

⁴⁰ <u>https://www.easa.europa.eu/easa-and-you/air-operations/weather-information-pilots</u>



- Recommendation #6: Development and enhancement of aircraft sensors/solutions: promote the development of intrinsic aircraft capabilities to facilitate the recognition and, if required, the avoidance of hazardous weather.
- Recommendation #7: Connectivity to support in-flight updates of meteorological information: promote deployment of connectivity solutions (uplink and downlink) to support the distribution of meteorological information to pilots.
- Recommendation #8: Provision of enhanced meteorological information: promote provision of highresolution observed and forecast meteorological information, particularly data with high spatial and temporal resolution such as imagery derived from satellite and ground weather radar sources.
- Recommendation #9: On-board weather radar, installation of latest generation equipment: promote the installation of the latest generation of on-board weather radars, with emphasis on including capability for wind shear and turbulence detection.

To support the above, a BIS will be defined to determine the need for additional EPAS actions. These could then be considered for the 2021-2025 EPAS planning cycle.

Key action:

• Review and update the AWO rules in all aviation domains (RMT.0379).

3.1.4 Environment

Ensuring sustainability is a significant challenge for the aviation industry, MSs, EC and EASA. Sustainable aviation is about combatting climate change, and reducing the health effects from aircraft noise and air pollution. This needs to be considered in the global context in order to ensure a level playing field such that European industry remains competitive in a rapidly changing world. The introduction of novel technologies (including electric air taxis and drones, hybrid systems) require particular attention from an environmental protection perspective.

EPAS contains the status of the environmental standards related to sustainable aviation. The European Aviation Environmental Report - EAER⁴¹ provides an overview of the historic, current and forecasted environmental performance of the European aviation sector

Noise, Air Quality and Climate Change: Introduce the ICAO CAEP/11 requirements and recommendations

The aviation industry needs to minimise its impact on the environment as much as possible while providing safe air transport. In addition, it is key to have environmental requirements that are consistent with the rest of the world to ensure a level playing field.

Actions in this area will contribute to European policies on climate change, air quality and noise reduction. The ICAO Committee on Aviation Environmental Protection (CAEP) agreed in February 2019 on a new non-volatile Particulate Matter (nvPM) emissions standard, and proposed improvements to the existing noise, aircraft engine emissions and aeroplane CO₂ emissions standards. The agreed updates to the environmental standards will need to be implemented into European legislation in order to become effective.

The actions to implement ICAO standards in Europe will be adjusted and detailed once the outcome of the ICAO adoption process is communicated in an ICAO State Letter.

⁴¹ www.easa.europa.eu/eaer



Adapting to the effects of Climate Change

In addition to the need for the aviation industry to minimise its impact on the environment, the aviation system as a whole needs to prepare for and adapt to the effects of a changing climate. An increasing number of organisations are starting to take action to adapt to the impacts of climate change, with initiatives at European, national, and organisational levels. The European Aviation Environmental Report 2019 provides an overview of climate effects (e.g. changes to wind patterns, rising sea level) and their aviation impact, together with information on the status of preparedness of the European industry with regards to mitigating these effects.

The European Climate Adaptation online platform⁴² created in support of the EU Strategy on Adaption to Climate Change⁴³ contains further information on aviation infrastructure impacts and potential adaptation measures.

Key actions:

- Implement ICAO CAEP/11 agreed amendments to Annex 16 Volumes I, II and III (RMT.0514).
- Develop PM regulations and guidelines (RES.024);
- Obtain high-quality technical expert support on standardisation issues (RES.024).

In addition, EASA is also involved in the following activities:

- Environmental fraud prevention;
- Development of an ecolabel;
- LifeCycle assessments;
- Novel technologies;
- European Aviation Environmental Report and Recommendations;
- Sustainable aviation fuels;
- Carbon Offsetting and Reduction Scheme for International Aviation (CORSIA);
- International cooperation projects on aviation environmental protection; and REACH monitoring process together with European Chemical Agency under the Memorandum of Understanding.

Following the observation from Advisory Bodies that the inclusion of Environment in the EPAS may create confusion, EASA seeks the views of stakeholders on how to address environmental topics appropriately in the future.

⁴² <u>https://climate-adapt.eea.europa.eu/</u>

⁴³ <u>https://ec.europa.eu/clima/policies/adaptation/what_en</u>



3.2 Strategic enablers

3.2.1 Research

The European aviation industry has gone through a successful development in the past decades placing Europe at a leading position in the global competitive market. Significant elements of this success story are the European aviation research and innovation programmes of the EU as well as the MSs' and industry's research activities. Therefore, these initiatives are of high relevance to the setting-up of EPAS actions. They contribute to EASA's objectives for ensuring the highest level of aviation safety, security and environmental protection in Europe.

Recently developed technologies, notably in the areas of complex software, propulsion, new materials, connectivity, digitalisation, data science, autonomous vehicles, space operations, business models are planned for entry into service at an unprecedented pace in the aviation economic system.

Further evolutions may address emerging risks such as security, including cybersecurity, AI applications and systems or aviation impact on climate change.

Moreover, aviation growth is calling for solutions that are resilient to weather hazards, continuous traffic growth and increased complexity of traffic ranging from operation at low altitudes to commercial aircraft operations and operation in remote areas.

The European and national research & innovation programmes, including Clean Sky and SESAR, are developing new aviation concepts and solutions, which will need to be certified or approved prior to entering operation in Europe as well as in third countries. Furthermore, new entrants, in particular in the drone sector, bring new requirements to the European aeronautics arena, which also necessitate new European regulatory responses.

It is essential for Europe that EASA is in the position to support and assist the streamlining of the deployment of those new solutions. To meet these objectives, notably with regard to the safe integration of new technologies and concepts, and to measures improving environmental protection, EASA must be equipped with new tools, agile methods, test/demonstration standards and modular evolutionary approaches for product certification and operational approval processes. This requires a number of evolutions to the current regulatory framework in order to cope with these current and future expected developments.

Playing a pivotal role between innovation and the development of safety, security or environmental protection standards, EASA is positioned to federate the future aviation research and innovation network comprising MSs, the industry and the aviation research community. It can also support development of new instruments for European aviation research and innovation projects' prioritisation and coordination, in support to the EU Advisory Council for Aviation Research and Innovation in Europe (ACARE) Strategic Research and Innovation Agenda (SRIA)⁴⁴.

EASA's BR entails that EASA support the development of EU aviation/aeronautics research programmes and projects; to develop synergies and collaboration between the Agency and publicly funded research; to catalyse cooperation between national aviation research programmes and research centres. To this end, discussions between the Association of European Research Establishments in Aeronautics (EREA) and EASA have taken place to possibly launch a common initiative for a 'European Research Agenda'. Furthermore, a federation of universities, collaborating with the Agency to join research efforts is in the making, with *Ecole Nationale de l'Aviation Civile Toulouse, Technische Universität B*raunschweig and *Technische Universiteit Delft* in the lead.

⁴⁴ 2017 edition of ACARE SRIA: <u>http://www.acare4europe.org/sria</u>



Regularly, EASA experts and external stakeholders suggest or request research activities topics that are needed to tackle the issues identified. These topics are prioritised on a yearly basis and included in the 'Research Agenda⁴⁵', which groups the requests for a given period, even without having immediate funding. A short overview of the prioritisation exercise can be found on the EASA website. The Research Agenda encompasses a series of innovation- and efficiency-related actions besides safety-focused research.

The research projects becoming part of EPAS are those that are triggered by safety recommendations addressed to EASA or that are already covered by a funding source or likely to be funded by the start of the reference period of the given EPAS.

Started in 2019, a series of research actions identified in EPAS will be funded through a delegation agreement, established with the EU Horizon 2020 programme and coordinated by EASA. The list of projects is as follows:

RES.006 - Effectiveness of flight time limitations (FTL): The 2nd assessment is about the collection, analysis and processing of historical and in-flight crew fatigue data to support the continuous review of the effectiveness of the provisions concerning flight and duty time limitations and rest requirements as foreseen in Regulation 965/2012⁴⁶; this is to cover the envelope of most frequent short, medium and long-haul scheduled air operations and encompass schedules in less favourable times and classified as disruptive.

RES.008 - Integrity improvement of rotorcraft main gear boxes (MGB): Research aimed at identifying threats to the integrity of critical components of rotor drive systems and at developing methods for evaluating flaw-tolerant critical component designs. Specifically, this includes enhancements to the design of helicopter MGB and its attachments, to preclude separation of the mast and main rotor from the helicopter and enabling autorotation even in the event of major failure of the main gear box components.

RES.009 - Helicopter Offshore operations – New floatation systems: Assessment of technical solutions for enhancing helicopter floatation at sea in view of heightening survivability following helicopter capsizing - which is the major event conducive to fatalities due to drowning.

RES.013 - Quick recovery of flight recorder data: Further to the MH370 accident and the adoption by ICAO of consequent SARPs⁴⁷, assessment of the feasibility for using wireless transmission solutions for timely recovery of flight recorder data – namely flight parameters, audio and video images – in the follow-up to an accident; particular emphasis should be addressed to tackle prevailing open issues, such as those linked with the possible circumstances of an accident - loss of engine power, unusual aircraft attitude, aircraft complete destruction, accident in an oceanic area...- , the reliability and cost impact of the proposed solutions, their aptitude for usage in accident investigations as well as associated data privacy considerations.

RES.015 - Vulnerability of manned aircraft to drone strikes: Assessment of the potential collision threats posed by drones to manned aircraft and evaluation of their estimated impacts; establishment of a risk model to support regulatory and operational stances to be validated by means of a comprehensive set of simulated impact tests.

RES.016 - Fire risks caused by portable electronic devices on-board aircraft: Research aimed at the full characterisation of the fire risks associated with the transport of large portable electronic devices (PED) in aircraft, notably of those stored in the cargo compartment in the checked-in luggage; this encompasses theoretical and experimental work to deepen the knowledge related to the inception and propagation of PED originated fires as well as devising efficient and cost-effective means for their detection and suppression.

⁴⁵ EASA Research Agenda 2019-2021 rev 1

⁴⁶ <u>Commission Regulation (EU) No 965/2012 of 5 October 2012 laying down technical requirements and administrative procedures</u> related to air operations pursuant to Regulation (EC) No 216/2008 of the European Parliament and of the Council

⁴⁷ ICAO Annex 6 Part I, section 6.3.5



RES.024- Assessment of Environmental Impacts - Engine Emissions: Development of extended and more robust standards for purposes of supporting the assessment of engine emissions.., The emphasis shall be on robust methods for nvPM mass and number determination including, notably, particle size measurement and sampling techniques, consideration of the effect of both ambient conditions and volatile PM, and sensitivity and uncertainty analyses. This task merges RES.018 and RES.019 of the EPAS 2019-2023, due to the similarity of research activities to be undertaken.

RES. 025 - Assessment of Environmental Impacts - Aircraft Noise: Development of extended and more robust standards for the purpose of supporting the assessment of aircraft noise footprints. The focus will be two-fold: (i) extension of current helicopter noise models towards ensuring the coverage of current types of helicopters within the European fleet⁴⁸; (ii) extension of prevailing modelling approaches in view of the assessment of the noise footprint of new aircraft concepts prior to their certification – centred on supersonic aircraft and vertical take-off and landing (VTOL) aircraft.

RES.026 - Market-based Measures: Extension and updating of existing capabilities for assessment of Marketbased Measures notably to cater for new traffic data and forecasts, handling of novel scenarios and measures, ensuring their fitness-for-purpose and credibility for supporting critical policy-making both at European (EC, MSs) and international (ICAO) level.

The list of research-related EPAS actions is included in **Appendix J:** Index

⁴⁸ work planned to be carried out in tandem with the US Department of Transport Federal Aviation Administration, implementing EU-US data exchange agreements



3.2.2 Safety promotion

From the beginning of 2019, EASA has begun the launch of a new safety promotion strategy that will take an increasingly proactive approach to the way EASA communicates with the European aviation community. This will position EASA's Safety Promotion programme as a safety promotion leader in Europe and worldwide having influence and a recognised brand. Understanding that different aviation stakeholders have very different needs in terms of information and communication channels, the strategy takes a domain-based approach. It has been split into operational domains such as aircraft operations, aerodromes and groundhandling, General Aviation, rotorcraft and drones.

When possible, safety promotion will be used as a light and effective alternative to rulemaking and oversight. It will also support a better understanding of EU civil aviation regulations and provide more information on safety intelligence and analysis results. The strategy will provide continual information on a wide range of safety topics at domain level. A wide range of communication tools will be used to spread safety messages and this will see EASA becoming more active on social media and using new and novel ways to inform people about safety. Within EPAS, there is a number of specific SPTs and this is augmented by a number of new actions to promote important safety topics in each of the main operational domains.

3.2.3 International cooperation

One of the EC's 10 key priorities is that the EU becomes a stronger global actor. EASA supports the EU and cooperates with national, regional and international organisations alike in order to enhance global aviation safety, and supports the free movement of European products and services. Furthermore, ICAO acknowledges that aviation safety can be better managed at regional level and recognises the importance of Regional Safety Oversight Organisations (RSOOs) in this respect. This supports a stronger role of EASA in a broader European context.

In this perspective, the strategic priorities at an international level are the following:

- Strive, through international cooperation, that citizens' interests for safety and environmental protection are being met at global level. This can be achieved through:
 - contribution to improving global safety and environmental protection;
 - support to the resolution of safety deficiencies through technical assistance; and
 - promotion of regional integration wherever effective.
- Ensure a global level playing field for European industry. This can be achieved through:
 - promotion of fair and open competition and removal of barriers to market access;
 - enabling efficient oversight between international partners; and
 - promotion of EU aviation standards around the world.
- Enable the European approach. This can be achieved through:
 - coordination of common positions at ICAO;
 - centralisation of international oversight actions and intelligence;
 - bringing together different European actors in technical assistance; and
 - promoting the recognition of the European system at ICAO level.



3.2.4 Digitalisation

Aviation moves into the digital era at an unprecedented pace. Almost all aviation sectors are affected by these developments. Aircraft manufacturers are moving, mostly for future products, from trend monitoring of key components to using increasingly connected digital systems, such as on-board sensors and digital engine twins. Digitalisation also affects aircraft operations by allowing certain operations to be carried out or controlled remotely. In certain extreme cases, such as drones, digitalisation can take the shape of full automation with minimal remote human intervention. Digitalisation is furthermore transforming the way training is performed and supports the move towards fully data-driven decision-making.

In order to exploit the full digitalisation potential, the aviation sector needs to progress in the 'Information Management' dimension. Today the fragmentation of data both in terms of taxonomy and storage does not allow a significant progress for the analysis according to the latest methodologies. These developments are increasingly challenging traditional aviation regulations and calling for an evolution towards more performance-based, technology-neutral requirements, which will enable the novel business models that emerge from the digital transformation, increasing at the same time safety and efficiency.

EASA is engaged in defining its roadmap to digitalisation in order to determine the following:

- changes needed in the regulatory system to accompany and benefit from industry digitalisation;
- actions needed to keep abreast of digitalisation issues, in particular in relation to product certification and operations;
- key EASA digitalisation activities needed, both for external purposes (e.g. e-licence for pilots) or internal purposes (e.g. digitalisation of processes); and
- actions needed to implement EU's digital agenda and e-government action plan.

The roadmap will have due regard to digitalisation-induced cybersecurity issues and related EPAS actions.

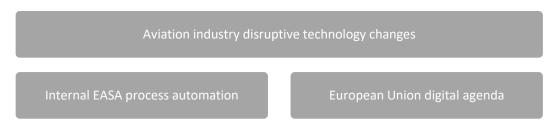


Figure 5. Overview of the digital transformation strategy drivers

The high level EASA digitalisation roadmap is defined to address 4 main challenges as represented below:



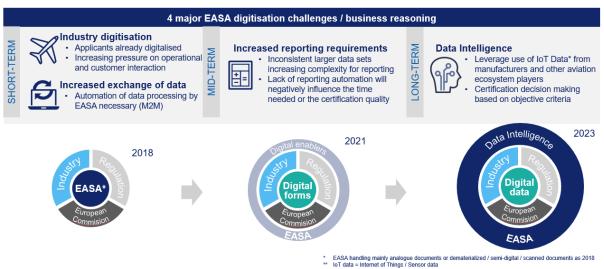


Figure 6. EASA High level digitalisation roadmap

The purpose is to allow EASA and the aviation system to integrate by 2023, allowing streamlined processing of data and advanced analytics capabilities. This can be achieved only through the realisation of the intermediate milestone set for 2021 where EASA should reach the full digitisation and develop the digital enablers necessary to move data intelligence. The roadmap can only add value if executed in association and alignment with the aviation sectors, with specific attention to the convergence of a robust industry wide Information Management framework.

In 2018 EASA started the digital Licences for EU Aviation Pilots (dLAP) proof of concept (PoC) project, following the vision of providing a contemporary 'state-of-the-art' IT system, being applied in EASA's MSs Competent Authorities (CAs), to provide a comprehensive and regulated IT platform for issuing, revalidating, renewing, suspending or revoking digital pilot licences.

The dLAP project intends to provide easy-to-use services, especially for aviation pilots, at a first stage, by carrying their (currently only paper-based licences) digitised on their mobile devices. The IT platform will therefore provide a digital signature workflow for electronic Identification (eID) to verify securely the identities of the pilots using the system and to enable the competent authorities, the authorised examiners and aeromedical examiners to validate and update the pilot licence. The dLAP platform will also provide a web portal with multiple interfaces to be viewed in a standard web-browser to provide easy-to-use services to the pilots, aeromedical examiners and flight examiners. dLAP PoC will run until July 2019 and the next stages of development and implementation will be phased in until 2021.

3.2.5 Technical Training

According to ICAO Annex 19, qualified technical personnel is a critical element (CE-4) of the State safety oversight system. Annex 19 stipulates that States shall establish minimum qualification requirements for the technical personnel performing safety-related functions and provide for appropriate initial and recurrent training to maintain and enhance their competence at the desired level.

Consequently, as in ICAO's GASP, EPAS considers technical training as a strategic key enabler for an effective State oversight system.

Aviation is a very dynamic sector with rapidly innovating technologies and business models. At the same time, it is confronted with evolving new risk scenarios in terms of both safety and security. These rapid changes are



a challenge for the staff of aviation authorities, as well as for aviation organisations, to keep abreast of new developments and to update their knowledge and competencies to fulfil their responsibilities.

Furthermore, Reg. (EU) No 2018/1139 provides a framework for pooling and sharing of technical resources between the MSs and EASA. The implementation of this new approach needs to be based on harmonised training and assessment standards for aviation personnel.

EASA will therefore continue to focus on the following key areas:

- Maintenance and further development of the competence of EASA staff based on training programmes specifying initial and recurrent training subjects.
- Further harmonisation of training and assessment standards for aviation inspectors within the EASA system, together with the Common Training Initiative Group (CTIG).
- Cooperation with aviation authorities and aviation organisations.
- Support to universities and similar educational institutions through lectures.
- Support of the international cooperation strategy through dedicated training services.
- Continuous improvement of the European Central Question Bank (ECQB), used for knowledge examinations of commercial pilots; taking into account EPAS priorities, where relevant for the training of pilot competencies.

Through the CTIG and the NAA training focal points, EASA makes available its catalogue of technical training courses to all MSs. The catalogue includes a number of safety-management-related training courses, such as training on SSP, EPAS, safety data collection & analysis, as well as on SRM. Additional training needs to support the implementation of the SSP (MST.001) and SPAS (MST.028) will be discussed with the Safety Management TeB on an ongoing basis.



3.2.6 Oversight and Standardisation

The Standardisation process monitors how States apply the requirements of the Basic Regulation and of the connected Implementing Rules. In particular, the Agency assesses the States' capability to discharge their safety oversight obligations.

What we want to achieve

Through the application of the EU aviation safety regulations and the deployment of the EPAS, EASA supports the establishment and the maintenance of robust oversight systems across Europe, where each CA is able to properly discharge its oversight responsibilities.

To that end it is essential that States, though their CAs, are capable to manage the safety risks identified at State level. This presumes that those risks are identified through a process to collect and analyse data and mitigated in an effective way, implying the measurement and monitoring of safety performance leading to continual improvement.

In addition, exchange of information and cooperation with other CAs, implementation of management systems in all organisations, as well as ensuring the availability of adequate personnel in CAs need to be in place, are essential enablers.

Currently identified weaknesses

The SAR 2018 identifies the following areas of concern:

- The quality of the certification and oversight performed by the authorities remains in some cases unsatisfactory. The severity of the issue varies from domain to domain, but it is consistently observed.
- Although progress has been noted in the functioning of the Authorities' management systems, the oversight of management systems in industry is still below the expected standard. This puts into question the ability to implement risk- and performance-based oversight.
- Differences among States in terms of levels of maturity in the application of the rules are still present, with some States continuing to encounter difficulties in meeting the minimum standard. This could undermine the integrity of the European aviation system and needs to be properly addressed. Some implementation support initiatives generated some improvement, but not in all cases.
- As also noted during the SYS inspections, the use of available data and intelligence, to drive a more effective and better targeted oversight is still sporadic and not widely spread as it should, at times leaving data analysis detached from the oversight performed. Further analysis of Standardisation inspection results shows that some CAs still show a reactive attitude.

A number of actions are presented in **Chapter 5 Section 5.6** to drive improvements in these areas of concerns.

It should also be noted that, in line with the priorities of the BR, EASA started to roll out an implementation support programme that will entail activities mainly aimed at strengthening the safety oversight capability of the MSs, together with targeted support activities addressing SSP and SPAS implementation, thus enabling a robust and harmonised EU aviation safety system.

EASA will also continue to support CAs in the application of very large-scale demonstration (VLD) activities in support of essential operational changes that are intended to improve the European ATM system.



3.3 New Basic Regulation

3.3.1 General

The BR prepares the grounds for the future challenges ahead while maintaining aviation as a safe, secure and environmentally friendly form of transport for EU citizens. It entered into force on 11 September 2018.

The BR Chapter II 'Aviation safety management' creates a solid legal foundation for EPAS and transposes ICAO Annex 19 SARPs for State safety management.

The BR conforms with the EU Commission's Aviation three key strategic priorities, namely: maintaining high EU safety and security standards, hence strengthening the EU's role as a global actor; tapping into growth markets while promoting job creation; and tackling limits to growth in the air and on the ground.

Main objective	BR provisions
Making better use of the EASA system's limited resources with the following initiatives	 A pool of European aviation inspectors New framework for reallocation of responsibilities Oversight support mechanism Additional privileges for qualified entities Repository of information (including aero-medical) and Big Data Updated framework for better working at international level
Having a flexible and performance- based system, by introducing the following principles:	 Risk- and performance-based elements reinforced Additional flexibility for General Aviation (e.g. use of declarations) Safety plan for Europe and national safety plans Opt-in for Annex I aircraft manufacturers Opt-in for 'state aircraft' Opt-out for light sport aircraft
Integrating unmanned aircraft, by applying these conditions:	 150 kg threshold removed from Annex I (all unmanned aircraft within scope) Operation-centric framework Use of market harmonisation legislation Registration requirements Protection and efficient use of radio-spectrum Amendments to the accident investigation and occurrence reporting regulations
Closing previous gaps and inconsistencies, such as:	 Interdependencies with other domains, such as security, environmental and ATM legislation Essential requirements and cooperation framework for cybersecurity Proportionate safety requirements for groundhandling (GH) EU environmental protection requirements to the extent not covered by ICAO Annex 16
Allow for a better governance in EASA, with:	 Alignment with the 'common approach' on EU decentralised agencies New forms of EASA revenue (grants) Making best use of EASA resources, by: furthering the use of EASA expertise by the Commission (security, environment, research, SES implementation) allowing for demand-driven resources for certification (more flexibility in adjusting fee-financed staff according to workload)

The main BR objectives and related provisions are included below:

3.3.2 BR roadmap

On 10 April 2018, the EASA MB requested EASA to present a roadmap outlining the priorities for the implementation of the BR. The roadmap received the MB's support during the June 2018 MB meeting.



It identified the areas of the BR where work is to be initiated or will start in the range 2019-2021. It constitutes an important input also for this EPAS edition.

The roadmap identifies not only rulemaking activities, but also certification- and Standardisation-specific projects, involving policies' or procedures' drafting, initiatives with roadmaps, support to MSs, etc.

When it comes to rulemaking and policy setting, the following activities identified in the BR were already included in the previous EPAS edition and will continue to be delivered:

- Development of a regulatory framework for drones and urban air mobility
- Work on cybersecurity
- ADR/apron management services (AMS) (see Opinion No 02/2014)
- ATM/ANS (Article 44) Opinion covering interoperability issues:
 - RMT.0679 SPI: Report to be published (no Opinion)
 - RMT.0524 DLS: Opinion due in 2021

As of 2022, EASA will start working on ATM/ANS systems and constituents and organisations involved in their design, production and maintenance (Articles 42, 43, 45 and 47), including where they contribute to the implementation of SESAR. This is an area where no safety evidence requires EASA to prioritise work on and thus starting in 2022 is proposed.

In order to better encapsulate and reflect in EPAS the new areas introduced by the BR, the strategic priority 'Safe integration of new technologies and concepts' was introduced with EPAS 2019-2023 (see Section **3.1.3**).

Under RMT.0727 EASA will publish an Opinion at the end of 2020 proposing to implement the airworthiness aspects of the BR. This Opinion will include items such as simpler and more proportionate rules for sports and recreational aircraft, the extended use of declarations and others discussed in the context of the GA Roadmap phase 2. In addition, the Opinion will also address other items introduced or amended by the new BR, such as non-installed equipment, permit to fly and restricted certificate of airworthiness etc.

In the areas of groundhandling and on new aspects of environmental protection (not covered by ICAO Annex 16) the following activities will be undertaken:

- On groundhandling (Articles 33 & 37), during 2018 EASA engaged in a fact-finding phase, via safety assessment and dialogue with MSs and stakeholders. In March 2019 a dedicated groundhandling conference organised by EASA concluded this fact finding phase and presented the groundhandling roadmap, defining the scope and objectives. A new RMT was added in EPAS 2019-2023 to address requirements for the provision of groundhandling-related rulemaking (RMT.0728). A new SPT was also added to address any non-regulatory groundhandling matters (SPT.102).
- On environmental protection (Article 87), EASA will engage in developing a measurement methodology for novel technologies (supersonics, electric propulsion/urban mobility) as well as updating the EAER.

Moreover, the **BR in Chapter II**, 'Aviation safety management' Article 7 requires States to establish and maintain an SSP in accordance with international SARPs (ICAO Annex 19) and with the European Aviation Safety Programme (EASP). BR Article 8 requires States to complement their SSP with a SPAS. Such a plan shall include the risks and actions identified in EPAS that are relevant for the MSs concerned. A new EPAS action was created with EPAS 2019-2023 to account for this new requirement (see MST.028). A dedicated repository



for MS' SSP documents and SPAS' was made available to facilitate the dissemination of such documents⁴⁹. In addition, a States Safety Exchange Forum was created to encourage the sharing of guidance material and good practice.⁵⁰ EASA expects MS to have a SPAS available by the end of 2020. EASA Standardisation activities will be extended to these new requirements as of 2021; this will give MS some time to get prepared.

The development of new technologies, new business models and more generally speaking economic/social/societal changes, may have an impact on aviation safety. It is important for the Agency to have a clear vision on those changes that can potentially impact safety. Stakeholders and **Social Partners** should help to build this vision.

Article 74 of the BR requires EASA to develop a **repository** which aims at facilitating the exchange of information between the Competent Authorities, EASA and the Commission. Considering the huge quantity and complexity of information, the obligation to comply with data protection requirements, the Management Board decided to set-up a dedicated Task Force which falls under the MAB. The Task Force will focus on specifications per domains, the global architecture and the governance of the future platform. In 2019, the domains to be addresses will be mainly drones, exemptions and aero-medical data. The technical solution shall rely on the EASA 'Certification and ORganisation ApprovaL information hub programme' (CORAL) outputs. CORAL was initiated as an emergent programme with the purpose to harmonise projects through system integration and end-to-end digitalisation. The implementation of additional domains (e.g. licences, opt-outs, opt-ins) will be done step-by-step and in line with the CORAL milestones, with the ultimate goal to have all domains covered by 2025.

An important milestone will be to include drones, with data available in 2020.

Article 89 of the BR requires EASA to consult relevant stakeholders when addressing interdependencies between civil aviation and related socio-economic factors. EASA is therefore enhancing the cooperation with EU social partners in aviation in order to reinforce its capacity in assessing potential social impacts of the EU aviation regulations and to address socio-economic risks to aviation safety. Refer to **Section 3.1.1.5.** .

Point 2 of **BR Article 140** stipulates that 'Not later than 12 September 2023 the implementing rules adopted on the basis of Regulations (EC) No 216/2008 and (EC) No 552/2004 shall be adapted to this Regulation'. Except for RMT.0727on initial airworthiness, EASA has not identified the need to change any IRs for the sole purpose of complying with the BR deadline. Changes to rules will instead be driven by concrete safety, proportionality or level playing field improvements. In addition, the limited capacity of the EASA Committee will need to be taken into account when setting priorities.

⁴⁹ https://imf.easa.europa.eu/case/eab/mabtebs/SSPDocuments/Forms/AllItems.aspx

⁵⁰ https://imf.easa.europa.eu/collab/SSEF/SitePages/Home.aspx



4.1 Key indicators in terms of EPAS actions

The safety driver is the one that contains most of the actions in the plan, followed by efficiency/proportionality

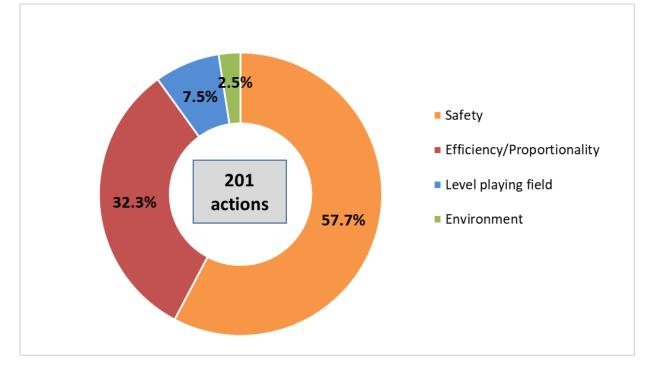


Figure 7: Share of EPAS actions by driver

Half of the actions in EPAS are strategic (i.e. linked to the areas highlighted in chapter 3)

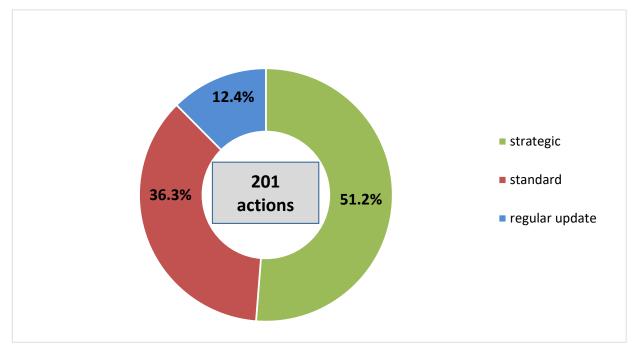
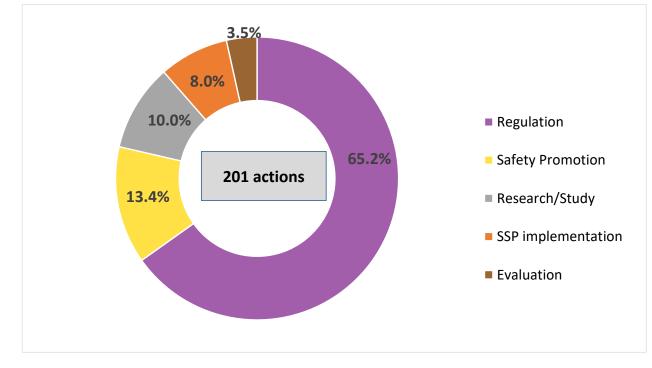


Figure 8: Share of EPAS actions by priority type





Most of the actions in EPAS are rulemaking projects

Figure 9: Share of EPAS actions per action type

Average duration of rulemaking tasks and adoption process

The table below shows the average duration of rulemaking tasks for Opinions and Decisions published by EASA in 2018 (meaning from ToR publication to Opinion/Decision publication), as well as the average duration of the adoption process for Opinions adopted in 2018 (meaning from Opinion publication to the vote in the EASA Committee).. The information for 2019 will be available in the final EPAS.

Average	duration -	Decisions	Average duration - Opinions published	Average duration - Opinions adopted
published	by EASA in 2018		by EASA in 2018	by EC in 2018
	3,2 years		3,4 years	2,7 years

Rulemaking output

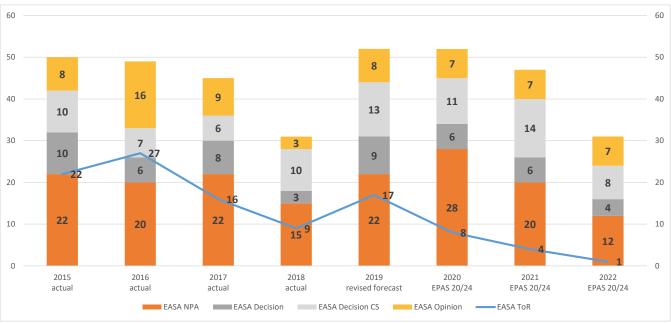
The rulemaking activity shows an overall decrease between 2015 and 2018. The volume of hard law deliverables planned for the next 5 years has been adjusted to the actual capacity of the regulatory system.

The graphs on the next pages show not only the total rulemaking output of EASA (Figure 7), but also separately the rulemaking activity leading either to Opinions (hard law and associated soft law, Figure 8) or to stand-alone Decisions⁵¹ (soft law, Figure 9), as the latter have little impact on the MS resources.

⁵¹ Decisions that are not linked to any Opinion



These graphs do not reflect Decisions (AMC and GM) that are waiting for the adoption of the related Opinions by the EC.



Rulemaking activity – EASA

Figure 10: Rulemaking activity EASA 2015–2022 – total rulemaking output⁵²

EASA plans to publish seven Opinions per year as of 2020. The number of Certification Specifications (CS) already increased in 2018 and will continue to increase in 2019. The updating of CS' to keep up with safety needs and new technologies provides adequate support to the manufacturing industry.



Rulemaking activity leading to Opinions (hard law and associated soft law)

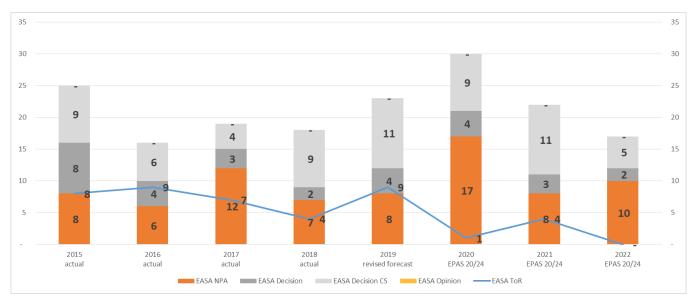
Figure 11: Rulemaking activity EASA 2015–2022 – Opinions and related soft law

⁵² The 13 actions mentioned in Appendix C as de-prioritised are not part of this graph.



Performance

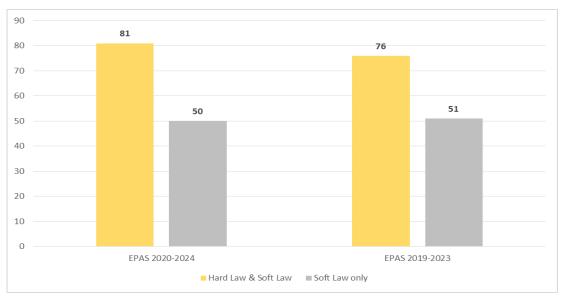
The above graph shows the rulemaking output related to Opinions and related soft law, meaning any rulemaking task that contains at least one Opinion and related soft law. Generally, the development of an Opinion and the related soft law is done in parallel, as part of the same rulemaking project.



Rulemaking activity related to soft law

Figure 12: Rulemaking activity EASA 2015–2022 related to soft law

The above chart shows the outputs related to soft law, meaning those resulting from rulemaking tasks that only lead to 'stand-alone' Decisions. These tasks do not require the involvement of the EC, nor the EASA Committee, and have less impact on MS resources.



Split between hard/soft law and soft law (compared to the 2019-2023 EPAS edition)

Figure 13: Split between hard/soft law and soft law

The output leading to Opinions has slightly increased compared to the EPAS 2019-2023 edition as a result of the re-prioritisation of several tasks as well as the introduction of a new hard law task.



4.2 Safety performance

This section presents an outline for EPAS safety performance metrics reflecting the EPAS strategic priorities in the area of safety and the high-level safety objective set out in the BR to 'establish and maintain a high uniform level of civil aviation safety in the Union'. EPAS safety performance goals, indicators and targets also consider the 2020-2022 GASP goals and targets as relevant in the EASA system.

EPAS proposes an 'aspirational goal' overarching the different EPAS indicators, as an alternative to the GASP aspirational goal of 'zero fatalities in commercial operations by 2030 and beyond', as follows:

'achieve constant safety improvement with a growing aviation industry'

This goal is deemed 'aspirational' as it represents an ambition of achieving an ever safer aviation system. It is intended to address all operational domains.

EPAS SPIs shall serve to monitor the impact of EPAS actions on the overall level of safety performance. New safety issues are identified and monitored via the European SRM process.

In accordance with Article 6 of the BR, EPAS shall specify the level of safety performance in the Union, which the MSs, EC and EASA shall jointly aim to achieve. The level of safety performance shall be determined on the basis of the EPAS SPIs and where relevant, associated safety performance targets, as well as considering the safety-related indicators and targets defined in the SES ATM Performance Scheme.

Principles for establishing EPAS SPIs and targets

SPIs and targets shall monitor both safety **outcomes** (such as accidents, incidents and injuries) and the enablers, in terms of **systems and processes**⁵³ required to maintain effective safety management at authority and organisation levels.

Setting safety performance targets as part of EPAS is considered more relevant for process-based indicators, to drive positive system 'behaviours'. For safety-outcome-related metrics, which are derived from occurrence data, it is proposed to not consider setting safety performance targets, but to define 'baseline performance' and monitor the system against this baseline performance. Proposed 'baseline' indicators are included in Table 3.

Outcome-based indicators shall consider as main inputs:

- number of fatal accidents;
- number of fatalities; and
- number of non-fatal accidents and serious incidents.

This is aligned with the high-level ICAO safety metrics, thereby facilitating comparison of European performance with that of other regions or with global averages. The number of fatal accidents and fatalities provide the highest level of safety outcome monitoring, while the non-fatal accidents and serious incidents combined provide monitoring of higher-risk events. These can subsequently be reviewed to identify key risk areas that inform EASA's safety priorities. Looking to the future, when the European Risk Classification Scheme (ERCS) has been implemented across the MSs, an additional indicator that monitors high-risk occurrences may be considered. This could be in addition to or instead of monitoring non-fatal accidents and serious incidents. The EASA Safety Risk Portfolios (currently published in the ASR) include incident data sourced from the European Central Repository for accident and incident reports in aviation (ECR) under Regulation (EU) No 376/2014. As the implementation of

⁵³ The efficiency of systems and processes established and implemented by EASA will continue to be monitored through the EASA SPD related indicators.



Regulation (EU) No 376/2014 improves, we expect to be able to integrate more incident data into the monitoring framework.

Monitoring systems and processes

It is proposed that related SPIs be defined and monitored in three areas:

1. MSs' oversight capabilities

This is related to 2020-2022 GASP goal 2 and EPAS strategic enabler 'Oversight & Standardisation'.

Monitoring will be based on the EASA Standardisation rating, as an alternative to the ICAO USOAP Effective Implementation (EI) indicator. The Standardisation rating is used for the prioritisation of Standardisation inspections. It aims to emulate the expert's confidence in the CA's ability to discharge its safety oversight capabilities. The Standardisation rating considers elements related to size, nature and complexity of the State authorities and functions, the number and type of open Standardisation findings, as well as the State's reactivity in relation to findings closure, once the final report has been sent.

2. MSs' progress with SSP implementation

This is related to GASP goal 3 and the EPAS strategic priority 'Systemic safety'.

Related indicators will mainly be based on data available through ICAO iSTARS. Feedback provided by MSs will also be considered. EASA will in addition collect relevant documentation from States (SSP and SPAS). In the future, this monitoring area will consider results from the EASA Standardisation of BR Articles 6 and 7.

The objective is aligned with the 2020-2022 GASP requiring States to achieve an effective SSP, as appropriate to their aviation system complexity, by 2025.

3. Effective implementation of SMS in aviation organisations

This partially addresses 2020-2022 GASP goal 5 and addresses the EPAS strategic priority 'Systemic safety' and the requirements in the BR.

Monitoring the implementation of SMS in industry should focus on compliance with relevant requirements and effectiveness of SMS key processes. To develop a common set of indicators and targets on effective implementation of SMS, an agreed methodology for assessing SMS, as well as a method to score and aggregate related assessment results would first need to be developed and implemented. Such an assessment and scoring methodology is currently only available in the ATM/ANS domain, as part of the SES ATM Performance Scheme. It should also be considered that SMS requirements are not yet applicable in the initial and continuing airworthiness domains. Moreover, while the EASA Management System assessment tool is promoted through EPAS action MST.026, EASA has not yet received sufficient feedback on the use of the tool.

For the above reasons, no detailed EPAS indicators and targets are proposed on SMS effectiveness (for domains other than ATM/ANS, since here this indicator is monitored in the context of the European ANS Performance Review). However, it is proposed to monitor the following:

- (a) the extent to which the EASA Management System assessment tool (or similar) is being used by MSs, and
- (b) the status of compliance with EASA Management System (SMS) requirements.

Point (a) will be monitored on the basis of feedback received through EASA Standardisation. For point (b), EASA's monitoring will be based on oversight data provided by CAs covering the following:



Requirements:

Regulation	965/2012	1178/2011	139/2014	2015/340	2016/1377
Part Subject	Part-ORO	Part-ORA	Part ADR.OR	Part ATCO.OR	Part-ATM /ANS.OR
Changes to the organisation	ORO.GEN.130	ORA.GEN.130	ADR.OR.B.040	ATCO.OR.B.015	ATM/ANS.OR.B.010
Management System	ORO.GEN.200	ORA.GEN.200	ADR.OR.D.005	ATCO.OR.C.001	ATM/ANS.OR.B.005
Contracted Activities	ORO.GEN.205	ORA.GEN.205	ADR.OR.D.010	ATCO.OR.C.005	ATM/ANS.OR.B.015
Personnel Requirements	ORO.GEN.210	ORA.GEN.210	ADR.OR.D.015	ATCO.OR.C.010	ATM/ANS.OR.B.020
Record Keeping	ORO.GEN.215	ORA.GEN.215	ADR.OR.D.035	ATCO.OR.C.020	ATM/ANS.OR.B.030

This list will be reviewed to include relevant requirements in the initial and continuing airworthiness domains, when a Management System will be required in these domains (RMT.0251).

Data points:

- number of organisations with open non-compliance findings in any of the above requirements;
 - both for level 1 and level 2 findings:
 - for each organisation category
- average time (in days positive or negative values) from effective closure of the finding to agreed implementation target:
 - level 2 findings only
 - for each of the above requirements
 - for each organisation category
- number of organisations for which an extended oversight planning cycle is applied:
 - for each organisation category
- number of organisations for which a reduced oversight planning cycle is applied:
 - within each organisation category; and
- top three non-compliance findings raised per frequency of occurrence in the area of EASA Management System requirements.
 - for each organisation category

No data / information on individual organisations will be requested. EASA will convert numbers into rates based on the data that MSs provide regularly through the Standardisation Information System. EASA will also report on those indicators for organisations under its oversight in the domains where the requirements listed above are already applicable.

The collection of data is expected to start in 2020.

Once sufficient data is available on the status of compliance with management System (SMS) requirements and experience is gained with collecting and consolidating such data, EASA, in close cooperation with the ABs, will propose more advanced indicators to measure SMS effectiveness in industry.

Results of monitoring safety performance in the above three areas will be presented and discussed at the regular Safety Management TeB meetings.

Alignment with SES ATM Performance Scheme

Significant effort has been invested by the Agency, MSs and industry to ensure that the Safety Key Performance Area of the SES Performance Scheme aligns with the principles and technical direction of EASA's performance



monitoring framework. The performance indicators for Reference Period 3 of the Performance Scheme were designed by an Agency-led working group in 2016 and then drafted into AMC and GM in 2018. These indicators measure the effectiveness of safety management at organisation level and then monitor safety outcomes via untargeted tier 2 performance indicators, using the European Central Repository as the data source.

Outcome-based indicators

Monitoring safety outcomes addresses 2020-2022 GASP goal 1 and EPAS strategic priority 'Operational safety':

Indicators related to key risk areas are identified through the European SRM process and described in the EASA Safety Risk Portfolios. EASA, in cooperation with the European Network of Analysts (NoAs), has developed a safety performance framework that identifies different tiers of SPIs.

- Tier 1 transversally monitors all the domains and the overview of the performance in each domain. Tier 1 considers the number of fatal accidents and fatalities in the previous year compared with the average of the preceding decade. In addition to this, for Commercial Air Transport aeroplanes, detailed statistical indicators have been developed to identify the accident and serious incident rates over a four-year period. These will be updated periodically to monitor performance against the 2011-2014 baseline.
- Tier 2 covers the priority key risk areas at domain level. Tier 2 provides the number (and where available the rate) of fatal accidents and the ERCS risk level for each domain in the ASR, divided by key risk areas.

These 'operational' safety indicators will continue to be monitored through the European SRM process. Likewise, reporting on those will continue to be done through the EASA ASR.

The tables below provide an overview of the figures associated with the current Tier 1 indicators.

NOTE:

The tables included in this draft are still based on ASR 2018 data. Updated tables taking into account ASR 2019 (using datasets for the period 2008-2017) will be provided with the final EPAS.

Aircraft domain	Fatal accidents 2017	Fatal accidents 2007-2016 mean	Fatalities 2017	Annual fatalities 2007-2016 mean	Annual fatalities 2007- 2016 median
Aeroplanes					
CAT airlines	— 0	0.9	0	66.4	4.0
NCC business	— 0	0.5	0	0.6	0.0
SPO	3	7.3	4	18.1	16.5
NCO	34	50.1	62	92.2	91.0
Rotorcraft			<u> </u>		
Offshore	0	0.4	0	3.6	0.0
Onshore	1	1.7	6	5.4	4.0
SPO	3	4.0	4	7.5	6.0
NCO	3	5.6	7	13.2	12.5

Table 1. Tier 1 indicators — cross-domain comparison of EASA MSs' aircraft fatal accidents and fatalities, 2008-2018



Aircraft	Fatal accidents	Fatal accidents	Fatalities 2017	Annual	fatalities	Annual fatalities 2007-
domain	2017	2007-2016		2007-2016		2016
		mean		mean		median
Balloon						
	0	1.2	0	2.1		1
Gliders						
	25	25.4	27	29.5		29.5

 Table 2. Tier 1 indicators — cross-domain comparison of EASA MSs' infrastructure contribution to fatal accidents

 and fatalities, 2007-2017

Infrastructure	Fatal accidents 2017	Fatal accidents 2007-2016 — mean		Annual fatalities 2007-2016 — mean	Annual fatalities 2007- 2016 — median
ADR & GH	0	0.7	0	1.7	0.5
ATM/ANS	1	0.5	6	1.6	0

In Tables 1 and 2, both the mean (average) and the median number of fatalities are shown for the period 2007-2016. This is because for some aircraft domains the median number provides a better representation of the number of fatalities per year. This is typically related to the number of passengers on board aircraft involved in fatal accidents. Sailplanes usually only have one person on board and the number of fatal accidents and both the mean and median number of fatalities are very similar. By contrast, commercial air transport (CAT) airline fatal accidents may involve one or several hundred fatalities; therefore, the annual number of fatalities varies and the mean and median figures are quite different.

Proposed SPI	Per 10 000 movements	Per 10 000 flight hours
EASA-MS accident rate		
Accident rate over a 4-year period	0.044	0.023
Accident rate in 2011	0.044	0.024
Accident rate in 2012	0.048	0.026
Accident rate in 2013	0.034	0.018
Accident rate in 2014	0.051	0.026
EASA-MS fatal accident rate		
Fatal accident rate over a 4-year period	0.001	0.0004
Accident rate in 2011	0.001	0.001
Accident rate in 2012	0.000	0.000
Accident rate in 2013	0.000	0.000
Accident rate in 2014	0.002	0.001
Accident rate by size of AOC holder when all	ocated to movement band	
Band A: Less than 7 100 movements	0.17	-
Band B: 7,100 — 35 099 movements	0.18	-
Band C: 35,100 — 101 999 movements	0.06	-
Band D: 102 000 — 199 999 movements	0.04	-
Band E: More than 199 999 movements	0.03	-



Proposed SPI	Per 10 000 movements	Per 10 000 flight hours
Accident rate by size of AOC holder when al	located to flight hour band	
Band A: Less than 14 000 flight hours	-	0.18
Band B: 14,000 — 55 999 flight hours	-	0.09
Band C: 56 000 — 155 999 flight hours	-	0.04
Band D: 156 000 — 399 999 flight hours	-	0.02
Band E: More than 399 999 flight hours	-	0.02
Accident rate by type of aviation activity (CA	AT)	
Passenger transport (4-year period)	0.04	0.02
Cargo transport (4-year period)	0.13	0.05

Table 4. List of proposed serious incident SPIs

Proposed SPI	Per 10 000 movements	Per 10 000 flight hours
EASA-MS serious incident rate		
Serious incident rate over a 4-year period	0.125	0.067
Serious incident rate in 2011	0.155	0.085
Serious incident rate in 2012	0.131	0.071
Serious incident rate in 2013	0.112	0.059
Serious incident rate in 2014	0.090	0.047
Serious incident rate by size of AOC holder whe	en allocated to movement band	
Band A: Less than 7 100 movements	0.43	-
Band B: 7 100-35 099 movements	0.22	-
Band C: 35 100-101 999 movements	0.19	-
Band D: 102 000-99 999 movements	0.13	-
Band E: More than 199 999 movements	0.12	-
Serious incident rate by size of AOC holder whe	en allocated to flight hour band	
Band A: Less than 14 000 flight hours	-	0.32
Band B: 14 000-55 999 flight hours	-	0.13
Band C: 56 000-155 999 flight hours	-	0.10
Band D: 156 000-399 999 flight hours	-	0.08
Band E: More than 399 999 flight hours	-	0.06
Serious incident rate by type of aviation activit	cy (CAT)	
Passenger transport (4 year period)	0.13	0.07
Cargo transport (4 year period)	0.32	0.13



4.3 Environmental performance

The efficiency of actions included in EPAS in relation to environmental protection will continue to be monitored as part of the EAER⁵⁴.

The report is led by EASA with support from the European Commission, the European Environment Agency (EEA) and Eurocontrol. This EAER provides a valuable source of objective and accurate information on the environmental performance of the aviation sector, and sets the scene for Europe's ambition to make the sector more sustainable. It includes performance indicators that provide an overview of the sector's environmental performance over time. This includes technology/design, sustainable aviation fuels, air traffic management/operations, airports, market based measures and the latest scientific understanding on environmental impacts from aviation.

EASA published the 2nd edition of the report in January 2019 and, in line with EASA's expanded environmental protection remit, is responsible to update the EAER every 3 years.

⁵⁴ <u>https://www.easa.europa.eu/eaer/downloads</u>



Systemic Safety

Volume II

NOTE:

Data on fatalities, fatal accidents and occurrences provided in this Volume is still based on ASR 2018; it will be updated for the final EPAS, to reflect ASR 2019.

The Key Risk Areas identified through the European Safety Risk Management Process remain stable as compared to those described in the ASR 2018.



5 Systemic Safety

This area addresses system-wide problems that affect aviation as a whole. In most scenarios, these problems are related to human factors, human performance limitations, competence of personnel, socioeconomic factors or to deficiencies in organisational processes and procedures, whether at authority or industry level.

This area also includes the impact of security on safety.

5.1 Safety Management

Issue/rationale

Safety management is a strategic priority. Despite the fact that last years have clearly brought continued improvements in safety across every operational domain, recent accidents underline the complex nature of aviation safety and the significance of addressing human factor aspects. Authorities and aviation organisations should anticipate more and more new threats and associated challenges by developing SRM principles. These principles will be strengthened through SMS implementation supported by ICAO Annex 19 and Regulation (EU) No 376/2014 (reporting reinforcement).

What we want to achieve

Regulatory framework requiring safety management is in place across all domains of aviation, with proportionate requirements in the area of General Aviation.

Regulatory framework for information security management is in place.

Improve the level of safety through effective implementation of safety management within authorities and organisations.

How we monitor improvement

Organisations and authorities are able to demonstrate compliance and effective implementation. For ATM/ANS, this will be monitored as part of the ATM Performance Scheme. For the other domains (air operations, aircrew and aerodromes), it is proposed to start with collecting data on the status of compliance with organisation and authority requirements as relevant to Safety Management (see Section **4.2**).

How we want to achieve it: actions



RMT.02	251		ent of safety manag 1321/2014 and 748/		i) requirements into Comn	nission Regulations
Safety		the initial This RMT 1. Change	and continuing airw is processed in two p	orthiness domains. bhases: o OPS (CAMOs) - Opi	s to set up a framework fo nion No 06/2016 issued in	
Status		Ongoing				
Refere	nce(s)	n/a				
Affecte	d stake	holders	CAMOs, AMOs (Pa	art-145), POA holder	s, DOA holders, ETSOA hol	ders and CAs
Owner			EASA FS.2			
Priority	/	Yes	RM Procedure	Standard	Harmonisation	n/a
			F	PLANNING MILESTO	NES	
SubT	ToR		NPA	Opinion	Commission IR	Decision
1	MDM. 19/07/		2013-19 10/10/2013	06/2016 11/05/2016	2019 Q3	2019 Q3
2			NPA 2019-05 17/04/2019	2020 Q2	2021 Q3	2021 Q3



RMT.0)262 Em	bodiment of level of inv	olvement (LOI) require	ments into Part 21	
Safety	cer — s — i — i	tification. This entails int systematic risk managem performance-based over afety awareness and pro	roduction of ent (hazard identificati sight allowing to focus omotion among all staff	-	nitigation);
	at t of t	he end of 2017 EASA issues the proposed amendment	ued the NPA consulting nts. A further NPA, con	posing the amendments t the draft AMC & GM rele sulting some additional o result in a final decision a	evant for the application
Status Refere	to t	he amended Part 21. s RMT will be completed he final EPAS.		this draft EPAS for tracea	
Refere	to t Thi in t	he amended Part 21. s RMT will be completed he final EPAS.			
Refere	to t Thi in t ence(s) n/a ed stakeholde	he amended Part 21. s RMT will be completed he final EPAS.			
Refere	to t Thi in t ence(s) n/a ed stakeholde r	he amended Part 21. s RMT will be completed he final EPAS. rs DAHs			
Refere Affecto Owner	to t Thi in t ence(s) n/a ed stakeholde r	he amended Part 21. s RMT will be completed he final EPAS. rs DAHs EASA CT.5	in 2019, it is included ir	this draft EPAS for tracea	bility. It will be removed
Refere Affecto Owner	to t Thi in t ence(s) n/a ed stakeholde r	he amended Part 21. s RMT will be completed he final EPAS. rs DAHs EASA CT.5	in 2019, it is included ir Standard	this draft EPAS for tracea	bility. It will be removed
Refere Affecto Owner Priorit	to t Thi in t ence(s) n/a ed stakeholde r cy Yes	he amended Part 21. s RMT will be completed he final EPAS. rs DAHs EASA CT.5 RM Procedure	in 2019, it is included in Standard PLANNING MILESTON	this draft EPAS for tracea Harmonisation	bility. It will be removed
Refere Affecto Owner Priorit SubT	to t Thi in t ence(s) n/a ed stakeholde r r y Yes <u>ToR</u> MDM.060	he amended Part 21. s RMT will be completed he final EPAS. rs DAHs EASA CT.5 RM Procedure <u>NPA</u> 2015-03	in 2019, it is included in Standard <u>PLANNING MILESTON</u> Opinion 07/2016	this draft EPAS for tracea Harmonisation IES Commission IR	n/a



RMT.06	581 A	lignment	of implementing rules and AMC & GM with Regulation (EU) No 376/2014							
Safety /		Alignment of IRs and AMC & GM with Regulation (EU) No 376/2014.								
StatusThis task is de-prioritised in accordance with criteria described in Chapter 3. A CRD will be publish and resulting regulatory changes will be implemented as part of existing RMTs.Reference(s)n/a										
Affected stakeholders		ders	Air operators, pilots, MOs, ATOs, manufacturers ⁵⁵ , CAMOs, ADR operators, ATM/ANS providers and ATCO TOs							
Owner			EASA SM.1							
Priority	No		RM Procedure	Standard	Harmonisation	n/a				
PLANNING MILESTONES										
SubT	ToR		NPA	Opinion	Commission IR	Decision				
	RMT.0681 30/09/203		2016-19 19/12/2016	n/a	n/a	n/a				

RMT.0706	Update o	Update of authority and organisation requirements								
Safety	and ens	Address relevant elements of ICAO Annex 19 considering the latest revision status of the document and ensure appropriate horizontal harmonisation of the requirements across different domains taking on board lessons learned.								
Status	This task	This task is de-prioritised in accordance with criteria described in Chapter 3.								
Reference(s)	n/a	n/a								
Affected stake	eholders	ders CAs, NSAs, air operators, pilots, MOs, ATOs, POA holders, CAMOs, ADR operators, ATM/ANS providers, and ATCO TOs								
Owner		EASA FS								
Priority	Yes	RM Procedure	Standard	Harmonisation	n/a					
PLANNING MILESTONES										
SubT ToR		NPA	Opinion	Commission IR	Decision					
tbd		tbd	tbd	tbd	tbd					

⁵⁵ The term 'manufacturer' includes, depending on the case: production approval holder (POAH) and production organisation manufacturing without POA.



SPT.057	SMS international cooperation	
Safety HF	Promote the common understanding of safety n requirements in different countries, share les harmonisation, through active participation in the s	ssons learned and encourage progress and
Status	Ongoing	
Reference(s)	n/a	
Affected stakeh	olders ALL	
Owner	EASA FS.2	
	EXPECTED OUTPUT	
Deliverable(s)		Timeline
Guidance/traini	ng material/best practice	Continuous

MST.001	Member States to give priority to the work on SSPs			
Safety	 In the implementation and maintenance of the SSP, Member States shall in particular: ensure effective implementation of the authority requirements and address deficiencies in oversight capabilities, as a prerequisite for effective SSP implementation, ensure effective coordination between State authorities having a role in safety management, ensure that inspectors have the right competencies to support the evolution towards risk-and performance-based oversight, ensure that policies and procedures are in place for risk- and performance-based oversight, including a description of how an SMS is accepted and regularly monitored, establish policies and procedures for safety data collection, analysis, exchange and protection, in accordance with Regulation (EU) No 376/2014, establish a process to determine SPIs at State level addressing outcomes and processes, ensure that an approved SSP document is made available and shared with other Member States and EASA, ensure that the SSP is regularly reviewed and that the SSP effectiveness is regularly assessed. 			
Status	Ongoing			
Reference(s)	n/a			
Affected stakeh	nolders ALL			
Owner	MS			
	EXPECTED OUTPUT			
Deliverable(s)	Timeline			
SSP document r				
SSP effectively i	mplemented 2025			



MST.002	Promotion of SMS	
Safety HF	Encourage implementation of safety promotion material developed by the Promotion Network, the Safety Management International Collaboration Group (relevant sources of information on the subject of safety management.	
Status	Ongoing	
Reference(s)	n/a	
Affected stakeh	holders ALL	
Owner	MS	
	EXPECTED OUTPUT	
Deliverable(s)	Timeline	
Guidance/traini	ing material/best practice Continuous	

MST.003	Member States should maintain a regular dialogue with their national aircraft operators on flight data monitoring (FDM) programmes						
 Safety States should maintain a regular dialogue with their operators on FDM programmes, with objectives of: promoting the operational safety benefits of FDM and the exchange of experience beth subject matter experts, encouraging operators to make use of good-practice documents produced by EOFDM similar safety initiatives. The document titled 'Guidance for National Aviation Authorities on setting up a national flight monitoring forum' (produced by EAFDM) is offering guidance for this purpose. 							
			51 5				
Status		ring forum' (produced by EAFDM) is off	51 5				
Status Reference(s)	monito	ring forum' (produced by EAFDM) is off	51 5				
	monito Ongoin n/a	ring forum' (produced by EAFDM) is off	51 5				
Reference(s)	monito Ongoin n/a	ring forum' (produced by EAFDM) is off	51 5				
Reference(s) Affected stakeh	monito Ongoin n/a	ring forum' (produced by EAFDM) is off g CAT	ering guidance for this purpose.				
Reference(s) Affected stakeh	monito Ongoin n/a	ring forum' (produced by EAFDM) is off g CAT MS	ering guidance for this purpose.				



MST.026	SMS assessment			
Safety	Without prejudice to any obligations stemming from the SES ATM Performance Scheme, MSs should make use of the EASA management system assessment tool to support risk- and performance-based oversight. MSs should provide feedback to EASA on how the tool is used, for the purpose of standardisation and continual improvement of the assessment tool.			
MSs should regularly inform EASA about the status of compliance with SMS require performance of their industry.				
Status	Ongoing			
Reference(s)	EASA Manageme	nt System assessment tool 56		
Affected stakeh	olders Air Op	erations, Aircrew, Medical, Aerodrom	nes	
Owner	MS			
		EXPECTED OUTPUT		
Deliverable(s)			Timeline	
Feedback on the	use of the tool.		Continuous with annual	
Eeedback on the	status of SMS com	bliance and performance	reporting	

⁵⁶ <u>https://www.easa.europa.eu/document-library/general-publications/management-system-assessment-tool</u>



MST.028	Member States to establish and maintain a State Plan for Aviation Safety (SPAS)
Safety	Member States shall ensure that a SPAS is maintained and regularly reviewed. Member States shall identify in SPAS the main safety risks affecting their national civil aviation safety system and shall set out the necessary actions to mitigate those risks. In doing so, Member States shall consider the pan-European safety risk areas identified in EPAS for the various aviation domains as part of their SRM process and, when necessary, identify suitable mitigation actions within their SPAS. In addition to the actions, SPAS shall also consider how to measure their effectiveness. MSs shall justify why action is not taken for a certain risk area identified in EPAS.
	 The pan-European safety risk areas in the current EPAS edition are as follows: For CAT by aeroplane: aircraft upset in flight, runway safety, airborne conflict, ground safety, terrain collision, and aircraft environment For rotorcraft operations: helicopter upset in flight and terrain and obstacle conflict For General Aviation: staying in control, coping with weather, preventing mid-air collisions and managing the flight
	 SPAS shall: describe how the plan is developed and endorsed, including collaboration with different entities within the State, with industry and other stakeholders (unless this is described in the SSP document), include safety objectives, goals, indicators and targets (unless these are included in the SSP document), reflect the EPAS actions as applicable to the State, identify the main safety risks at national level in addition to the ones identified in EPAS.
Status	Ongoing
Reference(s)	Runway excursions: SAF11 (Prevention of RWY Excursions) in the ATM MP's (Level 3 Ed 2018).
Affected stake	holders ALL
Owner	MS
	EXPECTED OUTPUT
Deliverable(s)	Timeline
SPAS establishe	ed 2020



5.2 Human factors and human performance

Issue/rationale

Human factors and the impact on human performance, as well as medical fitness are strategic priorities. As new technologies and/or operating concepts emerge on the market and the complexity of the system continues increasing, it is of key importance to properly assess human factors and human performance, both in terms of limitations and its contribution to delivering safety, as part of safety management implementation.

The safety actions identified currently — related to aviation personnel — are aimed at, updating fatigue risk management (FRM) requirements and contributing to mitigating safety issues in all domains, such as: personal readiness, flight crew perception or crew resource management (CRM) and communication, which play a role in improving safety across all aviation domains.

What we want to achieve

Ensure continuous improvement in safety management activities as related to human factors and human performance.

Harmonise MED and FTL requirements where this ensures fair competition or facilitates the free movement of goods, persons and services.

How we monitor improvement

Feedback from the ABs and the HF CAG.

How we want to achieve it: actions

5.2.1 Flight time limitations

RMT.04	92	Development of FTL for CAT operations of emergency medical services (EMS) by aeroplanes						
Level pl field	laying	Harmonised and state-of-the-art rules for EMS. This RMT will continue only in the field of EMS with aeroplanes (AEMS). Development of FTL for HEMS will be addressed in RMT.0494.						
Status		Ongoing						
Referen	nce(s)	n/a						
Affected	d stakeho	olders	CAT aeroplane op	perators performing I	EMS			
Owner			EASA FS.2					
Priority	N	о	RM Procedure	Standard	Harmonisation	n/a		
				PLANNING MILESTO	NES			
SubT	ToR		NPA	Opinion	Commission IR	Decision		
	RMT.049 18/04/2		2017-17 30/10/2017	2021 Q3	2022 Q3	2022 Q3		



RMT.0493 Update and harmonisation of FTL for CAT by aeroplane for air taxi operations and single-pilot operations taking into account operational experience and recent scientific evidence

Level playing Develop harmonised and state-of-the-art-rules for air taxi and single-pilot operations. **field**

Status Refere	Ongo nce(s) n/a	ing				
Affecte	ed stakeholders	CAT aeroplane o	perators			
Owner		EASA FS.2				
Priority	No No	RM Procedure	Standard	Harmonisation	n/a	
			PLANNING MILEST	DNES		
SubT	ToR	NPA	Opinion	Commission IR	Decision	
	RMT.0493 21/08/2012	2017-17 30/10/2017	2021 Q3	2022 Q3	2022 Q3	

RMT.0494	FTL requir	FTL requirements for helicopter operations						
Efficiency/pro portionality		Establish harmonised and state-of-the-art rules for helicopter operations (CAT, SPO, NCC).						
Status	Ongoing							
Reference(s)	n/a							
Affected stake	holders	CAT helicopter op	perators					
Owner		EASA FS.2						
Priority	Yes	RM Procedure	Standard	Harmonisation	n/a			
			PLANNING MILESTO	NES				
SubT ToR		NPA	Opinion	Commission IR	Decision			
2020	03	2021 Q2	2022 Q2	2023 Q2	2023 Q2			

RMT.0495	FTL requirements for aeroplane commercial operations other than CAT						
Level playing field	Establish harmonised and state-of-the-art rules for aeroplane commercial operations other than CAT.						
Status	Ongoing						
Reference(s)	n/a						
Affected stake	holders	AOC holders					
Owner		EASA FS.2					
Priority	Yes	RM Procedure	Standard	Harmonisation	n/a		
		F	PLANNING MILESTC	INES			
SubT ToR		NPA	Opinion	Commission IR	Decision		
2020 (ር2	2021 Q2	2023 Q1	2023 Q1	2023 Q1		



RMT.0486	Align with	Align with ICAO SARPs on ATCO fatigue management provisions						
Safety	Align with	Align with ICAO SARPs on the subject provisions.						
Status	This task i	This task is de-prioritised in accordance with criteria described in Chapter 3.						
Reference(s)	n/a							
Affected stake	holders	ANSPs and ATCOs	,					
Owner		EASA FS.4						
Priority	No	RM Procedure	Standard	Harmonisation	n/a			
		F	PLANNING MILESTONES					
SubT ToR		NPA	Opinion	Commission IR	Decision			
n/a		n/a	n/a	n/a	n/a			

RES.006	5.006 Effectiveness of flight time limitations (FTL)						
Safety HF	Second assessment: Collection, analysis and processing of historical and in-flight crew fatigue data for purposes of supporting the continuous review of the effectiveness of the provisions concerning flight and duty time limitations and rest requirement as foreseen in Regulation 965/2012; this is to cover the envelope of most frequent short, medium and long-haul scheduled air operations and encompass schedules in less favourable times and classified as disruptive;						
Status		assessment for this RES action is completed (report ⁵⁷ published 28/02/2019). The secorent starts in 2020.	nd				
Reference(s)	n/a						
Affected stakeh	olders	CAT					
Owner		SM.0.1					
		EXPECTED OUTPUT					
Deliverable(s)		Timeline					
Report (second	assessmer	2022					

⁵⁷ Effectiveness of Flight Time Limitation (FTL) Report



5.2.2 Medical

RMT.0287	Regular u	odate of Part-MED,	ARA.MED and ORA.	AeMC, and related AMC ar	nd GM
Efficiency/proportionality	0				
Status	Ongoing				
Reference(s)	n/a				
Affected stak	eholders	n/a			
Owner		EASA FS.3			
Priority	n/a	RM Procedure	Standard	Harmonisation	n/a
			PLANNING MILESTO	NES	
SubT ToR		NPA	Opinion	Commission IR	Decision
	.0287 0/2012	2017-22 21/12/2017	2021 Q1	2022 Q1	2022 Q1
RMT.0424	Regular u	pdate of Part-MED			
Efficiency/proportionality	0				
Status	This task is	s de-prioritised in a	ccordance with criter	ia described in Chapter 3	
Reference(s)	n/a				
Affected stak	eholders	n/a			
Owner		EASA FS.3			
Priority	n/a	RM Procedure	Standard	Harmonisation	n/a
			PLANNING MILESTO	NES	
	.0424 0/2017	NPA	Opinion	Commission IR	Decision



	1589 Rescu	e and firefighting servio	ces (RFFS) at aerodro	mes			
Safety	nedic also e flights	The objective of this RMT is to ensure a high and uniform level of safety by establishing minimum medical standards for rescue and firefighting personnel required to act in aviation emergencies. It will also ensure that the level of protection for rescue and firefighting at ADRs serving all-cargo or mail flights is proportionate to this type of traffic and their particular requirements. Finally, it will as well ensure a clearer implementation of the remission factor in general.					
	(1) 1s 2016/ (2) 2r	t sub-task: Remission fa 009/R published on 23/ nd sub-task: RFFS persor	actor, cargo flights, etc '5/2016. nnel physical and mec		pleted. Decision		
	in the	MT will be completed in final EPAS.	1 2019, it is included ir	this draft EPAS for tracea	bility. It will be remove		
Refere	in the ence(s) n/a	final EPAS.		this draft EPAS for tracea	bility. It will be remove		
	in the	•		this draft EPAS for tracea	bility. It will be remove		
Refere Affecte	in the ence(s) n/a ed stakeholders	final EPAS.		this draft EPAS for tracea	bility. It will be remove		
Refere Affecto Owner	in the ence(s) n/a ed stakeholders r	final EPAS. CAs, ADR operato		hthis draft EPAS for tracea	n/a		
Refere Affecto Owner	in the ence(s) n/a ed stakeholders r	final EPAS. CAs, ADR operato EASA FS.4 RM Procedure	prs	Harmonisation			
Refere Affecto Owner Priorit	in the ence(s) n/a ed stakeholders r	final EPAS. CAs, ADR operato EASA FS.4 RM Procedure	ors Standard	Harmonisation			
Refere	in the ence(s) n/a ed stakeholders r ry No	final EPAS. CAs, ADR operato EASA FS.4 RM Procedure	ors Standard PLANNING MILESTON	Harmonisation	n/a		

RMT.07				Implementation of the he accident of the Germa		ade by the EASA-led
Safety	Preventive measures stemming from the Task Force: (1) carry out a psychological assessment of the flight crew before commencing line (2) enable, facilitate and ensure access to a flight crew support programme; and (3) perform systematic drug and alcohol (D&A) testing of flight and cabin crew upo In August 2016, EASA issued Opinion No 09/2016 updating Part-MED. In December 2016, EASA issued Opinion No 14/2016 addressing the safety issues EASA-led Germanwings Task Force on the accident of the Germanwings Flight 9525					
Status Referen		This RMT is	• •	l the NPA. cluded in this draft EPAS f (EU) 2018/1042 will apply	,	
Affecte	d stakeho	lders	Pilots, AMEs, AeM	Cs, CAs		
Owner			EASA FS.2			
Priority	Y	es	RM Procedure	Direct publication	Harmonisation	n/a
			F	LANNING MILESTONES		
SubT	ToR		NPA	Opinion	Commission IR	Decision
	RMT.070 20/04/20		n/a*	09/2016 11/08/2016 14/2016 09/12/2016	R(EU) 2018/1042 23/07/2018	2018/012/R 21/11/2018



RMT.0707	Medical R	egulation — combi	ne Part-MED and Par	t ATCO MED			
Level playing field	examiner document ATCO.MEI	The main benefits are that the medical assessor (MA) within the authorities, and the aero-medical examiner (AME) and aero-medical centres (AeMC) only need to use one common regulatory document, encouraging harmonisation and removing duplication between Part-MED and Part ATCO.MED. Consequently, the regulation should be easier to keep up to date. Moreover, currently AMEs and AeMCs require duplicate certifications on both Part-MED and Part ATCO.MED.					
	evidence-l	based medical appro	oach, subject to existi	existing pilot age limitati ng scientific evidence avai plemented with other sc	lable as a results of EASA		
	same topi						
Status	same topi	с.		a described in Chapter 3.			
Status Reference(s)	same topi This task is	c. s de-prioritised in ad	ccordance with criteri	a described in Chapter 3. Il Air Transport pilots' ⁵⁸			
	same topi This task is Research I	c. s de-prioritised in ad	ccordance with criteri ations for Commercia	•			
Reference(s)	same topi This task is Research I	c. s de-prioritised in ad Report on 'Age limit	ccordance with criteri ations for Commercia	•			
Reference(s) Affected stake	same topi This task is Research I	c. s de-prioritised in ac Report on 'Age limit AMEs, AeMCs, pil	ccordance with criteri ations for Commercia	•	n/a		
Reference(s) Affected stake Owner	same topi This task is Research I holders	c. s de-prioritised in ac Report on 'Age limit AMEs, AeMCs, pil EASA FS.3 RM Procedure	ccordance with criteri ations for Commercia lots and ATCOs	al Air Transport pilots' ⁵⁸ Harmonisation	n/a		
Reference(s) Affected stake Owner	same topi This task is Research I holders	c. s de-prioritised in ac Report on 'Age limit AMEs, AeMCs, pil EASA FS.3 RM Procedure	ccordance with criteri ations for Commercia lots and ATCOs Standard	al Air Transport pilots' ⁵⁸ Harmonisation	n/a Decision		

EVT.0011		Evaluation on effectiveness of the provisions concerning support programmes, the psychological assessment of flight crew and the systematic and random testing of psychoactive substances					
Efficiency/prop ortionality	965/20 psycho substai	regard the Commission Regulation (EU) 2018/1042 of 2018, amending Regulation (EU) No 12, an evaluation of the effectiveness of the provisions concerning support programmes, the logical assessment of flight crew and the systematic and random testing of psychoactive nees is envisaged to ensure the medical fitness of flight and cabin crew members. The report published in compliance with the regulatory deadline by 14 August 2022.					
Status	Ongoin	g					
Reference(s)	n/a						
Affected stakeho	olders	Air operators, pilots, CA					
Owner		EASA FS.2.1					
		EXPECTED OUTPUT					
Deliverable(s)		Timeline					
Evaluation repor	t	2022					

⁵⁸ <u>EASA_REP_RESEA_2017_1</u>

5.3 Competence of personnel

Issue/rationale

Competence of personnel is a strategic priority. As new technologies and/or operating concepts emerge on the market and the complexity of the system continues increasing, it is of key importance to have the right competencies and adapt training methods to cope with new challenges. It is equally important for aviation personnel to take advantage of the opportunities presented by new technologies to enhance safety.

The safety actions identified currently — related to aviation personnel — are aimed at introducing competency-based training in all licences and ratings. These actions play a role in improving safety across all aviation domains.

Part-147:

At present Part-147 excludes the use of distance learning for the purpose of basic knowledge and aircraft type training as the training locations are part of the approval. Part-66 allows the use of 'synthetic training devices', but does not define them. Appendix III to Part-66 allows 'Multimedia Based Training (MBT) methods may be used to satisfy the theoretical training element either in the classroom or in a virtual controlled environment (...)'; however, it does not define these methods, and no guidance exists on how to evaluate, validate and/or approve courses based on MBT methods.

What we want to achieve

Ensure continuous improvement of all aviation personnel competence.

Part-147: The introduction of the new methods and technologies will lead to a level playing field, raise the efficiency, quality and safety of maintenance training. Additionally, this way the training provided amongst the approved maintenance training organisations will be at a similar level. Moreover, it may result in an increased number of young people choosing to engage in maintenance career, which may help to tackle the expected shortage of maintenance staff in the near future.

How we monitor improvement

Measurable improvement in aviation personnel competence at all levels (flight crew, cabin crew, maintenance staff and ATCOs).

How we want to achieve it: actions



5.3.1 Flight crew

RMT.0188	Update	of EASA FCL impleme	nting rules					
Safety	identifie the learr for the C	A complete first review of Part-FCL addressing a number of issues to be clarified or amended as identified by industry and MS. It also establishes a flight examiner manual (FEM) and a first draft of the learning objectives (LOs). Some of these corrections and clarifications also pertain to alleviations for the GA community. See Opinion No 05/2017.						
Status		T will be completed in nal EPAS.	2019, it is included ir	n this draft EPAS for tracea	bility. It will be removed			
Reference	e (s) n/a							
Affected s	takeholders	Flight examiners,	instructors, pilots, A	TOs and DTOs				
Owner		EASA FS.3						
Priority	No	RM Procedure	Standard	Harmonisation	n/a			
		F	PLANNING MILESTON	NES				
SubT T	oR	NPA	Opinion	Commission IR	Decision			
	CL.002 1/07/2011	2014-29 17/12/2014	05/2017 29/0/2017	2019 Q3	2019 Q3			

RMT.0194	Moderni		ilot training systen	n and improve the suppl	y of competent flight
			use of performance-	based rulemaking criteria, t	·0:
Safety	The task				
•	1. mode	rnise and simplify the	European pilot trair	ning system;	
	2. consid EVT.6:	ler the recommendati	ions from the ex pos	t evaluation of Part-FCL cor	nmenced in 2018 under
	- /	ler the concept paper	on instructors and	examiners developed under	r the former RMT.0596;
	4. introd	luce/transpose the lat	est ICAO Annex 1 ar	nd associated ICAO docume	nts on the competency-
		•		the appropriate licences a	•
	5. exten applicab		threat and error m	anagement (TEM) to all li	cences and ratings, as
	5464				
		ate an adequate supp		ive priority to improving th	e regulatory framework
Status	Ongoing				
Reference(s)	This task	now incorporates the	e content of RMT.05	96.	
Affected sta	keholders	Pilots, flight instru	uctors, flight examin	ers, ATOs, DTOs, air operat	ors
Owner		EASA FS.3			
Priority	No	RM Procedure	Standard	Harmonisation	n/a
		F	PLANNING MILESTO	NES	
SubT ToR		NPA	Opinion	Commission IR	Decision



3

RMT.0196	Update o	of flight simulation tr	aining devices requi	rements			
Safety	from ICA aims at in	An ICAO harmonisation issue, as the main purpose is to include in the European provisions elements from ICAO Doc 9625 for the use of FSTDs in flight training. The task will also address three SRs and aims at including results and findings from the loss of control avoidance and recovery training (LOCART) and RMT.0581 working group results. Harmonisation with the FAA should be considered.					
	the appr	n objective of Work Pa	as well as of the new	increase the fidelity of the vupset prevention and re (RMT.0581).			
	training capability	n objective for Work I tools including new f	technologies. This is ed on analysing regul	ntroduce flexibility in the done identifying the dev atory training task objection	vice requirements 'FSTE		
				o review the technical rea	quirements for FSTDs to		
	issues re	n objective for Work F		ddress any relevant and a pility for developing CS-FS			
Status	Ongoing						
Reference(s)	n/a						
Affected stake	holders	Air operators, ATC	Ds, DTOs, pilots, instr	uctors, and flight examine	rs		
Owner		EASA FS.3					
Priority	Yes	RM Procedure	Standard	Harmonisation	n/a		
		ſ	PLANNING MILESTON	NES			
SubT ToR		NPA	Opinion	Commission IR	Decision		
1 RMT.0 15/07		2017-13 25/07/2017	n/a	n/a	2018/006/R 03/05/2018		
2A		2019 Q4	2019 Q4	n/a	2020 Q2		
2B		2020 Q1	n/a	n/a	2020 Q2		
2		2021 02	n/a	nla	2022 04		

n/a

2021 Q2

n/a

2022 Q4



RMT.0412	Regular u	pdate of the author	ity and organisation r	equirements pertaining	to Part-FCL
Efficiency/pro portionality					
Status	This task is	s de-prioritised in ac	cordance with criteria	a described in Chapter 3	
Reference(s)	n/a				
Affected stakel	holders	n/a			
Owner		EASA FS.3			
Priority	n/a	RM Procedure	Standard	Harmonisation	n/a
		F	PLANNING MILESTON	ES	
SubT ToR		NPA	Opinion	Commission IR	Decision
RMT.0	412				
	/2012				
30/10/	/2012				
	/2012				
		pdate of CS-FC			
30/10/		pdate of CS-FC			
30/10/ RMT.0509 Efficiency/pro		pdate of CS-FC			
30/10/		pdate of CS-FC			
30/10/ RMT.0509 Efficiency/pro portionality Status	Regular u	pdate of CS-FC			
30/10/ RMT.0509 Efficiency/pro portionality	Regular u Ongoing n/a	pdate of CS-FC n/a			
30/10/ RMT.0509 Efficiency/pro portionality Status Reference(s)	Regular u Ongoing n/a				
30/10/ RMT.0509 Efficiency/pro portionality Status Reference(s) Affected stake	Regular u Ongoing n/a	n/a	Standard	Harmonisation	n/a
30/10/ RMT.0509 Efficiency/pro portionality Status Reference(s) Affected stake	Regular u Ongoing n/a holders	n/a EASA CT.5 RM Procedure	Standard PLANNING MILESTON		n/a
30/10/ RMT.0509 Efficiency/pro portionality Status Reference(s) Affected stake	Regular u Ongoing n/a holders	n/a EASA CT.5 RM Procedure			n/a Decision



RMT.0581	Loss of c	ontrol prevention an	d recovery training		
Safety	also addi are man training scenario This RMT Note: Re	ess the implementati ual aircraft handling of aircraft configura s (including the effect is split into multiple	on of the ICAO docun of approach to stall a tion laws, the recur of surprise). deliverables. See the on training provision	raining in order to addres nents and several SRs. Oth and stall recovery (includi rrent training on flight n related ToR on the EASA s related to UPRT were al	er aspects to be covered ng at high altitude), the nechanics, and training website.
Status	EPAS.			PAS for traceability. It will	be removed in the final
Reference(s)	Refer als	o to RMT.0582 (ToR i	ssued 20/8/2013)		
Affected stal	ceholders	Pilots, instructors,	, flight examiners, AT	Os, and Air Operators	
Owner		EASA FS.3			
Priority	Yes	RM Procedure	Standard	Harmonisation	n/a
		F	PLANNING MILESTON	IES	
SubT ToR		NPA	Opinion	Commission IR	Decision
RMT	.0581 and .0582 98/2013	2015-13 1/9/2015	n/a	n/a	2015/012/R 4/5/2015
			06/2017	2018/1974	2019/005/R
			29/06/2017	14/12/2018	27/02/2019

RMT.0587 Regular update of regulations regarding pilot training, testing and checking and the related oversight Efficiency/pro portionality The current cycle is completed. Further cycles are de-prioritised in accordance with the BR roadmap. This task will be removed in the final EPAS, it is kept here for traceability. **Status Reference(s)** n/a Affected stakeholders n/a **Owner** EASA FS.3 **Priority** n/a **RM Procedure** Standard Harmonisation n/a **PLANNING MILESTONES** SubT ToR NPA Opinion **Commission IR** Decision Regulation (EU) RMT.0587 03/2017 2018/011/R 30/11/2016 2018/1065 11/05/2016 11/05/2017 06/11/2018 30/07/2018



RMT.0	595	Technical	review and regular	update of learning	objectives and syllabi for c	ommercial licences (IR)		
Safety		Technical review of theoretical knowledge syllabi, learning objectives, and examination procedures for the air transport pilot licence (ATPL), MPL, commercial pilot licence (CPL), and instrument rating (IR).						
Status		Ongoing						
Refere	nce(s)	n/a						
Affecte	ed stakel	nolders	CAs, ATOs, studen	t pilots and ECQB				
Owner			EASA FS.3					
Priority	y	No	RM Procedure	Standard	Harmonisation	n/a		
			F	PLANNING MILESTO	INES			
SubT	ToR		NPA	Opinion	Commission IR	Decision		
1	RMT.0	595	2016-03	n/a	n/a	2018/001/R		
T	11/03/	2015	09/06/2016	11/a	11/ a	08/02/2018		
2			2020 Q1	n/a	n/a	2021 Q1		
3			2021 Q1	n/a	n/a	2022 Q1		

RMT.0599	Update of ORO.FC (evidence-based training)	

SafetyA complete review of the provisions contained in ORO.FC. In a first phase, it will include the
introduction of evidence-based training (EBT) and competency-based training and assessment (CBTA)
in the field of recurrent training and other training-related implementation issues.

The <u>second phase</u> will include the extension of EBT to other parts of the operator's training (e.g. conversion course, type rating) allowing a single philosophy of training to the operator, and a <u>third</u> <u>phase</u> that the will extend EBT to other aircrafts types (e.g. helicopters, business jets) allowing a single philosophy of training across the industry. Also, it will include other implementation issues on the training-related rules brought to the attention of EASA.

Status Refere		Ongoing n/a				
Affecte	ed stakehol	lders Pilot	s, flight instructo	rs, flight examiners, ATC	Ds and air operators	
Owner		EASA	A FS.2			
Priority	y Ye	es RM F	Procedure	Standard	Harmonisation	n/a
			PLA	NNING MILESTONES		
SubT	ToR	NPA		Opinion	Commission IR	Decision
1	RMT.059 05/02/20		3-07 7/2018	2019 Q3	2021 Q2	2021 Q2
2		2021	. Q3	2022 Q3	2023 Q3	2023 Q3
3		2024	Q3	2025 Q3	2026 Q3	2026 Q3



d consultation was perf ng Balloon operators RM Procedure	ne industry balloon exper formed and no NPA was p 5, pilots, flight instructors Article 16	published.	CAs and DTOs
Balloon operators RM Procedure			
RM Procedure			
RM Procedure			
	Article 16	Harmonisation	,
	Article 16	Harmonisation	,
			n/a
	PLANNING MILESTONES		
NPA	Opinion	Commission IR	Decision
n/a	01/2019 (A) & (B) 19/02/2019	2021 Q1	2021 Q1
access of general aviat	ion (GA) pilots to instrur	ment flight rules (IFR)	flying
- ·		• .	•
ng			
۸	w the existing requirem	w the existing requirements for the instrument r instrument rating specifically catering for the ne	w the existing requirements for the instrument ratings and most proba instrument rating specifically catering for the needs of the PPL holders

Owner

Owner					
Priority	y Yes	RM Procedure	Standard	Harmonisation	n/a
		P	PLANNING MILESTONES	;	
SubT	ToR	NPA	Opinion	Commission IR	Decision
	RMT.0677) 18/12/2015	2016-14 09/11/2016	01/2019 (A) & (B) 19/02/2019	2021 Q1	2021 Q1



2

2019 Q4

RMT.0678	Simpler, li	ghter and better Pa	rt-FCL requirement	s for general aviation	
Efficiency/pro portionality	Review the for GA. Examples: — Modu — Revie LAPL) — Revie — Revie — Provi — Mour — Revie — Deve	e different requirem ular LAPL; w of different LAP ; w of class & type ra w of language profi sions on touring mo ntain rating for helic w of the flight test r	ents which have been L and PPL requirer tings requirements ciency requirement tor glider (TMG) (de copters; rating requirements pircraft flight instruc	en identified by the GA road nents (crediting, revalidatio (new propulsion systems, a s for GA pilots; efinition, additional creditin, in the context of GA; tor (LAFI)' for LAPL training	on, seaplane rating for mphibious aircraft); g);
Status	Ongoing				
Reference(s)	n/a				
Affected stake	nolders	Pilots, flight exami	iners and CAs		
Priority	Yes	RM Procedure	AP/ST ⁵⁹	Harmonisation	n/a
		Р	LANNING MILESTO	NES	
SubT ToR		NPA	Opinion	Commission IR	Decision
1 RMT.00 01/09/		n/a	08-2017 23/10/2017	2018 Q4	n/a

2020 Q4

2022 Q2

2022 Q2

⁵⁹ * Modular LAPL will be processed through the procedure in accordance with Article 16 of the Rulemaking Procedure (accelerated procedure). For all other items, the standard rulemaking procedure will be applied.



RMT.0701	Revision of	of the sailplane licer	nsing requirements		
Efficiency/pro portionality		opics identified by th	ne industry sailplane ex	perts on the aircrew side	e.
Status	Ongoing				
Reference(s)	n/a				
Affected stake	holders	Sailplane operato	rs, pilots, flight instruc	tors, flight examiners, A1	Os and DTOs
Owner		EASA FS.3			
Priority	Yes	RM Procedure	Accelerated procedure	Harmonisation	n/a
			PLANNING MILESTON	ES	
SubT ToR		NPA	Opinion	Commission IR	Decision
RMT.(15/12	0701 /2016	n/a	2019-01 19/02/2019	2020 Q2	2020 Q2
SPT.012 Safety	The ob	-	an provisions on pilot ment the new regulato	training ry package on UPRT and	EBT with relevant safety
Safety HF Status Reference(s)	The ob promo Ongoi RMT.C	ojective is to comple otion material. ng 0599	ment the new regulato	ry package on UPRT and	
Safety HF Status	The ob promo Ongoi RMT.C	ojective is to complete otion material. ng 0599 Pilots, instructor	ment the new regulato	-	
Safety HF Status Reference(s) Affected stake	The ob promo Ongoi RMT.C	ojective is to comple otion material. ng 0599	ment the new regulato	ry package on UPRT and	
Safety HF Status Reference(s) Affected stake	The ob promo Ongoi RMT.C	ojective is to complete otion material. ng 0599 Pilots, instructor	ment the new regulato	ry package on UPRT and	15
Safety HF Status Reference(s) Affected stake Owner	The ob promo Ongoi RMT.C	ojective is to complete otion material. ng 0599 Pilots, instructor	ment the new regulato	ry package on UPRT and Os, and Air Operators, N	15
Safety HF Status Reference(s) Affected stake Owner Deliverable(s)	The ob promo Ongoi RMT.C	ojective is to complete otion material. ng 0599 Pilots, instructor	ment the new regulato	ry package on UPRT and Os, and Air Operators, N Timelin	15
Safety HF Status Reference(s) Affected stake Owner Deliverable(s)	The ob promo Ongoi RMT.C cholders ion material	ojective is to complete otion material. ng 0599 Pilots, instructor EASA FS.3	ment the new regulators, flight examiners, AT	ry package on UPRT and Os, and Air Operators, N Timelin	1S e
Safety HF Status Reference(s) Affected stake Owner Deliverable(s) Safety promot	The ob promo Ongoi RMT.C Pholders ion material ion material Evalua No 11 The re	pjective is to complete otion material. ng 0599 Pilots, instructor EASA FS.3 Ation on provisions 78/2011	ment the new regulators, flight examiners, AT EXPECTED OUTPUT for flight crew licence ssessed with regard to	OS, and Air Operators, M	1S e nission Regulation (EU)
Safety HF Status Reference(s) Affected stake Owner Deliverable(s) Safety promot EVT.0006	The ob promo Ongoi RMT.C Pholders ion material ion material Evalua No 11 The re	ojective is to complete otion material. ng 0599 Pilots, instructor EASA FS.3 ation on provisions 78/2011 egulation will be rea mance-based regula	ment the new regulators, flight examiners, AT EXPECTED OUTPUT for flight crew licence ssessed with regard to	Os, and Air Operators, N Timelini 2020	1S e nission Regulation (EU)
Safety HF Status Reference(s) Affected stake Owner Deliverable(s) Safety promot EVT.0006 Efficiency/pro portionality	The ob promo Ongoi RMT.C Pholders ion material ion material Evalua No 11 The re perfor	ojective is to complete otion material. ng 0599 Pilots, instructor EASA FS.3 ation on provisions 78/2011 egulation will be rea mance-based regula	ment the new regulators, flight examiners, AT EXPECTED OUTPUT for flight crew licence ssessed with regard to	Os, and Air Operators, N Timelini 2020	1S e nission Regulation (EU)
Safety HF Status Reference(s) Affected stake Owner Deliverable(s) Safety promot EVT.0006 Efficiency/pro portionality Status	The ob promo Ongoi RMT.C cholders ion material ion material Evalua No 11 The re perfor Ongoi n/a	pjective is to complete otion material. ng 0599 Pilots, instructor EASA FS.3 ation on provisions 78/2011 egulation will be rea rmance-based regulation	ment the new regulators, flight examiners, AT EXPECTED OUTPUT for flight crew licence ssessed with regard to	Os, and Air Operators, N Timelini 2020	1S e nission Regulation (EU)

Owner	EASA FS.3	
	EXPECTED OUTPUT	
Deliverable(s)		Timeline
ToR		2019



In addition to the above, the following RMTs are relevant to competence of personnel (flight crew):

RMT.0190 Requirements for relief pilots	
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The full description for this action is included in Section 6.1.3.

RMT.0688	Regular update of CS-SIMD

The full description for this action is included in **Chapter 9**.

In addition to the above, the following SPT is relevant to competence of personnel (GA):

SPT.083	Flight instruction	

The full description for this action is included in **Section 8.1.1**.



Systemic Safety

5.3.2 Cabin crew

RMT.0508	Regular update of CS-CC
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The full description for this action is included in **Chapter 9.**

5.3.3 Maintenance staff

RMT.01	106	Certifica training	tion specifications a	nd guidance mate	rial for maintenance certi	fying staff type rating
Safety		(TC) or ro type rati This min 66) to C	estricted TC for an air ng training, including imum syllabus, togetl	craft to identify the the determination on the determination on the require on (EU) No 1321/20	ety by requiring the applica e minimum syllabus of main of type rating. ements contained in Appene 14, will form the basis for	tenance certifying staff dix III to Annex III (Part-
Status Referei	nce(s)	This RMT in the fin n/a	•	2019, it is included	in this draft EPAS for traceal	bility. It will be removed
	d stake	-	DAHs, maintenand 147), and CAs	ce personnel, appro	ved maintenance training o	rganisations (Part-
Owner			EASA FS.1			
Priority	/	No	RM Procedure	Standard	Harmonisation	n/a
			F	PLANNING MILESTO	NES	
SubT	ToR		NPA	Opinion	Commission IR	Decision
	RMT.0 28/07/		2018-11 18/09/2018	n/a	n/a	2019 Q4



	B2L and L Part-6	6 aircraft mai	intenance licences		
Efficiency/pro portionality	 avionic and aircraft other by adapting the other to the lower conditional licensing of cert 	electrical syst er than aeropl current B2 lice mplexity of lig tifying staff i	anes and helicopters ensing requirements f ght aircraft, and prop	ver complexity of light air and in the maintenance of or maintenance of avioni ose a simple and propo tenance of aircraft othe	of ELA1 aeroplanes, ic and electrical system rtionate system for th
Status	Ongoing				
Reference(s)	n/a				
Affected stakeh	olders appr	roved mainter	nance training organis	ations, maintenance engi	neers or mechanics/G
Owner					
Priority	B- RM	Procedure	Standard	Harmonisation	n/a
		F	PLANNING MILESTON	ES	
SubT ToR	NPA		Opinion	Commission IR	Decision
15/0/20	011 2012	2-15 .0/2012	05/2015 22/06/2015	2018/1142 14/08/2018	2019 Q1
RMT.0255	Review of Part-6	6			
RMT.0255		-	is task is to addres	s some shortcomings in	dentified on the FAS
RMT.0255 Efficiency/pro portionality	The specific ob maintenance lice namely: — Type rati — On job tr — Deficit of — Obsolesc This task will als Part-66, to set up — e-learnin — simulatic — specialise	jective of th ensing system raining (OJT); f practical skil cence of the B o address new o the frameword g and distance on devices or t	n linked to effectiver ent for the 'legacy air ls for maintenance pe asic Knowledge syllab w training/teaching to ork for: te learning; STDs; ch as HF, FTS, continu	rsonnel; and us. echnologies for maintena	e current requirement:
Efficiency/pro	The specific ob maintenance lice namely: — Type rati — On job tr — Deficit of — Obsolesc This task will als Part-66, to set up — e-learnin — simulatic — specialise — blended	jective of th ensing system raining (OJT); f practical skil cence of the B o address new of the framework g and distance on devices or s ed training su teaching met tion, this RMT	In linked to effectiver ent for the 'legacy air ls for maintenance pe asic Knowledge syllab w training/teaching to ork for: le learning; STDs; ch as HF, FTS, continu hods.	ess and efficiency of the craft'; rsonnel; and us. echnologies for maintena	e current requirement
Efficiency/pro portionality	The specific ob maintenance lice namely: — Type rati — On job tr — Deficit of — Obsolesc This task will also Part-66, to set up — e-learnin — simulatic — specialise — blended Ongoing. In addit	jective of th ensing system raining (OJT); f practical skil cence of the B o address new of the framework g and distance on devices or s ed training su teaching met tion, this RMT	In linked to effectiver ent for the 'legacy air ls for maintenance pe asic Knowledge syllab w training/teaching to ork for: le learning; STDs; ch as HF, FTS, continu hods.	ess and efficiency of the craft'; rsonnel; and us. echnologies for maintena ation training; and	e current requirement

Owner		EASA FS.1			
Priority	Yes	RM Procedure	Standard	Harmonisation	n/a
			PLANNING MILESTONES		
SubT	ToR	NPA	Opinion	Commission IR	Decision
	2019 Q2	2020 Q3	2021 Q3	2023 Q3	2023 Q3



Status

Systemic Safety

RMT.0281	New training/teaching technologies for maintenance staff
Efficiency/pro portionality	 Set up the framework for: e-learning and distance learning; simulation devices or STDs; specialised training such as HF, FTS, continuation training; and blended teaching methods.

This tasks is merged into RMT.0544/0255 and will no longer appear in the final EPAS.

Refere	nce(s) n/a				
Affected stakeholders AMTOs, CAMOs and CAs					
Owner		EASA FS.1			
Priority	/ No	RM Procedure	Standard	Harmonisation	n/a
			PLANNING MILESTO	INES	
SubT	ToR	NPA	Opinion	Commission IR	Decision
	RMT.0281 19/12/2012	2014-22 09/09/2014	n/a	n/a	n/a

RMT.0	541	Regular update of aircraft type ratings for Part-66 aircraft maintenance licence				
Efficier portior	ncy/pro nality					
Status		Ongoing				
Refere	nce(s)	n/a				
Affecte	Affected stakeholders		n/a			
Owner			EASA FS.1			
Priority	Y	n/a	RM Procedure	Standard	Harmonisation	n/a
				PLANNING MILESTO	DNES	
SubT	ToR		NPA	Opinion	Commission IR	Decision
	66.024 12/05/		2018 Q4	n/a	n/a	2019 Q2



RMT.0544	Review Pa	rt-147				
Safety	 Complete review of the Part-147 (not performed since its first issue in 2003) and resolution of areas of special interest identified in the evaluation EVT.002 : Optimisation of the structure of the basic knowledge syllabus and its impact in the train courses and examinations Language proficiency for students in training courses Mechanisms to eliminate or reduce the examination cheating and fraud / conflict of inter within Part-147 organisations, in particular a final assessment performed by the NAA 					
	 This task will also address new training/teaching technologies for maintenance staff as relevan Part-147, to set up the framework for: e-learning and distance learning; simulation devices or STDs; specialised training such as HF, FTS, continuation training; and blended teaching methods. 					
Status	Ongoing. In addition, this RMT now also addresses the topics previously included within RMT.0281 as relevant to Part-147.					
Reference(s)	EVT.002					
Affected stakeholders		Approved maintenance training organisations (AMTOs), aircraft maintenance licence (AML) applicants and holders, and competent authorities (CAs).				
Owner		EASA FS.1				
Priority	n/a	RM Procedure	Standard	Harmonisation	n/a	
		F	PLANNING MILESTONES			
SubT ToR		NPA	Opinion	Commission IR	Decision	
2019 Q	2	2020 Q3	2021 Q3	2023 Q3	2023 Q3	
SPT.106 Safety	EVT.002, of fraud o	the report on the E or cheating during th	hitigating fraud cases in P U maintenance licensing he examinations. The action ndustry on how to preven	and training system, de	n of workshops,	
Status Reference(s)	New n/a					
Affected stakeh	olders	Approved Mainte	nance Training Organisati	ons		
Owner		EASA FS.1				

	EXPECTED OUTPUT
Deliverable(s)	Timeline
Workshops	Continuous



5.3.4 Air Traffic controllers

RMT.066	68	Regular update of ATCO licensing rules (IRs/AMC & GM)						
Efficiency/pro portionality		Persons Gro	NB: This RMT will also address relevant recommendations stemming from the Report of the Wise Persons Group on the Future of the Single European Sky and the Proposal for the Future Architecture of the European Airspace.					
Status		Ongoing						
Referen	ce(s)	n/a						
Affected stakeholders		olders	n/a					
Owner			EASA FS.4.1					
Priority	I	n/a	RM Procedure	Standard	Harmonisation	n/a		
PLANNING MILESTONES								
SubT	ToR		NPA	Opinion	Commission IR	Decision		
	RMT.06		2018-05 18/06/2018	n/a	n/a	2019 Q1		



5.3.5 Language Proficiency (Pilots and ATCOs)

Issue/rationale

The decision to address language proficiency requirements (LPR) for pilots and air traffic controllers was first made by the 32nd Session of the ICAO Assembly in September 1998 as a direct response to several fatal accidents, including one that cost the lives of 349 persons, as well as to previous fatal accidents in which the lack of proficiency in English was identified as a contributing factor. The intent was to improve the level of language proficiency in aviation worldwide, and reduce the communication breakdowns caused by a lack of language skills.

LPR has now moved beyond implementation (Assembly Resolution A38-8 refers), entering a phase of post implementation.

Despite the successful establishment of national LPR systems, there remains insufficient awareness, particularly in the selection of suitable and appropriate testing tools that meet ICAO LPRs, which may result in safety risks.

Therefore, EASA supports the continuation of the LPR activities as an important aviation safety element and joins efforts with ICAO, working together in order to streamline and harmonise the LPR activities and optimise support to MS and the industry.

Building on the successful joint endeavours, ICAO and EASA in close coordination conduct a joint ICAO/EASA activity on LPR implementation.

Moreover, the following points have been brought to the attention of EASA (some came from the industry directly :

- Whilst all pilots holding a CPL/IR and an ATPL have an English LP endorsement on their licence of at least the LP level 4, experience has shown that many of the pilots seeking a job at airlines cannot pass a straightforward telephone interview and are therefore not successful in getting their first job as an airline pilot.
- GA pilot organisations claim that the language proficiency tests are too demanding and not adapted to the GA environment. Furthermore, GA organisations claim that the real advantage of the language proficiency examinations is for the language proficiency testing industry.
- Raw safety data shows only a very low number of incidents related to a lack of language proficiency, whilst
 a significant number of incidents are related to a lack of situational awareness because the radio
 communications were only in the local language.
- Pilot organisations claim that the competent authorities in different MS have implemented different procedures to test language proficiency with the effect that in some countries it is easier or in other countries more difficult to obtain a language proficiency endorsement. (Some airlines have a Level 6 as a pre-entry requirement thus pushing pilots to search for an easy solution).
- The language proficiency testing industry claims that the provisions for language proficiency in Regulation (EU) 1178/2011 are not consistent with the latest amendments of ICAO Annex 1.

What we want to achieve

To increase safety by reducing the risk of ineffective communication or even miscommunication when pilots and/or controllers need to face unexpected situation and to use plain language. To react to the above:

- EASA intends to promote the use of the English language during pilot training for IR, CPL and ATPL.
- EASA is evaluating the content of the provided tests and is ready to reconsider the necessity of language proficiency tests for pilots holding an LAPL or PPL with a radio telephony (RT) licence that includes the English language.
- EASA has started an analysis of this raw data to make sure that also incidents that are not directly linked to a lack of language proficiency but that show a certain indication that a lack of language proficiency was part of the route course are included.



 Through standardisation of competent authorities and with the feedback on performance of the technical advisory bodies EASA has started to have a closer look at the tests that are provided in the different MS. After a thorough analysis, EASA plans to promote selected best practices with the view to harmonise testing methods.

EASA has verified the existing requirements and considers these as sufficient, however EASA plans to encourage MS through safety promotion measures to make use of ICAO Doc 9835.

How we want to achieve it: actions

SPT.105	Language proficiency requirements – to raise awareness on LPRI, together with ICAO, the industry and the MSs.				
Safety	SubT 1: Raise awareness on LPR implementation (proportionate LPRI, based on the operational need MSs				
	All relevant stakeholders and MSs to work together on the maintenance, monitoring and revision of LPRI; to promote the common understanding of LPRI as a safety issue, linked to human factor principles; share lessons learned; encourage progress and harmonisation and develop good practice document to cope with operational, safety and standardisation needs. SubT 2: Use of the English language during pilot training for IR, CPL and ATPL Competent Authorities recommending ATOs about pilot training for CPL, ATPL and IR mainly conducted in English language and/or English language training delivered in parallel with CPL, ATPL and IR training courses				
Status	New				
Reference(s)	ICAO Annex 1, Annex 6, Annex 10, Annex 11, Commission Regulation (EU) 1178/2011, Commission Regulation (EU) 2015/340, Commission Implementing Regulation (EU) 2016/1185				
Affected stake	olders MSs, NSPs, ATCOs, training organisations,	Pilot licence holders and students			
Owner	EASA FS.3, CA				
	EXPECTED OUTPUT				
Deliverable(s)		Timeline			
Guidance/ good practice document Continuous (SubT 1) 2020 Q4 (SubT 2)					

MST.033		Language proficiency requirements - To share best practices, to identify areas for improvement for the uniform and harmonised LPR implementation			
Safety MSs should provide feedback to EASA on how the LPRI is implemented, including the ATOs to deliver training in English, for the purpose of harmonisation and uniform implemented and uniform implemented.					
Status	New	New			
Reference(s)	SPT.105	SPT.105			
Affected stakeholders MSs, NSPs, ATCOs, traini		MSs, NSPs, ATCOs, training organisations, Pilot licence holders and students			
Owner		MS			
		EXPECTED OUTPUT			
Deliverable(s)		Timeline			
Feedback on the	implemen	tation status Continuous			



In addition to the above, the following RMTs are also relevant to Language Proficiency:

RMT.0194	Modernise the European pilot training system and improve the supply of competent flight instructors.
RMT.0678	Simpler, lighter and better Part-FCL requirements for general aviation

The full description for these RMTs is included in **Section 5.3.1**.



5.4 Aircraft tracking, rescue operations and accident investigation

Issue/rationale

Safety investigation authorities have frequently raised the issue of lack of data to support investigations of light aircraft accidents. This is also related to the fact that light aircraft are not required to carry a flight recorder. As regards large aircraft, the advent of new technologies, as well as findings during safety investigations highlight the need to update the installation specifications for flight recorders.

The safety actions in this area are aimed at introducing normal tracking of large aircraft, improving the availability and quality of data recorded by flight recorders, assessing the need for in-flight recording for light aircraft and the need to introduce data link recording for in-service large aircraft.

What we want to achieve

Increase safety by facilitating the recovery of information by safety investigation authorities and thus helping to avoid future accidents.

How we monitor improvement

Number of investigated accidents or serious incidents in which flight data was not recovered.

How we want to achieve it: actions



RMT.0249 Recorders installation and maintenance thereof — certification aspects

SafetyThe general objective of this RMT is to improve the availability and quality of data recorded by flight
recorders in order to better support safety investigation authorities in the investigation of accidents
and incidents. More specifically, this RMT is aimed at modernising and enhancing the specifications
for flight recorder installation on board large aeroplanes and large rotorcraft.

Phase 1 addressed flight data recorder (FDR)/cockpit voice recorder (CVR) power supply, means to automatically stop the recording after an accident, combination recorders, etc.

In phase 2 of this RMT, EASA will prepare a second NPA (planned for Q3/2019), which will lead to a decision amending CS-25 and CS-29. Topics addressed in phase 2 will include data link recording, serviceability of flight recorders, quality of recording of CVR, performance specifications for flight recorders and deployable recorders.

Both phases will affect CS 25 and CS 29, but phase 1 also included an opinion with a proposal to amend Part-CAT.

Status	Ongoing					
Refere	nce(s) n/a					
Affecte	ed stakeholders	Operators (of airc DOA holders	Operators (of aircraft required to be equipped with flight recorders), POA holders and DOA holders			
Owner		EASA CT.5				
Priority	y No	RM Procedure	Standard	Harmonisation	n/a	
		F	PLANNING MILESTON	NES		
SubT	ToR	NPA	Opinion	Commission IR	Decision	
1	RMT.0249 (MDM.051) 18/09/2014	2018-03 27/03/2018	2019-02 22/02/2019	2021 Q1	2021 Q1	
2		2019 Q3	n/a	n/a	2020 Q3	

RMT.0271 In-flight recording	for light aircraft
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Assess the need for in-flight recording and make proportionate suggestions for categories of aircraftSafety and types of operation covered by the air operations rules for which there is no flight recorder carriage requirement.

Status Ongoing

Reference(s) n/a

Affected stakeholders		Operators (of aircraft not yet required to have flight recorders)				
Owner	r		EASA FS.2			
Priorit	у	No	RM Procedure	Standard	Harmonisation	n/a
			F	PLANNING MILESTO	NES	
SubT	ToR		NPA	Opinion	Commission IR	Decision
25/07/2014		2017-03 3/04/2017	2019-02 22/02/2019	2020 Q3	2020 Q3	



RMT.0294	Data link i	Data link recording retrofit for aircraft used in CAT				
Safety	Assess the I and III.	e need to introduce o	data link recording f	or in-service aircraft in line v	vith ICAO Annex 6 Parts	
Status	Ongoing					
Reference(s)	n/a					
Affected stakeholders		Operators (of airc DOA holders	craft required to be	equipped with flight record	ders), POA holders and	
Owner		EASA FS.2				
Priority	No	RM Procedure	Standard	Harmonisation	n/a	
	PLANNING MILESTONES					
SubT ToR		NPA	Opinion	Commission IR	Decision	
2020 Q1		2021 Q1	2022 Q1	2023 Q1	2023 Q1	



RMT.0400 Amendment of requirements for flight recorders and underwater locating devices

Safety

All IRs were adopted with Commission Regulation (EU) 2015/2338; however, the AMC & GM for CAT.GEN.MPA.205 (Aircraft tracking — aeroplanes) and CAT.GEN.MPA.210 (Location of an aircraft in distress) in the rules for air operations have not yet been issued. In addition, it has been identified that amendments to certification specifications may be necessary to facilitate the implementation of CAT.GEN.MPA.210.

SubT 1: ED Decision 2015/021/R: this Decision modified some of the AMC and GM related to FDR and CVR serviceability (refer to CAT.GEN.MPA.195(b)). It also updated the performance specifications for two of the FDR parameters (refer to CAT.IDE.A.190), and it clarified the scope of the performance specifications applicable to the CVR (refer to CAT.IDE.A.185 and CAT.IDE.H.185)

SubT 2: ED Decision 2015/030/R: this Decision completed the AMC and GM related to the serviceability of the CVR (refer to ORO.MLR.100 and CAT.GEN.MPA.195(b)), the preservation of the CVR recording after an accident or a serious incident (refer to CAT.GEN.MPA.195(a)), the performance and installation of the long-range underwater locating device (see CAT.IDE.A.285(f)). It also clarified the applicability of the data link recording requirements (refer to CAT.IDE.A.195 and CAT.IDE.H.195)

SubT 3: ED Decision 2016/012/R: this Decision updated the AMC and GM related to the protection of the CVR in normal operation (see CAT.GEN.MPA.195(f)). It also introduced operational requirements for FDRs installed on aeroplanes and helicopters first issued with an individual CofA on or after 1 January 2023 (see CAT.IDE.A.190 and CAT.IDE.H.190). Finally, this Decision clarified the time intervals between two inspections of the FDR and CVR recordings (refer to CAT.GEN.MPA.195(b))

SubT 4: ED Decision 2017/023/R: this Decision provided AMC and GM for the implementing rule on aircraft tracking (CAT.GEN.MPA.205)

SubT 5: This Decision will provide the Certification Specifications, AMC and GM for the implementing rule on location of an aircraft in distress (CAT.GEN.MPA.210). The scope of this Decision encompasses air operations, initial airworthiness and air traffic management.

Status	Ongoi	ng						
Refere	nce(s) n/a							
Affecte	ed stakeholders	Aircraft operator	Aircraft operators and POA holders					
Owner		EASA FS.2 & CT.4	EASA FS.2 & CT.4					
Priorit	y No	RM Procedure	Standard	Harmonisation	n/a			
			PLANNING MILESTO	NES				
SubT	ToR	NPA	Opinion	Commission IR	Decision			
1	OPS.090	2013-26	01/2014	R(EU) 2015/2338	2015/021/R			
	26/09/2012	20/12/2013	06/05/2014	11/12/2015	12/10/2015			
2		nla	n/n	nla	2015/030/R			
		n/a	n/a	n/a	17/12/2015			
3			1	n la	2016/012/R			
		n/a	n/a	n/a	12/09/2016			
4		nla	nla	nla	2017/023/R			
		n/a	n/a	n/a	14/12/2017			
5		2019 Q2	n/a	n/a	2019 Q4			



RES.013 Quick recovery of flight recorder data					
Safety the feas namely emphas possible complet		to the MH370 accident and the adoption by ICAO of consequent regulation, assessment of ibility for using wireless transmission solutions for timely recovery of flight recorder data – flight parameters, audio and video images – in the follow-up to an accident; particular is should be addressed to tackle prevailing open issues, such as those linked with the e circumstances of an accident - loss of engine power, unusual aircraft attitude, aircraft te destruction, accident in an oceanic area, the reliability and cost impact of the proposed as, their aptitude for usage in accident investigations as well as associated data privacy rations.			
Status	Ongoin	g			
Reference(s)	n/a				
Affected stakeho	olders	CAT			
Owner		EASA SM.0.1			
		EXPECTED OUTPUT			
Deliverable(s)		Timeline			
Report		2022			



5.5 Impact of security on safety

Issue/rationale

The safety actions in this area are aimed at mitigating the security-related safety risks.

The safety actions in this area also include the mitigation of the risks posed by flying over zones where an armed conflict exists.

Managing the impact of security on safety is a strategic priority.

What we want to achieve

Increase safety by managing the impact of security on safety and mitigating related safety risks.

How we monitor improvement

Continuous assessment and mitigation of security threats

How we want to achieve it: actions

RMT.0648 Aircraft cyl		bersecurity					
Safety	due to act achieve th account th	The specific objective of this task is to mitigate the safety effects stemming from cybersecurity risks due to acts of unlawful interference with the aircraft on-board electronic networks and systems. To achieve this objective, EASA will consider the introduction of new cybersecurity provisions taking into account the existing special condition and the recommendations of the ASISP ARAC group. The RMT considers CS-25, CS-29, CS-27, CS-23, CS-E, CS-ETSO and CS-P.					
Status	This RMT in the fina		n 2019, it is included	in this draft EPAS for traceal	bility. It will be removed		
Reference(s) n/a						
Affected sta	keholders	Applicants for TC/STC for large aeroplanes or large rotorcraft					
Owner		EASA CT.5					
Priority	Yes	RM Procedure	Standard	Harmonisation	FAA		
	PLANNING MILESTONES						
SubT Tol	R	NPA	Opinion	Commission IR	Decision		
	T.0648 05/2016	NPA 2019-01 22/02/2019	n/a	n/a	2019 Q3		



16/01/2019

RMT.07	'20 Ma	nagement of informatio	n security risks				
Safety	fror this by mar incl	e specific objective of this task is to efficiently contribute to the protection of the aviation system m cybersecurity (information security from now on) attacks and their consequences. To achieve s objective, it is proposed to introduce provisions for the management of information security risks organisations in all the aviation domains (design, production, continuing airworthiness magement, maintenance, operations, aircrew, ATM/ANS, aerodromes). These provisions would lude high-level, performance-based requirements, and would be supported by AMC & GM material d industry standards.					
Status	Ong	going					
Referen	nce(s) n/a						
Affected stakeholders				nes, maintenance organisa erodromes, and Member St			
Owner		EASA FS.4					
Priority	Yes	RM Procedure	Standard	Harmonisation	ICAO, FAA, TCCA		
			PLANNING MILESTO	INES			
SubT	ToR	NPA	Opinion	Commission IR	Decision		
	RMT.0720 16/01/2019	2019 Q2	2020 Q2	2021 Q4	2021 Q4		



Safety

Systemic Safety

SPT.071 Strategy for cybersecurity in aviation

Citizens travelling by air are more and more exposed to cybersecurity threats. The new generation of aircraft have their systems connected to the ground in real time. Air traffic management technologies rely on internet and wireless connections between the various ground centres and the aircraft. The multiplication of network connections increases the vulnerability of the whole system. In order to address those concerns, a strategy for cybersecurity in aviation will be developed jointly by the EC and EASA in close coordination with the European Strategic Coordination Platform (ESCP), which is composed of representatives from the EC, relevant European Agencies and organisations, MSs and industry associations as well as other worldwide regulatory partners and military organisations.

This strategy will include, among others, actions in the following areas:

- Information sharing
- Research and studies
- Event investigation and response
- Knowledge and competence building
- International cooperation and harmonisation
- Regulatory activities and development of industry standards

This strategy for cybersecurity in aviation, together with the wider cybersecurity strategy being implemented in the EU for the protection of EU citizens against cybercrime, will pave the way for a secure and safe air transport system.

Status	Ongoing			
Reference(s)	n/a			
Affected stakeholders		ALL		
Owner		EASA, EC, MS		
			EXPECTED OUTPUT	
Deliverable(s)				Timeline
Strategy paper				2019

SPT.078	Disseminate information on conflict zones				
Safety	In the aftermath of the B777 MH17 accident, an EU high-level task force is working to define further actions to be taken at European level in order to provide common information on risks arising from conflict zones.				
Status	Ongoing				
Reference(s)	n/a				
Affected stakeh	olders ALL				
Owner	EASA FS.4				
	EXPECTED OUTPUT				
Deliverable(s)	Timeline				
Information to N	ASs Continuous				



Systemic Safety

SPT.100	Safety promotion on disruptive passengers		
Safety	Develop safety promotion material to support operators with the reduction of the risks associated with disruptive/unruly passengers.		
Status	This SPT is completed, it is included in this draft EPAS for traceability. It will be removed in the final EPAS.		
Reference(s)	Safety Promotion material on 'Zero tolerance against unruly passengers' ⁶⁰		
Affected stakeh	olders CAT		
Owner	EASA SM.1		
	EXPECTED OUTPUT		
Deliverable(s)	Timeline		
Safety promotion material 2019			

RES.012	Cybersecurity: common aeronautical vulnerabilities database				
Safety	Develop a vulnerabilities database in order to collect, maintain and disseminate information about discovered vulnerabilities targeting major transport information systems. The project would include the identification of the type of information that this database would contain, how this database could be populated and how we can take advantage of the database in order to obtain an accurate landscape of cybersecurity risks. It should also include a 'prototype phase' with some initial population.				
Status	This RE	This RES has been placed on hold until further notice.			
Reference(s)	n/a	n/a			
Affected stakeh	olders	ALL			
Owner		EASA SM.0.1			
			EXPECTED OUTPUT		
Deliverable(s)			Timeline		
Database			2021		

⁶⁰ <u>https://www.easa.europa.eu/notonmyflight?</u>



5.6 Oversight and Standardisation

The safety actions in this area are aimed at addressing issues emerging from standardisation activities, with focus on the safety oversight responsibilities of the MSs. The conclusions of the EASA 2018 Standardisation Annual Report (SAR) are also taken into account.

Issue/rationale

Authority requirements, introduced in the rules developed under the first and second extension of the EASA scope, define what MSs are expected to implement when performing oversight of the organisations under their responsibility. In particular, they introduced the concept of risk-based oversight with the objective of addressing safety issues with a consideration to efficiency.

The safety actions in this area are aimed at addressing issues emerging from standardisation activities, with focus on the safety oversight responsibilities of the MSs. The conclusions of the EASA 2018 SAR are also taken into account.

The elements presented in 3.2.6 are considered enablers of a robust safety oversight system, as expected to be in place according to the requirements in force:

- 1. ability and determination to conduct effective oversight⁶¹
- 2. ability to identify risks through a process to collect and analyse data;
- 3. ability to mitigate the identified risks in an effective way, implying measurement of performance and leading to continuous improvement;
- 4. willingness and possibility to exchange information and cooperate with other CAs;
- 5. ability to ensure the availability of adequate personnel, where 'adequate' includes the notion of sufficient training and proper qualification; and
- 6. focus on the implementation of effective management systems in industry, wherever required by the regulations in force.

What we want to achieve

A robust oversight system across Europe, where each CA is able to properly discharge its oversight responsibilities, with particular focus on management of safety risks, exchange of information and cooperation with other CAs. To that end, implementation of management systems in all organisations, as well as ensuring the availability of adequate personnel in CAs are essential enablers.

How we monitor improvement

The elements above are constantly monitored during the Standardisation activities conducted by the Agency. In addition a number of indicators have been developed to measure the progress over time of the point 6. above.

Section 4.2 proposes to monitor MSs' oversight capabilities and the status of compliance with management system (SMS) requirements in aviation organisations respectively.

⁶¹ 'oversight' means the verification, by or on behalf of the competent authority, on a continuous basis that the requirements of this Regulation and of the delegated and implementing acts adopted on the basis thereof, on the basis of which a certificate has been issued or in respect of which a declaration has been made, continue to be complied with. (Reg. 2018/1139, Art. 3)



MST.032	Oversight capabilities/focus area
Safety	 (a) Availability of adequate personnel in competent authorities: MSs to ensure that adequate personnel is available to discharge their safety oversight responsibilities;
	 (b) Cooperative oversight in all sectors MSs to ensure that the applicable authority requirements are adhered to in all sectors. The objective is to ensure that each organisation's activities are duly assessed, known to the relevant authorities and that those activities are adequately overseen, either with or without an agreed transfer of oversight tasks. NB: EASA will continue to support CAs in the practical implementation of cooperative oversight, e.g. benefiting from the outcome of the trial projects conducted between UK, NO, FR, CZ, as well as with exchanges of best practices and guidance.
	(c) Organisations management system in all sectors MSs to foster the ability of CAs to assess and oversee the organisations' management system in all sectors. This will focus in particular on safety culture, the governance structure of the organisation, the interaction between the risk identification/assessment process and the organisation's monitoring process, the use of inspection findings and safety information such as occurrences, incidents, and accidents. This should lead CAs to adapt and improve their oversight system.
	(d) Service provision to GA flights MSs to raise the quality of support provided to GA flights by ANSPs through focused oversight.
	(e) Focused oversight on cases of fraud in Part-147 organisations MSs to focus on the risk of fraud in examinations, including by adding specific items in audit checklists and collecting data on the actual cases of fraud.
	(f) English Language Proficiency: MS to focus on the implementation of language proficiency requirements, to identify areas for improvement for the uniform and harmonised implementation and identify best practices to be shared with industry and other Member States.
Status	New . This MST action supersedes FOT actions 003, 007, 008 and 010 from EPAS 2019-2023.
Reference(s)	n/a
Affected stakeho	lders ALL
Owner	MS
	EXPECTED OUTPUT
Deliverable(s)	Timeline
SPAS established	2020

In addition to the above, the following action is also relevant to oversight:

RMT.0588 Aircraft continuing airworthiness monitoring — Review of key risk elements

The full description for this action is included in **Chapter 10**.



This chapter groups all actions in the area of CAT by aeroplane, NCC (Business Aviation), as well as SPO aeroplanes.

The operational domain CAT by aeroplane and NCC (Business Aviation) remains the greatest focus of the EASA safety activities. Sufficient safety and exposure data is available in these domains to enable the definition of specific safety performance metrics (see **Chapter 4.2**).

6.1 CAT by Aeroplane & NCC (Business)

6.1.1 Safety

This section is structured in line with the key risk areas (KRAs) and related safety issues identified in the ASR 2018.

CAT by Aeroplane & NCC (Business)

Key Risk Areas

Priority 1	Priority 2	Priority 3	Priority 4
Aircraft UpsetRunway Excursions	Injuries/DamagesSecurity	Runway collisionAirborne collision	 Aircraft Environment Ground Collision Terrain Collision Obstacle Collision

6.1.1.1 Aircraft upset in flight (LOC-I)

Issue/rationale

Loss of control usually occurs because the aircraft enters a flight regime which is outside its normal envelope, usually, but not always, at a high rate, thereby introducing an element of surprise for the flight crew involved. Prevention of loss of control is a strategic priority.

Aircraft upset or loss of control is the most common accident outcome for fatal accidents in CAT aeroplane operations. It includes uncontrolled collisions with terrain, but also occurrences where the aircraft deviated from the intended flight path or aircraft flight parameters, regardless of whether the flight crew realised the deviation and whether it was possible to recover or not. It also includes the triggering of stall warning and envelope protections.

What we want to achieve

Increase safety by continuously assessing and improving risk controls to mitigate the risk of loss of control.

How we monitor improvement

Continuous monitoring of safety issues identified in the CAT Safety Risk Portfolio for CAT airline and NCC business aeroplane operations (ref: ASR 2018).



RMT.0581 Loss of control prevention and recovery training

The full description for this action is included in Section 5.3.

RMT.0397	Unintended or inappropriate rudder usage — rudder reversals (completed)		
RES.010	Ice crystal detection		
RES.017	Icing hazard linked to Super cooled Large droplet (SLD)		

The full description for these actions is included in **Chapter 9**.



6.1.1.2 Runway Safety

Issue/rationale

This section deals with runway excursions, runway incursions and runway collisions, and is of a strategic priority.

Runway excursion covers materialised runway excursions, both at high and low speed, and occurrences where the flight crew had difficulties maintaining the directional control of the aircraft or of the braking action during landing, where the landing occurred long, fast, off-centred or hard, or where the aircraft had technical problems with the landing gear (not locked, not extended or collapsed) during landing. Runway excursions account for 81 high-risk occurrences recorded in the period 2013-2017 in CAT aeroplane and NCC (business) operations.

Runway incursion refers to the incorrect presence of an aircraft, vehicle or person on an active runway or in its areas of protection. Their accident outcome, runway collisions, account for 28 high-risk occurrences recorded in the period 2013-2017. Despite the relatively low number, the risk of the reported occurrences was demonstrated to be very real.

What we want to achieve

Increase safety by continuously assessing and improving risk controls to mitigate the risk of REs and RIs.

How we monitor improvement

Continuous monitoring of safety issues identified in the aerodrome and groundhandling and the ATM/ANS Safety Risk Portfolios (see ASR 2018).

RMT.02	296	Review of	aeroplane perform	nance requirements	for operations	
Safety		 Develop regulatory material to provide improved clarity, technical accuracy, flexibility or a combination of these benefits for the EU operational requirements on aeroplane performance in air operations with the aim of reducing the number of accidents and serious incidents where aeroplane performance is a causal factor; and Contribute to the harmonisation of the FAA and EU operational requirements on aeroplane performance in CAT operations. 				
Status		Ongoing				
Refere	nce(s)	n/a				
Affected stakeholders		Aeroplane Opera	tors, POA holders, CA	٨s		
Owner			EASA FS.2			
Priority	/	Yes	RM Procedure	Standard	Harmonisation	n/a
				PLANNING MILESTO	NES	
SubT	ToR		NPA	Opinion	Commission IR	Decision
	RMT.0 (OPS.0 09/06/	08(A))	2016-11 30/09/2016	2019-02 22/02/2019	2020 Q3	2020 Q3



In addition, the below actions are also directly relevant for this key risk area:

RMT.0570	Reduction of runway excursions		
RMT.0703	Runway safety		
RMT.0722	Provision of aeronautical data by the ADR operator		

The full description for these actions is included in **Chapter 12**.



6.1.1.3 Airborne conflict (Mid-air collisions)

Issue/rationale

Airborne conflict refers to both actual collisions as well as near-misses in the air. It includes direct precursors such as separation minima infringements, genuine traffic collision avoidance system (TCAS) resolution advisories or airspace infringements. Although there have been no CAT aeroplane airborne collision accidents in recent years within the EASA MSs, this key risk area has been raised by a number of MSs through the NoAs and also by some airlines, specifically in the context of the collision risk posed by aircraft without transponders in uncontrolled airspace. This is one specific safety issue that is a main priority in this key risk area. The risk scoring of accidents and serious incidents warrants the inclusion of airborne conflict as a key risk area in this domain.

What we want to achieve

Continuously assess and improve risk controls to mitigate the risk of mid-air collisions.

How we monitor improvement

Increase safety by continuously monitoring safety issues identified in the CAT Safety Risk Portfolio for CAT Airline and NCC business aeroplane operations (see ASR 2018).

RMT.0376		Anti-collision and traffic awareness systems for aircraft with MTOM less than 5 700 kg or less than 19 passengers					
Safety		Set up the framework for reducing the risk of MACs. This task will include a thorough impact assessment aimed at evaluating the cost-benefit of anti-collision systems carriage.					
Status Reference(s)	This task n/a	This task is rescheduled in accordance with the criteria described in Chapter 3. n/a					
Affected stake	holders	AOC holders, GA					
Owner		EASA FS.4					
Priority	Yes	RM Procedure	Standard	Harmonisation	n/a		
	PLANNING MILESTONES						
SubT ToR		NPA	Opinion	Commission IR	Decision		
2019 (24	2021 Q3	2022 Q3	2023 Q3	2023 Q3		



MST.024	Loss of separation between civil and military aircraft
Safety	Several EU MSs have reported an increase in losses of separation involving civil and military aircraft and more particularly an increase in non-cooperative military traffic over the high seas. Taking into account this situation, and the possible hazard to civil aviation safety, the EC mandated EASA to perform a technical analysis of the reported occurrences. The technical analysis issued a number of recommendations for the MS: — endorse and fully apply Circular 330;
	 closely coordinate to develop, harmonise and publish operational requirements and instructions for state aircraft to ensure that 'due regard' for civil aircraft is always maintained; develop and harmonise civil/military coordination procedures for ATM at EU level; report relevant occurrences to EASA; and

facilitate/make primary surveillance radar data available in military units to civil ATC units.
 The objective of this action is to ensure that MSs follow up on the recommendations and provide feedback on the implementation.

EASA will have a supporting role and provide feedback on the occurrences reported.

Status	Ongoin	g	
Reference(s)	ICAO C	ircular 330	
Affected stakehol	ders	CAT	
Owner		MS	
			EXPECTED OUTPUT
Deliverable(s)			Timeline
Report			2020

MST.030	Implementation of SESAR solutions aiming to reduce the risk of mid-air collision en-route and TMA			
Safety HF	MS should evaluate together with ANSPs delegated to provide services in their airspace the needs for implementing SESAR solutions such as those related to enhanced Short Term Conflict Alerts (STCA)/enhanced safety nets ⁶² . These SESAR solutions designed to improve safety should be implemented as far as it is feasible.			
Status	Ongoing			
Reference(s)	SESAR Solutions Catalogue:			
	https://www.sesarju.eu/sites/default/files/solutions/SESAR Solutions Catalogue Ed2 2017.p			
Affected stakehol	ders CAT/GA			
Owner	MS			
	EXPECTED OUTPUT			
Deliverable(s)	Timeline			
SPAS established 2020				

⁶² More details about the related research projects can be found in <u>https://www.atmmasterplan.eu/data/sesar_solutions</u>



6.1.1.4 Terrain Collision

Issue/rationale

This risk area includes the controlled collision with terrain together with undershoot or overshoot of the runway during approach and landing phases. It comprises those situations where the aircraft collides or nearly collides with terrain while the flight crew has control of the aircraft. It also includes occurrences which are the direct precursors of a fatal outcome, such as descending below weather minima, undue clearance below radar minima, etc.

What we want to achieve

Increase safety by continuously assessing and improving risk controls to mitigate the risk of CFIT.

How we monitor improvement

Continuous monitoring of safety issues identified in the CAT Safety Risk Portfolio for CAT Airline and NCC Business aeroplane operations (ref: ASR 2018).

How we want to achieve it: actions

Following completion of the actions included under this section in EPAS 2018-2022, no further actions are included in this EPAS edition. The section is maintained as a placeholder for future actions.



6.1.1.5 Aircraft environment

Issue/rationale

Uncontrolled fire on-board an aircraft, especially when in flight, represents one of the most severe hazards in aviation. Post-crash fire is also addressed in this section.

In-flight fire can ultimately lead to loss of control, either as a result of structural or control system failure, or again as a result of crew incapacitation. Fire on the ground can take hold rapidly and lead to significant casualties if evacuation and emergency response is not swift enough. Smoke or fumes, whether they are associated with fire or not, can lead to passenger and crew incapacitation and will certainly raise concern and invite a response. Even when they do not give rise to a safety impact, they can give rise to concerns and need to be addressed.

While there were no fatal accidents involving EASA MS operators in the last years involving fires, there have been occurrences in other parts of the world that make it an area of concern within EPAS.

What we want to achieve

Increase safety by continuously assessing and improving risk controls to mitigate the risk of fire, smoke and fumes.

How we monitor improvement

Continuous monitoring of safety issues identified in the CAT Safety Risk Portfolio for CAT Airline and NCC Business aeroplane operations (ref: ASR 2018).

How we want to achieve it: actions

RMT.0070	Additional airworthiness specifications for operations: fire hazard in Class D cargo compartments
RMT.0071	Additional airworthiness specifications for operations: thermal/acoustic insulation material (closed)

The full description for these actions is included in **Chapter 9**.

RES.003 Safety	Research study on cabin and cockpit air quality Investigation of the quality level of the air inside the cabin and cockpit of large transport aeroplan and its health implication. The work aims at demonstrating, on the basis of a sound scienti process, whether potential health implications may result from the quality of the air on boa commercially operated large transport aeroplanes.				
Status	Ongoing				
Reference(s)	n/a				
Affected stakeho	olders	CAT			
Owner		EC (H2020)			
		EXPECTED OUTPUT			
Deliverable(s)		Timeline			
Study report		2020			



RES.004	Transport of lithium batteries by air
Safety	 Assess mitigating measures for the transport of lithium metal and lithium ion batteries as cargo on board an aircraft and develop a risk assessment tool and guidance for operators. This would include, at least: Review of the state of the art and identification of potential risks Identification and assessment of packaging solutions/standards Identification and assessment of additional measures that may mitigate the risks of thermal runaway and propagation of the fire Characterisation and evaluation of firefighting measures and suppression systems Development of a risk assessment method to enable operators to establish and evaluate safe conditions for air transport Conclusions, recommendations and provision of technical assistance to the contracting authority. This must take into consideration the specific operational conditions of air transport (vibrations, changes of temperature, pressure, etc.) that might impact the stability of lithium battery.
Status	Ongoing
Reference(s)	n/a
Affected stakeh	olders CAT
Owner	EASA SM.0.1
	EXPECTED OUTPUT
Deliverable(s)	Timeline
Report	2020
RES.016	Fire risks caused by portable electronic devices on-board aircraft
Safety	Research work aimed at the full characterisation of the fire risks associated to the transport of large portable electronic devices (PED) in aircraft, notably of those stored in the cargo compartment in the checked-in luggage; this encompasses theoretical and experimental work to deepen the knowledge related to the inception and propagation of PED originated fires as well as devising efficient and cost-effective means for their detection and suppression;
Status	Ongoing
Reference(s)	n/a
Affected stakeh	olders CAT
Owner	EASA SM.0.1
	EXPECTED OUTPUT
Deliverable(s)	Timeline



6.1.1.6 Miscellaneous

Issue/rationale

This section gathers the actions that do not relate to any of the KRAs listed in Section 6.1.1. They may involve different types of actions in the domain CAT by aeroplane and NCC (Business). The need for having such a category was driven by the constant development of EPAS towards new safety areas.

What we want to achieve

To increase safety with a combination of actions that addresses more than one issue.

How we monitor improvement

The EASA ABs will give feedback on the effectiveness of the activities.

RMT.0516	Update o	Update of the rules on air operations (Air OPS Regulation — all Annexes & related AMC & GM)					
Safety	 Improve the authority and organisational requirements of the Air OPS Regulation taking into account identified implementation issues; Better identify inspector qualifications; Take into account new business models, as appropriate; Take into account the development of any lessons learned from the implementation of SMS; Ensure compliance with the ICAO Standards And Recommended Practices (SARPs); Address identified safety issues such as pax seating and briefing; GA Roadmap issues. 						
Status	in the fina		n 2019, it is included in	n this draft EPAS for tracea	bility. It will be removed		
Reference(s)	n/a						
Affected stake	holders	All operators and	l CAs				
Owner		EASA FS.2					
Priority	Yes	RM Procedure	Standard	Harmonisation	n/a		
			PLANNING MILESTO	NES			
SubT ToR		NPA	Opinion	Commission IR	Decision		
RMT.0)516 &)517 /2013	2015-05 27/11/2015	04/2017 29/06/2017	2019 Q2	2019 Q2		



SPT.076	FDM precursors of main operational safety risks					
Safety	EASA should, in partnership with the industry, complete the good practice documentation which supports the inclusion of main operational safety risks such as runway excursion (RE), loss of contro in-flight (LOC-I), controlled flight into terrain (CFIT) and MAC into operators' FDM programmes.					
Status	Ongoing					
Reference(s)	n/a					
Affected stakeh	olders ALL					
Owner	EASA SM.1					
	EXPECTED OUTPUT					
Deliverable(s)	Timeline					
Good-practice d	locument 2019					
SPT.077	Good practices for the integration of operator's FDM data with other safety data sources					
Safety	EASA should, in partnership with the industry, establish good practices that help an operator in integrating its FDM data with other safety data sources.					
Status	Ongoing					
Reference(s)	n/a					
Affected stakeh	olders CAT					
Owner	EASA SM.1					
	EXPECTED OUTPUT					
Deliverable(s)	Timeline					
Good-practice d	locument 2019					
SPT.101	Develop new safety promotion material on high-profile commercial flight operations safety issues					
Safety	Develop new safety promotion material on high-profile safety issues for commercial flight operations. Such high-profile safety issues are to be determined from important risks identified from the SRM process, accidents/serious incidents and inputs from EASA stakeholders.					
Status	Ongoing					

Reference(s) n/a		
Affected stakeholders	CAT	
Owner	EASA SM.1	
	EXPECTED OUTPUT	
Deliverable(s)		Timeline
Leaflets, videos, web-page	es and/or applications	Continuous



EVT.0009	Evaluat	Evaluation on European Operators Flight Data Monitoring				
Efficiency/pro portionality The European Operators Flight Data Monitoring (EOFDM) forum, established in 2011, is a vo partnership between European operators and the European Aviation Safety Agency. The objective of the evaluation is to take stock of the current level of awareness and implement EOFDM best practice documents by European operators and to assess potential needs adaptation of the scope and/or the promotion strategy of EOFDM. The project is exemplary ex-post assessment of safety promotion actions in EASA.						
Status	Ongoin	Ig				
Reference(s)	n/a					
Affected stakeh	olders	Safety Managers, FDM Programme Managers at European operators				
Owner		EASA SM.1				
		EXPECTED OUTPUT				
Deliverable(s)		Timeline				
Evaluation report	rt	2020				

In addition to the above, the following actions are relevant for CAT by aeroplane and NCC safety:

RMT.0225 De	Development of an ageing aircraft structure plan
RMT.0276 Te	echnical records
RMT.0581 Lo	oss of control prevention and recovery training
RMT.0586 Ту	yre pressure monitoring system

The full description for these actions is included in **Chapter 9**.

RMT.0251	Airworthiness review process
RMT.0393	Maintenance check flights (MCFs)
The full descrip	ntion for those actions is included in Chanter 10

The full description for these actions is included in **Chapter 10**.

SPT.103 Develop new safety promotion material on high-profile ATM safety issues

Refer to **Chapter 11** for the detailed action description.

RMT.0379 All-weather operations

Refer to **Section 11** for the detailed action description.



6.1.2 Level Playing Field

Issue/rationale

Rules may need to be harmonised within the EU as well as with the main international trade partners in order to either ensure fair competition or facilitate the free movement of goods, persons and services.

What we want to achieve

Harmonise requirements where this ensures fair competition or facilitates the free movement of goods, persons and services.

How we monitor improvement

The EASA ABs will give feedback on the effectiveness of the activities.

RMT.0278	Importing	Importing of aircraft from other regulatory systems and Part 21 Subpart H review					
Level playing field	Develop c	riteria for importing	of aircraft from othe	er regulatory systems and Pa	art 21 Subpart H review.		
Status	Ongoing						
Reference(s)	n/a						
Affected stake	holders	Air operators and	CAs				
Owner		EASA FS.1					
Priority	No	RM Procedure	Standard	Harmonisation	n/a		
			PLANNING MILESTO	NES			
SubT ToR		NPA	Opinion	Commission IR	Decision		
RMT.0 01/02	0278 2/2013	2016-08 07/09/2016	2021 Q3	2022 Q3	2022 Q3		

RMT.0312	Review o	Review of standard weights						
Level playing field	Transposed task from the JAA to review the standard weights due to demographic changes. Review of IRs/AMC & GM based on the weight survey commissioned by EASA.							
Status	This task	is de-prioritised in ac	cordance with crite	ria described in Chapter 3.				
Reference(s)	n/a							
Affected stake	holders	CAT and NCC oper	rators					
Owner		EASA FS.2						
Priority	No	RM Procedure	Standard	Harmonisation	n/a			
		F	PLANNING MILESTO	INES				
SubT ToR		NPA	Opinion	Commission IR	Decision			
tbd		tbd	tbd	tbd	tbd			



RMT.0573	Fuel plan	ning and manageme	nt		
Level playing field		nd update the EU fu g for operational flexi		account ICAO amendment	s and a related SR, and
Status	Ongoing				
Reference(s)	n/a				
Affected stake	holders	AOC holders			
Owner		EASA FS.2			
Priority	No	RM Procedure	Standard	Harmonisation	n/a
		F	PLANNING MILESTO	NES	
SubT ToR		NPA	Opinion	Commission IR	Decision
RMT.0 27/04/		2016-06 15/07/2016	2020 Q2	2021 Q4	2021 Q4
RMT.0577	Fxtender	d diversion time oper	rations		
Level playing field	To harmo	-	iversion time operat	ion (EDTO) rules with the	related ICAO SARPs and
Status	This task	is de-prioritised in ac	cordance with criter	ia described in Chapter 3.	
Reference(s)	n/a				
Affected stake	holders	text			
Owner		EASA FS.2			
Driority	No	PM Procoduro	Standard	Harmonisation	n/a

Priority	y	No	RM Procedure	Standard	Harmonisation	n/a	
			P	PLANNING MILESTC	ONES		
SubT	ToR		NPA	Opinion	Commission IR	Decision	
	tbd		tbd	tbd	tbd	tbd	

RMT.0	601 Trans	Transposition of provisions on electronic flight bag from ICAO Annex 6					
Level p field	olaying Transp	Transpose ICAO SARPs in EU rules and update the EU rules in line with the latest EFB developments.					
Status		This RMT is completed in 2019, it is included in this draft EPAS for traceability. It will be removed the final EPAS.					
Refere	nce(s) ICAO	Annex 6					
Affecte	ed stakeholders	CAs and air ope	CAs and air operators				
Owner		EASA FS.2					
Priority	y No	RM Procedure	Standard	Harmonisation	n/a		
	PLANNING MILESTONES						
SubT	ToR	NPA	Opinion	Commission IR	Decision		
	RMT.0601 & 00 05/10/2015	502 2016-12 04/10/2016	10/2017 18/12/2017	26/10/2018	2019/008/R 27/02/2019		



SPT.097	Promote	Promote the new European provisions on fuel planning and management				
Level playingThe objective is to complemefieldrelevant safety promotion m		ctive is to complement the new regulatory package on fuel planning and management with safety promotion material.				
Status	Ongoing					
Reference(s)	n/a					
Affected stakeho	olders	ALL				
Owner		EASA SM.1				
		EXPECTED OUTPUT				
Deliverable(s)		Timeline				
Safety Promotion	n material	2019				

In addition to the above, the following action is relevant to Level Playing Field in CAT by Aeroplane & NCC:

RMT.0561	Update of AMC-20 'in-flight entertainment (IFE), lead-free soldering, harmonisation of safety
KIVI1.0501	and software criteria'

Refer to **Chapter 9** for the detailed action description.



6.1.3 Efficiency/proportionality

Issue/rationale

Passenger and cargo transport by airlines generate producer, consumer and wider economic benefits. Regulatory and administrative burden reduce these benefits and need therefore to be fully justified by corresponding safety benefits.

What we want to achieve

Ensure an efficient regulatory framework for airlines.

How we monitor improvement

The EASA ABs and the CAT CAG will give feedback on the effectiveness of the activities.

RMT.0	190	Requirem	Requirements for relief pilots				
Efficier oportio		Address the provisions for the use of relief pilots as regards experience, training, checking and CF					
Status		Ongoing					
Refere	nce(s)	n/a					
Affecte	ed stakel	holders	Pilots, ATOs, and	air operators			
Owner			EASA FS.3				
Priority	/	No	RM Procedure	Standard	Harmonisation	n/a	
	PLANNING MILESTONES						
SubT	ToR		NPA	Opinion	Commission IR	Decision	
	RMT.0 02/11/		2014-25 04/11/2014	2021 Q2	2022 Q2	2022 Q2	



as above

as above

Flight Operations - Aeroplane

RMT.0352	Non-comr holder	nercial operations o	f aircraft listed in the	operations specification	ons (OpSpecs) by an AO		
Efficiency/pr oportionality	Identify the categories of flights considered to be non-commercial flights conducted by AOC holders; Standardise the unofficial terms used in order to have a clear understanding of the different categories of non-commercial flights;						
			ommercial operations amework, as appropr		ted to the preparatior		
		Establish the minimum requirements for qualifications and training of the crews for each type of non- commercial flights conducted by AOC holders, as appropriate;					
	Harmonise	e implementation.					
Status	This RMT i the final E), it is included in this o	draft EPAS for traceabili	ity. It will be removed in		
Reference(s)	n/a						
Affected stakel	nolders	CAT operators					
Owner		EASA FS.2					
Priority	No	RM Procedure	Standard	Harmonisation	n/a		
		P	LANNING MILESTON	ES			
SubT ToR		NPA	Opinion	Commission IR	Decision		
RMT.0 04/12/		2015-05 30/03/2015	04/2017 29/06/2017	2019 Q2	2019 Q2		
- , ,			-,,				
RMT.0392	Regular u	pdate of OPS rules					
	Necessary	update reflecting te	chnological and mark	et developments			
Efficiency/pro	necessary	apaate reneeting te					
Efficiency/pro portionality	This regula	ar update task will lea	ad to changes at IR lev		el. For the latter, for thos pected in 2021 Q4.		
	This regula	ar update task will lea	ad to changes at IR lev	el and at AMC/GM leve			
portionality Status	This regula changes th	ar update task will lea	ad to changes at IR lev	el and at AMC/GM leve			
portionality Status Reference(s)	This regula changes th Ongoing n/a	ar update task will lea	ad to changes at IR lev nt on changes at IR lev	el and at AMC/GM leve			
portionality Status Reference(s) Affected stakel	This regula changes th Ongoing n/a nolders	ar update task will lea hat are not dependar	ad to changes at IR lev nt on changes at IR lev	el and at AMC/GM leve			
portionality Status Reference(s) Affected stakel Owner	This regula changes th Ongoing n/a nolders	ar update task will lea hat are not dependar All operators and NA	ad to changes at IR lev nt on changes at IR lev AAs	rel and at AMC/GM leve vel, a first Decision is ex			
portionality Status Reference(s) Affected stakel Owner	This regula changes th Ongoing n/a nolders	ar update task will lea hat are not dependar All operators and NA EASA FS.2 No RM Procedur	ad to changes at IR lev nt on changes at IR lev AAs	rel and at AMC/GM leve vel, a first Decision is ex Harmonisation	pected in 2021 Q4.		
portionality	This regula changes th Ongoing n/a nolders	ar update task will lea hat are not dependar All operators and NA EASA FS.2 No RM Procedur	ad to changes at IR lev nt on changes at IR lev AAs re Standard	rel and at AMC/GM leve vel, a first Decision is ex Harmonisation ES Commission IR	pected in 2021 Q4.		

as above

2022 Q3

2022 Q3



	RAMP simplification				
Efficiency/pr oportionality	e current AMC & GM t ptive in some areas, an ize of the AMC & GM b e AMC & GM are beir lernise them and reduc				
Status	EPAS.	is completed, it is in	cluded in this draft I	EPAS for traceability. It will	be removed in the fin
Reference(s)	n/a				
		CAs and operators (commercial and non-commercial)			
Affected stake	holders	CAs and operators	s (commercial and n	on-commercial)	
Affected stake Owner	holders	CAs and operators EASA FS.2	s (commercial and n	on-commercial)	
Owner	eholders No	-	s (commercial and n AP	on-commercial) Harmonisation	n/a
Owner		EASA FS.2 RM Procedure		Harmonisation	n/a
		EASA FS.2 RM Procedure	AP	Harmonisation	n/a Decision

EVT.0008	Evaluation on Third Country Operator Regulation					
Efficiency/prop ortionality	after the e	Commission Regulation (EU) No. 452/2014 ('TCO Regulation') was adopted in 2014. Now, five years after the entry into force, the Regulation is assessed whether it is still fit for purpose and remains efficient and serviceable.				
Status	Ongoing					
Reference(s)	n/a					
Affected stakeho	lders	Third Country operators, EASA MS, EASA				
Owner		EASA FS.2				
		EXPECTED OUTPUT				
Deliverable(s)		Timeline				
Evaluation report		2020				

In addition to the above, the following actions are is relevant to efficiency/proportionality in CAT by Aeroplane & NCC:

RMT.0499	Regular update of CS-MMEL
RMT.0695	Non-ETOPS operations using performance class A aeroplanes with a MOPSC of 19 or less

The full description for this action (these actions) is included in **Chapter 9**.



6.2 SPO Aeroplane

Issue/rationale

Operators other than CAT, e.g. conducting specialised operations, make an important contribution to aviation's overall role in modern economies. There is thus a need for an efficient regulatory framework. An analysis per type of operation shows that the type of operations with the highest number of accidents and serious incidents, on average in the period 2008-2017 were:

- Parachute drop
- Towing
- Airshow/race

In 2018 the top 3 specialised operation types in terms of accidents and serious incidents were Towing, Parachute drop and Agricultural.

The highest risk safety issues in this domain all relate to human factors.

SPO Aeroplane Key Risk Areas			
Priority 1	Priority 2	Priority 3	Priority 4
Aircraft UpsetAirborne Collision	Terrain CollisionRunway Excursion	 Obstacle Collision in flight Unsurvivable Aircraft Environment 	 Runway Collision Ground Damage Taxiway/Apron Excursion

What we want to achieve

Increase efficiency by enabling implementation of appropriate balanced approach.

How we monitor improvement

The EASA ABs will give feedback on the effectiveness of the activities.

Following completion of the actions included under this section in EPAS 2018-2022, no further actions are included in this EPAS edition. The section is maintained as a placeholder for future actions.

For SPO Rotorcraft please refer to Chapter 7.



This chapter groups all actions in the area of rotorcraft operations, as well as design, manufacture and maintenance, in line with EASA's **Rotorcraft Safety Roadmap**⁶³ delivered and endorsed in November 2018.

Issue/rationale

The Roadmap aims at significantly reducing the number of rotorcraft accidents and incidents and focuses on traditional/conventional rotorcraft including General Aviation (GA) rotorcraft where the number of accidents is recognised to be greater. It focuses on safety and transversal issues that are affected by the different domains including training, operations, initial and continuing airworthiness, environment and innovation.

Helicopter operators perform a wide range of highly specialised operations that are important for the European economy and citizens. There is a need to further develop towards an efficient regulatory framework, considering technological advancements.

This area includes four types of operations:

- offshore operations (CAT);
- other CAT operations by holders of an EASA MS AOC;
- specialised operations (Part SPO)/aerial work operations; and
- non-commercial operations (certified helicopters registered in an EASA MS or for which an EASA MS is State of Operator).

The key risk areas for the different types of operation are as follows:

Offshore Helicopters

Key Risk Areas

Priority 1	Priority 2	Priority 3	Priority 4
Aircraft UpsetObstacle Collision	Ground DamageTerrain CollisionAirborne Collision	 Runway Collision Unsurvivable Aircraft Environment 	ExcursionsInjuries

In the CAT offshore helicopter domain, there were no accidents (either fatal or non-fatal) in 2017. Instead, there were 2 serious incidents, which is above the 10-year average for serious incidents. Prior to 2017, there were 2 fatal accidents (one in 2013 and another one in 2016).

Other CAT Helicopters

Key Risk Areas

Priority 1	Priority 2	Priority 3	Priority 4
Aircraft UpsetObstacle CollisionTerrain Collision	Airborne CollisionGround Damage	 Runway Collision Unsurvivable Aircraft Environment 	ExcursionsInjuries

⁶³ <u>https://www.easa.europa.eu/download/Events/Rotorcraft%20Safety%20Roadmap%20-%20Final.pdf</u>



In other CAT helicopter operations, there were 1 fatal accident, 4 non-fatal accidents and 6 serious incidents in 2017, leading to 6 fatalities and 3 serious injuries. The fatal accident involved a collision with mountains during HEMS operations.

SPO Helicopters

Key Risk Areas

Priority 1	Priority 2	Priority 3	Priority 4
 Obstacle Collision in Flight Aircraft Upset 	Terrain CollisionRunway Excursion	Ground DamageAirborne Collision	 Runway Collision Taxiway/Apron Excursion Unsurvivable Aircraft Environment

In Part SPO/aerial work operations, there were 3 fatal accidents, 12 non-fatal accidents and 5 serious incidents in 2017, leading to 4 fatalities and 5 serious injuries. The number of serious incidents was considerably higher than the average of the preceding 10-year period.

NCO Helicopters

Key Risk Areas

Priority 1	Priority 2	Priority 3	Priority 4
Aircraft UpsetObstacle CollisionTerrain Collision	Airborne CollisionGround Damage	 Runway Collision Unsurvivable Aircraft Environment 	ExcursionsInjuries

In non-commercial operations, there were 3 fatal accidents, 22 non-fatal accidents and 8 serious incidents in 2017, leading to 7 fatalities and 11 serious injuries. The number of fatal accidents decreased in 2017 compared to 2016 and the 10-year average. There were also fewer non-fatal accidents and serious incidents in 2017 compared to 2016 and to the 10-year average.

The safety issues identified for the above key risk areas for the different types of operation are listed in the ASR.

Based on the data supporting the different portfolios (Offshore, other CAT, SPO and non-commercial operations), the following priority 1 key risk areas can be highlighted:

helicopter upset in flight (loss of control)

This is key risk area with the highest priority in offshore and CAT helicopter operations. Loss of control for offshore helicopter operations generally falls into two scenarios, technical failure that renders the aircraft uncontrollable or human factors. In addition, it is the second most common accident outcome for aerial work operations. The following actions contribute to mitigating risks in this area: RMT.0127, RMT.0709 and RMT.0711.

terrain and obstacle conflict

This is the second priority key risk area for helicopter operations (offshore, other CAT, SPO and noncommercial operations), although equipment is now fitted to helicopters in this domain that will



significantly mitigate the risk of this outcome. Obstacle collisions is the second most common accident outcome in the CAT helicopters domain. This highlights the challenges of HEMS operations and their limited selection and planning for landing sites. Terrain and obstacle conflict is the most common outcome for SPO (aerial work operations). The following action contributes to mitigating risks in this area: RMT.0708.

In addition, from an airspace perspective, it is important to ensure that the airspace and routes design facilitate safe operations of helicopters which typically fly at low levels. Within SESAR 1, there have been solutions aiming to improve safety and efficiency of helicopter operations such as those supporting the establishment of low-level IFR routes⁶⁴.

What we want to achieve

Increase safety by continuously assessing and improving risk controls in the above areas. Increase efficiency by enabling implementation of appropriate and balanced regulation.

How we monitor improvement

Continuous monitoring of safety issues identified in the specific safety risk portfolios established for offshore helicopter operations, for other CAT helicopter operations, for specialised helicopter operations and for non-commercial helicopter operations (ref: ASR 2018).

The EASA ABs will give feedback on the actions where efficiency/proportionality is the main driver.

RMT.01	L 20	Helicopte	er ditching and water	r impact occupant s	urvivability	
Safety		significan arising fro Design ar	tly enhance occupant om early work perfo	t escape and surviva ormed by the Joint Working Group (W	water impact standards fo bility. It will, in part, conside Aviation Authorities (JAA) IDDCWG) and the Helicopt	er the recommendations Water Impact, Ditching
			phase EASA plans to ue also necessitates a		n a second phase, EASA w 26/CS-26.	ill consider whether the
Status		Ongoing				
Refere	nce(s)	n/a				
Affecte	d stakeh	olders	DAHs and helicop	ter operators		
Owner			EASA CT.5			
Priority	, .	Yes	RM Procedure	Standard	Harmonisation	n/a
PLANNING MILESTONES						
SubT	ToR		NPA	Opinion	Commission IR	Decision
1	RMT.01 24/10/2	-	2016-01 23/03/2016	n/a	n/a	2018/007/R 25/06/2018
2			2020 Q1	2021 Q1	2023 Q3	2023 Q3

⁶⁴ See SESAR solution # 113 from the SESAR Solution Catalogue: <u>https://www.sesarju.eu/sites/default/files/solutions/SESAR_Solutions_Catalogue.pdf</u>



RMT.0127	Pilot con	npartment view			
Safety	subseque		t vision. The existing	ssue related to rotorcraft rules are unclear as to w	-
	phases o of intern	f flight (take-off, land	ling, low hover), by re	to restricted pilot vision, p quiring a means to remov thus ensuring safe operati	e or prevent the misting
	In additio	on, the RMT's scope is	s proposed to be exte	nded to address the rules	governing pilot vision in
	snow cor	nditions, which are ur	nclear, particularly in	relation to piston-engine	rotorcraft.
Status	snow cor Ongoing	nditions, which are ur	nclear, particularly in	relation to piston-engine	rotorcraft.
Status Reference(s)		nditions, which are ur	nclear, particularly in	relation to piston-engine	rotorcraft.
	Ongoing n/a		holders and helicop		rotorcraft.
Reference(s)	Ongoing n/a				rotorcraft.
Reference(s) Affected stake	Ongoing n/a	DOA holders, POA			rotorcraft. n/a
Reference(s) Affected stake Owner	Ongoing n/a eholders	DOA holders, POA EASA CT.5 RM Procedure	A holders and helicop	ter operators Harmonisation	
Reference(s) Affected stake Owner	Ongoing n/a eholders	DOA holders, POA EASA CT.5 RM Procedure	A holders and helicop Standard	ter operators Harmonisation	

RMT.031	8 Single-eng	gine helicopter ope	erations		
		e applicable rules a	and the associated AN	//C and GM in order to re-ev	aluate:
Level playing field	— Restr	•	o 1	perate over hostile environ perate over congested envir	
Status	This task i	s de-prioritised in a	accordance with crite	ria described in Chapter 3.	
Reference	e(s) n/a				
Affected	stakeholders	Helicopter opera	ators		
Owner		EASA FS.2			
Priority	No	RM Procedure	Standard	Harmonisation	n/a
			PLANNING MILESTO	NES	
SubT 1	ΓoR	NPA	Opinion	Commission IR	Decision
	RMT.0318				



RMT.0	325	HEMS perf	ormance and put	olic interest sites		
Safety		To properly address the issues stemming from non-implementation or deviation from JAR-O performance and public interest sites (PIS) provisions, in particular performance in high mount considering review of HEMS flights at night safety level following a UK Safety Directive.			ance in high mountains	
Status		Ongoing				
Refere	nce(s)	n/a				
Affected stakeholders			Helicopter CAT,	HEMS operators and M	Os (Part-145)	
Owner			EASA FS.2			
Priority	N N	0	RM Procedure	Standard	Harmonisation	n/a
	PLANNING MILESTONES					
SubT	ToR		NPA	Opinion	Commission IR	Decision
	RMT.032 26/03/20	-	2018-04 18/06/2018	2021 Q3	2022 Q4	2022 Q4

RMT.0708Controlled flight into terrain prevention with helicopter terrain avoidance warning systems
(HTAWS)SafetyMandating HTAWS is expected to prevent between 8.5 and 11.5 CFIT accidents with fatalities or
severe injuries within 10 years (medium safety improvement). This RMT will consider mandating the
installation of HTAWS on board the helicopter for certain operations. The RMT should only mandate
HTAWS to be retrofitted to the current fleet if HTAWS standards are improved. An appropriate impact
assessment for retrofit will need to be further developed. Based on the preliminary cost-effectiveness
analysis, HTAWS for the following operations are not to be considered: NCO, SPO, and CAT with small
helicopters in visual flight rules (VFR) operations (night and day). For offshore helicopter operations,
this also includes the involvement of the EASA Certification Directorate working with stakeholders on
the evaluation of updated HTAWS standards.

Status	Ongoing				
Reference(s) n/a				
Affected st	akeholders	Helicopter operato	ors		
Owner		EASA FS.2			
Priority	No	RM Procedure	Standard	Harmonisation	n/a
		Р	LANNING MILESTO	NES	
SubT To	R	NPA	Opinion	Commission IR	Decision
20	19 Q2	2019 Q4	2021 Q2	2023 Q2	2023 Q2

In addition to the above RMTs, the following RMTs are directly relevant to Rotorcraft safety:

RMT.0494 FTL requirements for helicopter operations

The full description for these actions is included in **Section 5.2**.



RMT.0709 Prevention of catastrophic accidents due to rotorcraft hoist issues RMT.0710 Improvement in the survivability of rotorcraft occupants in the event of a crash RMT.0711 Reduction in accidents caused by failures of critical rotor and rotor drive components through improved vibration health monitoring systems RMT.0712 Enhancement of the safety assessment processes for rotorcraft designs RMT.0713 Reduction in human-factor-caused rotorcraft accidents that are attributed to the rotorcraft design RMT.0714 Enable the safe introduction of rotorcraft Fly-by-Wire technology RMT.0724 Rotorcraft flight crew operating manuals (FCOMs) RMT.0725 Rotorcraft chip detection system	RMT.0134	Regular update of rotorcraft AMC
RMT.0711 Reduction in accidents caused by failures of critical rotor and rotor drive components through improved vibration health monitoring systems RMT.0712 Enhancement of the safety assessment processes for rotorcraft designs RMT.0713 Reduction in human-factor-caused rotorcraft accidents that are attributed to the rotorcraft design RMT.0714 Enable the safe introduction of rotorcraft Fly-by-Wire technology RMT.0724 Rotorcraft flight crew operating manuals (FCOMs)	RMT.0709	Prevention of catastrophic accidents due to rotorcraft hoist issues
RMT.0711 improved vibration health monitoring systems RMT.0712 Enhancement of the safety assessment processes for rotorcraft designs RMT.0713 Reduction in human-factor-caused rotorcraft accidents that are attributed to the rotorcraft design RMT.0714 Enable the safe introduction of rotorcraft Fly-by-Wire technology RMT.0724 Rotorcraft flight crew operating manuals (FCOMs)	RMT.0710	Improvement in the survivability of rotorcraft occupants in the event of a crash
RMT.0713 Reduction in human-factor-caused rotorcraft accidents that are attributed to the rotorcraft design RMT.0714 Enable the safe introduction of rotorcraft Fly-by-Wire technology RMT.0724 Rotorcraft flight crew operating manuals (FCOMs)	RMT.0711	Reduction in accidents caused by failures of critical rotor and rotor drive components through improved vibration health monitoring systems
RMT.0713 design RMT.0714 Enable the safe introduction of rotorcraft Fly-by-Wire technology RMT.0724 Rotorcraft flight crew operating manuals (FCOMs)	RMT.0712	Enhancement of the safety assessment processes for rotorcraft designs
RMT.0724 Rotorcraft flight crew operating manuals (FCOMs)	RMT.0713	
	RMT.0714	Enable the safe introduction of rotorcraft Fly-by-Wire technology
RMT.0725 Rotorcraft chip detection system	RMT.0724	Rotorcraft flight crew operating manuals (FCOMs)
	RMT.0725	Rotorcraft chip detection system
RMT.0726 Rotorcraft occupant safety in event of a bird strike	RMT.0726	Rotorcraft occupant safety in event of a bird strike

The full description for these actions is included in **Chapter 9**.

RMT.0379	All-wea	ather operations
The full descript	tion for th	is action is included in Section 15.4.
SPT.082	Suppor	t the development and implementation of FCOM for offshore helicopter operations
Safety	•	vide support to manufacturers, if needed, in the development of FCOMs for different ter types and support/encourage operators in their implementation.
Status	Ongoin	g
Reference(s)	n/a	
Affected stakeh	olders	HE
Owner		Offshore Helicopter CAG
		EXPECTED OUTPUT
Deliverable(s)		Timeline
Report		2019



SPT.092	Improve dissemination of existing safety promotion material by developing mobile applications & e-platforms
Safety	Reaching target audience is one of the main challenges of safety promotion. This tasks aims at improving dissemination of existing safety promotion material by developing mobile applications & e-platforms. This will increase user-friendliness of existing paper format safety promotion material and will facilitate translations and future revisions.
Status	This SPT will be completed in 2019, it is included in this draft EPAS for traceability. It will be removed in the final EPAS.
Reference(s)	n/a
Affected stakeho	lders HE
Owner	ESPN-R
	EXPECTED OUTPUT
Deliverable(s)	Timeline
Mobile applicatio	ns and/or e-platforms 2019

SPT.093	Develop new safety promotion material on high-profile helicopter issues
Safety	In cooperation with the IHST, develop new safety promotion material (leaflets, videos, applications, etc.) on subjects such as performance-based navigation, point in space, low level IFR, bird strike, operational and passenger pressure management, aimed at pilots and owners of private helicopters. Such safety promotion material shall address the most important areas of rotorcraft as directed through the Rotorcraft Committee and EASA Rotorcraft Strategy.
Status	Ongoing. This SPT now incorporates SPT.098.
Reference(s)	n/a
Affected stakeh	olders HE
Owner	
	EXPECTED OUTPUT
Deliverable(s)	Timeline

EXPECTED OUTPOT	
Deliverable(s)	Timeline
Leaflets, videos, web-pages and/or applications 2021	

SPT.094	Helicopter safety and risk management
Safety	Review existing helicopter safety & risk management material to check consistency and updat (when applicable) material to new rules, standards and international good practice coming for example from IHST and SMICG.
Status	Ongoing
Reference(s)	n/a
Affected stakeh	lders HE
Owner	ESPN-R
	EXPECTED OUTPUT
Deliverable(s)	Timeline
Revised helicop	er safety & risk management manuals and/or toolkits 2021



	Promote helicopter technologies with safety benefits		
Safety	Following the RES identifying promising helicopter technologies (update of the study performed by the NLR for EHEST), promote the helicopter technologies having high safety benefits.		
Status	Ongoing		
Reference(s)	n/a		
Affected stakeh	olders HE		
Owner	ESPN-R		
	EXPECTED OUTPUT		
Deliverable(s) Web-page, flyer	and/or report 2020		
web-page, nyer			
SPT.096	Organise an annual safety workshop		
Safety	The European Safety Promotion Network Rotorcraft (ESPN-R) to organise a safety forum, in cooperation with the trade shows. This high-profile event promotes safe helicopter operations and fosters interactions within the community. The event theme changes every year.		
Status	Ongoing		
Reference(s)	n/a		
Affected stakeh	olders HE		
Affected stakeh Owner	olders HE ESPN-R		
_			
Owner Deliverable(s)	ESPN-R EXPECTED OUTPUT Timeline		
Owner Deliverable(s)	ESPN-R EXPECTED OUTPUT Timeline		
Owner Deliverable(s)	ESPN-R EXPECTED OUTPUT Timeline		
Owner Deliverable(s)	ESPN-R EXPECTED OUTPUT Timeline		
Owner Deliverable(s) Safety Workshop SPT.098	ESPN-R EXPECTED OUTPUT Timeline p Continuous		
Owner Deliverable(s) Safety Worksho SPT.098 Safety	ESPN-R EXPECTED OUTPUT Timeline Continuous European safety promotion task on rotorcraft Develop and implement a safety promotion task on the most important areas of rotorcraft as		
Owner Deliverable(s) Safety Worksho	ESPN-R EXPECTED OUTPUT Timeline Continuous European safety promotion task on rotorcraft Develop and implement a safety promotion task on the most important areas of rotorcraft as directed through the Rotorcraft Committee and EASA Rotorcraft Strategy.		
Owner Deliverable(s) Safety Workshop SPT.098 Safety Status Reference(s)	ESPN-R EXPECTED OUTPUT Timeline Continuous European safety promotion task on rotorcraft Develop and implement a safety promotion task on the most important areas of rotorcraft as directed through the Rotorcraft Committee and EASA Rotorcraft Strategy. This SPT is merged with SPT.093. It is kept here for traceability and will be deleted for the final EPAS. n/a		
Owner Deliverable(s) Safety Workshop SPT.098 Safety Status	ESPN-R EXPECTED OUTPUT Timeline Continuous European safety promotion task on rotorcraft Develop and implement a safety promotion task on the most important areas of rotorcraft as directed through the Rotorcraft Committee and EASA Rotorcraft Strategy. This SPT is merged with SPT.093. It is kept here for traceability and will be deleted for the final EPAS. n/a		

Deliverable(s)	Timeline		
Safety Promotion material	Continuous		



SPT.099	Helicopter hoist safety promotion		
Safety	Develop safety promotion material for helicopter hoists		
Status	This SPT will be completed in 2019, it is included in this draft EPAS for traceability. It will be removed in the final EPAS.		
Reference(s)	n/a		
Affected stakeholders HE			
Owner			
EXPECTED OUTPUT			
Deliverable(s)	Timeline		
Safety Promotic	on material 2019		



RES.008	Integrity improvement of rotorcraft main gear boxes (M	GB)	
Safety	Research aimed at identifying threats to the integrity of critical components of rotor drive syst and at developing methods for evaluating flaw-tolerant critical component designs; specifically includes enhancements to the design of helicopter MGB and its attachments, to prec separation of the mast and main rotor from the helicopter and enabling autorotation even in event of major failure of the main gear box components;		
Status	Ongoing		
Reference(s)	n/a		
Affected stakeh	nolders HE		
Owner	SM.0.1		
	EXPECTED OUTPUT		
Deliverable(s)		Timeline	
Report		2022	
RES 009	Heliconter Offshore operations – New floatation system	s	
RES.009 Safety	Helicopter Offshore operations – New floatation systems Assessment of technical solutions for enhancing helicopte survivability following helicopter capsizes - which is the m drowning;	er floatation at sea in view of heightening	
	Assessment of technical solutions for enhancing helicopte survivability following helicopter capsizes - which is the m	er floatation at sea in view of heightening	
Safety	Assessment of technical solutions for enhancing helicopte survivability following helicopter capsizes - which is the m drowning;	er floatation at sea in view of heightening	
Safety Status	Assessment of technical solutions for enhancing helicopter survivability following helicopter capsizes - which is the m drowning; Ongoing n/a	er floatation at sea in view of heightening	
Safety Status Reference(s)	Assessment of technical solutions for enhancing helicopter survivability following helicopter capsizes - which is the m drowning; Ongoing n/a	er floatation at sea in view of heightening	
Safety Status Reference(s) Affected stakeh Owner	Assessment of technical solutions for enhancing helicopter survivability following helicopter capsizes - which is the m drowning; Ongoing n/a HE	er floatation at sea in view of heightening	
Safety Status Reference(s) Affected stakeh Owner Deliverable(s)	Assessment of technical solutions for enhancing helicopter survivability following helicopter capsizes - which is the m drowning; Ongoing n/a holders HE SM.0.1	er floatation at sea in view of heightening najor event conducive to fatalities due to Timeline	
Safety Status Reference(s) Affected stakeh Owner	Assessment of technical solutions for enhancing helicopter survivability following helicopter capsizes - which is the m drowning; Ongoing n/a holders HE SM.0.1	er floatation at sea in view of heightening najor event conducive to fatalities due to	
Safety Status Reference(s) Affected stakeh Owner Deliverable(s)	Assessment of technical solutions for enhancing helicopter survivability following helicopter capsizes - which is the m drowning; Ongoing n/a holders HE SM.0.1	er floatation at sea in view of heightening najor event conducive to fatalities due to Timeline	
Safety Status Reference(s) Affected stakeh Owner Deliverable(s)	Assessment of technical solutions for enhancing helicopter survivability following helicopter capsizes - which is the m drowning; Ongoing n/a holders HE SM.0.1	er floatation at sea in view of heightening najor event conducive to fatalities due to <u>Timeline</u> 2022	
Safety Status Reference(s) Affected stakeh Owner Deliverable(s) Report	Assessment of technical solutions for enhancing helicopter survivability following helicopter capsizes - which is the m drowning; Ongoing n/a holders HE SM.0.1 EXPECTED OUTPUT	er floatation at sea in view of heightening najor event conducive to fatalities due to <u>Timeline</u> 2022 nonitoring — in-situ failure detection	

Status Reference(s)	Ongoing Safety recommendation number UNKG-2011-041.			
Affected stakeholders HE				
Owner SM.0.1				
EXPECTED OUTPUT				
Deliverable(s)			Timeline	
Report			2022	



RES.020	Identify helicopter technologies with safety benefits		
Safety	Revise and update the study performed by the NLR-Netherlands Aerospace Centre for ESPN-Ron the safety benefits of technologies to assess and when relevant include new technologies addressing safety threats such as laser pointing, drones, bird strike, wire strike, etc.		
Status	Ongoing		
Reference(s)	n/a		
Affected stakeh	Affected stakeholders HE		
Owner	SM.0.1		
EXPECTED OUTPUT			
Deliverable(s)	Timeline		
Report	2021		

MST.015	Helicopter safety events		
Safety	CAs, in partnership with industry representatives, to organise helicopter safety events annually or every two years. The EHEST, IHST, CA, Heli Offshore or other sources of safety promotion materials could be freely used and promoted.		
Status	Ongoing		
Reference(s)	n/a		
Affected stakeh	Affected stakeholders HE		
Owner	MS		
	EXPECTED OUTPUT		
Deliverable(s)	Timeline		
Workshop	Continuous		

MST.031	Implementation of SESAR solutions aiming to facilitate safe IFR operations		
Safety	MSs together with their ANSPs and their flight procedures designers (if different from ANSPs) should evaluate the possibility to establish a network of low level IFR routes in their airspace to facilitate safe helicopter operations. These SESAR solutions designed to improve safety should be implemented as far as it is feasible. See SESAR Solutions Catalogue: <u>https://www.sesarju.eu/sites/default/files/solutions/SESAR_Solutions_Catalogue_Ed2_2017.pdf</u>		
Status	Ongoing		
Reference(s)	This EPAS action is aligned with the ATM MP's (Level 3 Ed 2018) action NAV12 Low level IFR Routes for Rotorcraft.		
Affected stakeho	olders HE		
Owner	MS		
EXPECTED OUTPUT			
Deliverable(s)	Timeline		
IFR routes/repor	t 2025		



EVT.0010	Evaluation on Helicopter Operations		
Efficiency/prop ortionality	In compliance with the EASA Rotorcraft Safety Roadmap, an evaluation on small helicopter operations (criteria for defining small operation will be spelled out in the assessment) is foreseen to assess the administrative burden put on the operators and to identify proposals for simplification and reducing the administrative burden and the cost for the operators.		
Status	Ongoing		
Reference(s)	n/a		
Affected stakeho	ers Rotorcraft operators, pilots and CAs		
Owner	EASA FS.2.1 and		
	CT 3		
EXPECTED OUTPUT			
Deliverable(s)		Timeline	
Evaluation report		2020	



8 General aviation

This Chapter covers General Aviation (GA) non-commercial operations involving aeroplanes of mass groups below 5 700 kg registered in an EASA MS, as well as all operations with balloons and sailplanes.

GA is remaining a high priority for EASA and the EU Commission. This has been emphasized by Patrick Ky, Executive Director, during the EASA Annual Safety Conference 2018 in Vienna, and by the EU Commission during Aero Friedrichshafen 2019.

GA in Europe is maintaining a stable activity involving 10 times more aircraft and airfields than CAT. GA is since its origin the cradle for innovation and recruitment of young professionals (Air Traffic Controllers, mechanics, pilots...) and a means to connect people across Europe.

Recognising the importance of GA and its contribution to a safe European aviation system, EASA in partnership with the EC and other stakeholders has created the GA roadmap and is now starting a new phase of the project called GA Roadmap 2.0

EASA is dedicating effort and resources to make GA safer and cheaper.

Addressing safety risks in GA in a proportionate and effective manner is a strategic priority. In the last years, accidents involving recreational aeroplanes have led to an average of 86 fatalities per year in Europe (based on 2009-2018 figures, excluding fatal accidents involving microlight airplanes, gliders and balloons), which makes it one of the sectors of aviation with the highest yearly number of fatalities. In 2018, there were 49 accidents causing 95 fatalities in non-commercial operations with aeroplanes and 16 fatal accidents causing 17 fatalities in the domain of sailplane operations (the 2009-2018 average was 27 fatalities per year in Europe). The GA roadmap is key to the EASA strategy in this domain. 2018 seems to show an improvement for gliders, and a deterioration for GA fixed wing.

Although it is difficult to precisely measure the evolution of safety performance in GA due to lack of consolidated exposure data (e.g. accumulated flight hours), it is reasonable to assume that more initiatives and efforts are needed to mitigate risks leading to these fatalities.

Based on the data supporting the safety risk portfolios for non-commercial operations aeroplanes the following KRAs can be highlighted:

GA Fixed-Wing Aeroplanes

Key Risk Areas

Priority 1	Priority 2	Priority 3	Priority 4
 Aircraft Upset Terrain Collision Obstacle Collision in	 Airborne Collision Unsurvivable Aircraft	 Ground Damage Taxiway/Apron	
Flight Runway Excursion	Environment	Excursion Runway Collision	

For sailplanes the main KRAs used in other domains are omitted and Safety Issues (SIs)/Accident Categories are used instead. It is well worth noting that these safety issues or accident categories are formed by the apparent immediate cause of the accident. The largest killers are:



General Aviation

- Collision with hill
- Winch launches
- Stall/Spin
- Mid-Air collision

Key risk areas in balloon operations are as follows:

Balloons

Key Risk Areas

Priority 1	Priority 2	Priority 3	Priority 4
 Balloon landings Obstacle Collision in Flight 	Aircraft UpsetTerrain Collision	 Unsurvivable Aircraft Environment Airborne collision 	

8.1 Safety

8.1.1 Systemic enablers

Issue/rationale

This section addresses system-wide or transversal issues that affect GA as a whole and are common to several safety risk areas. In combination with triggering factors, transversal factors can play a significant role in incidents and accidents. Conversely, they also offer opportunities for improving safety across risk domains.

What we want to achieve

Reduce the number of fatalities in GA through the implementation of systemic enablers.

How we monitor improvement

Increase safety by continuously monitoring of safety issues identified in the GA fixed wing NCO Safety Risk Portfolio and the sailplane Safety Risk Portfolio (ref: ASR 2018).



RMT.0698	Revision	Revision of the operational rules for sailplanes				
Efficiency/pro portionality	proportio	Establish a set of rules, which addresses the specificities and associated risks in an efficient and proportionate manner, for air operations with sailplanes as the only regulatory reference for such operations.				
Status	Ongoing					
Reference(s)	n/a					
Affected stake	holders	Sailplane operato	rs			
Owner		EASA FS.2				
Priority	Yes	RM Procedure	AP	Harmonisation	n/a	
			PLANNING MILESTON	IES		
SubT ToR		NPA	Opinion	Commission IR	Decision	
RMT.0 26/04		n/a	07/2017 29/08/2017	26/10/2018	2019/001/R 28/01/2019	

	Flight instruction			
Safety	Develop safety promotional material aimed at making more effective use of and maximising the safety benefits of biennial class rating revalidation check flights with examiners and refresher training with flight instructors, including differences between aircraft types.			
Status	This SPT is completed, it is included in this draft EPAS for traceability. It will be removed in the final EPAS.			
Reference(s)	n/a			
Affected stakehold	ers GA			
Owner	GA Roadmap			
	EXPECTED OUTPUT			
Deliverable(s)	Timeline			
Safety Promotion material 2019				

SPT.084	Promoting safety by improving technology			
Safety	Encourage the installation and use of modern technology (e.g. weather information, moving maps, envelope protection, tablet applications, avoidance systems, angle of attack indicators, etc.). This task is linked to rulemaking activities in Section 7.5 'GA efficiency' that allow for the affordable and timely installation of such systems. Promote the development of new technologies through the GA Safety Award.			
Status	Ongoing			
Reference(s)	n/a			
Affected stakeholders GA				
Owner				
	EXPECTED OUTPUT			
Deliverable(s)		Timeline		
Safety Promotion	Safety Promotion material / Dissemination 2019			



General Aviation

MST.025	Improve the dissemination of safety messages	
Safety		and training material by authorities, associations, nt instructors and/or pilots through means such as
Status	Ongoing	
Reference(s)	n/a	
Affected stakeh	nolders GA	
Owner	MS	
	EXPECTED OUTPU	IT
Deliverable(s)		Timeline
	EXPECTED OUTPU ps and safety days/evenings	
		Timeline
Safety workshop	ps and safety days/evenings Develop just culture in GA	Timeline
Safety workshop MST.027	ps and safety days/evenings Develop just culture in GA CAs should include provisions for just culture in	Timeline Continuous
Safety workshop MST.027 Safety	ps and safety days/evenings Develop just culture in GA CAs should include provisions for just culture in and foster positive safety behaviours.	Timeline Continuous
Safety workshop MST.027 Safety Status	ps and safety days/evenings Develop just culture in GA CAs should include provisions for just culture in and foster positive safety behaviours. Ongoing n/a	Timeline Continuous
Safety workshop MST.027 Safety Status Reference(s)	ps and safety days/evenings Develop just culture in GA CAs should include provisions for just culture in and foster positive safety behaviours. Ongoing n/a	Timeline Continuous
Safety workshop MST.027 Safety Status Reference(s) Affected stakeh	ps and safety days/evenings Develop just culture in GA CAs should include provisions for just culture in and foster positive safety behaviours. Ongoing n/a molders GA	Timeline Continuous GA in their SSPs to encourage occurrence reporting
Safety workshop MST.027 Safety Status Reference(s) Affected stakeh	ps and safety days/evenings Develop just culture in GA CAs should include provisions for just culture in and foster positive safety behaviours. Ongoing n/a holders GA MS	Timeline Continuous GA in their SSPs to encourage occurrence reporting

8.1.2 Staying in control

Issue/rationale

This section addresses subjects such as flying skills, pilot awareness and the management of upset or stall at take-off, in flight, or during approach and landing, flight preparation, aborting take-off and going around. Staying in control prevents loss of control accidents. Loss of control usually occurs because the aeroplane enters a flight regime outside its normal envelope, thereby introducing an element of surprise for the flight crew involved. Loss of control accidents are both frequent and severe.

With 409 higher-risk occurrences recorded in the period 2015 to 2017, aircraft upset, including loss of control, is the most significant key risk area for EASA MS non-commercial operations with aeroplanes of mass groups below 5 700 kg with an EASA State of Registry.

What we want to achieve

Increase safety by reducing the risk of loss of control accidents.



How we monitor improvement

Continuous monitoring of safety issues identified in the GA-related safety risk portfolios (ref: ASR 2018).

Following completion of the actions included under this section in EPAS 2018-2022, no further actions are included in this EPAS edition. The section is maintained as a placeholder for future actions.

8.1.3 Coping with weather

Issue/rationale

This section addresses subjects such as entering IMC, icing conditions, carburettor icing, and poor weather conditions. Weather is an important contributing factor to GA accidents, often related to pilots underestimating the risks of changing weather conditions prior to take-off and during the flight, as weather deteriorates. Dealing with poor weather may increase pilot workload and affect situational awareness and aircraft handling. Decision-making can also be impaired, as a plan continuation bias may lead pilots to press on to the planned destination despite threatening weather conditions. In the future, the EASA work on weather information to pilots, currently focusing on CAT, will be extended to also include recommendations and possible actions for GA⁶⁵.

What we want to achieve

Increase safety by reducing the number of weather-related accidents.

How we monitor improvement

Continuous monitoring of safety issues identified in the GA-related portfolios (ref: ASR 2018).

SPT.087	Weath	er awareness for pilots		
Safety	Produce safety promotion material (video) addressing subjects such as weather awareness, flight preparation, management and debrief, the use of flight information services (FIS), the benefits of using modern technology including cockpit weather information systems (including GPS integrated, mobile/4G connected apps, etc.), communication with air traffic control (ATC), inadvertent entry into IMC, TEM, and HF.			
Status	Ongoir	ıg		
Reference(s)	n/a			
Affected stakeh	olders	GA		
Owner		GA Roadmap		
		EXPECTED OUTPUT		
Deliverable(s)		Timeline		
Video/media pro	aduct	2019		

How we want to achieve it: actions

⁶⁵ <u>https://www.easa.europa.eu/sites/default/files/dfu/EASA-Weather-Information-to-Pilot-Strategy-Paper.pdf</u>



General Aviation

SPT.088	Launch a safety promotion task promoting instrument flying for GA pilots			
Safety	Promote the results of RMT.0677 on the easier access of GA pilots to IFR flying in order to ensure that the safety and efficiency benefits materialise across Europe and that the Basic Instrument Rating is widely adopted in Europe.			
Status	Ongoing			
Reference(s)	n/a			
Affected stakeh	lders GA			
Owner	GA Roadmap			
	EXPECTED OUTPUT			
Deliverable(s)	Timeline			
Safety Promotion material 2019				

8.1.4 Preventing mid-air collisions

Issue/rationale

This section addresses subjects such as airspace complexity, airspace infringement and use of technology. Statistics show that MAC risks affect both novice and experienced pilots and can occur in all phases of flight and at all altitudes. However, the vast majority of them occur in daylight and in excellent meteorological conditions. A collision is more likely where aircraft are concentrated, especially close to aerodromes. Airspace infringements by GA aircraft into controlled airspace is an important related safety risk.

What we want to achieve

Increase safety by reducing the risk of MACs and airspace infringements in GA.

How we monitor improvement

Continuous monitoring of safety issues identified in the GA-related portfolios (ref: ASR 2018).

How we want to achieve it: actions

RMT.0376 Anti-collision and traffic awareness systems for aircraft with MTOM less than 5 700 kg or less than 19 passengers

The full description for this action is included in **Section 6.1.1.3**.



RES.021	SESAR 2020 research projects aiming to prevent mid-air collision risks		
Safety	 The following research activities are being addressed under the SESAR 2020 programme: Enhanced rotorcraft and general aviation operations around airports (TMA) (PJ.01-06); Enhanced airborne collision avoidance for GA (PJ. 11-A4)⁶⁶. 		
Status	Ongoing		
Reference(s)	SESAR solution PJ.01-06 and PJ.11-A4		
Affected stakeho	olders GA		
Owner	SESAR		
	EXPECTED OUTPUT		
Deliverable(s)	Timeline		
Report	2022		

8.1.5 Managing the flight

Issue/rationale

This section addresses subjects such as navigation, fuel management, terrain and obstacle awareness, and forced landings. Most accidents are the result of the pilot's actions, including decisions made while preparing the flight, or due to changing circumstances during the flight. Pilot decisions, including their ability to prioritise workload, affect safety of the aircraft and survival of its occupants.

What we want to achieve

Reduce the number of fatalities and serious injuries in GA.

How we monitor improvement

Continuous monitoring of safety issues identified in the GA-related portfolios (ref: ASR 2018)

Following completion of the actions included under this section in EPAS 2018-2022, no further actions are included in this EPAS edition. The section is maintained as a placeholder for future actions.

8.2 Efficiency/proportionality

Issue/rationale

This section provides references to additional EPAS actions that are directly relevant to GA, where efficiency/proportionality is the main driver. Detailed information for each of those actions is included in the domain specific EPAS chapter.

This section also includes regular update RMTs in the GA domain.

What we want to achieve

Reduce the regulatory burden and cost for GA while improving the level of safety.

How we monitor improvement

The key risk areas and underlying safety issues will continue to be monitored as part of the safety risk portfolios.

The GA Committee (GA.COM) and the GA TeB will provide feedback on the effectiveness of the activities that aim at improving efficiency/proportionality and ensuring a level playing field.

B2L and L Part-66 aircraft maintenance licences
Revision of the balloon licensing requirements
Easier access of general aviation (GA) pilots to instrument flight rules (IFR) flying
Simpler, lighter and better Part-FCL requirements for general aviation
Revision of the sailplane licensing requirements

The full description for these actions is included in **Chapter 5 Section 5.3**.

RMT.0698	Revision of the operational rules for sailplanes
RMT.0698	Revision of the operational rules for saliplanes

The full description for this action is included in **Chapter 8 Section 8.2**.

RMT.0502	Regular update of CS for balloons
RMT.0605	Regular update of CS-LSA
RMT.0689	'Part 21 proportionality' - Introduction of proportionality and simplification of airworthiness and environmental certification regulations for small aircraft (completed)
RMT.0690	Regular update of CS-STAN
RMT.0727	Implementation of the new basic Regulation into Part 21 (including simple and proportionate rules for General Aviation)

The full description for these actions is included in Chapter 9.

RMT.0547 Task force for the review of Part-M for general aviation (PHASE II)

Refer to **Chapter 10** for the detailed action description.



9 Design and Production

This chapter includes all actions that are relevant to design and production, for the drivers safety, efficiency/proportionality and level playing field.

Issue/rationale

Design and production improvements may limit the probability and/or severity of technical failures. Many fatal accidents involve some sort of technical failure, in many cases not properly managed during flight, thus making it a precursor of other types of accident. This does not necessarily mean that the technical failure was the direct cause of the accident, but that a system component failure was identified in the sequence of events in a number of serious incidents and accidents over the past years. For example, the handling of technical failures ranked 7th in the list of safety issues identified in the CAT Airline and NCC Business aeroplane operations Safety Risk Portfolio in 2018 (based on the aggregated ERCS score of those occurrences where this safety issue was present). Handling of technical failures in this context means the ineffective handling of a non-catastrophic technical failure by the flight crew. This could be an engine failure, an avionics system failure or some other recoverable technical failure. The cause of the accident is usually the result of a combination of circumstances and events that can only be understood after reading the investigation report. Specific analysis work is ongoing to identify the systemic safety issues that may be present in the domains of design and production. Non-accident data will be used for the analysis.

In terms of efficiency/proportionality, and with aircraft design evolving at a rapid pace, requirements for initial airworthiness and CSs need to be constantly reviewed and adjusted for cost-effectiveness and to keep pace with technological developments.

In terms of level playing field rules may need to be harmonised within the EU as well as with the main international trade partners in order to either ensure fair competition or facilitate the free movement of goods, persons and services.

What we want to achieve

Increase safety by continuously assessing and improving risk controls related to design and production. Ensure an efficient regulatory framework for manufacturers. Harmonise requirements where this ensures fair competition or facilitates the free movement of goods, persons and services.

How we monitor improvement

Continuous monitoring of safety issues identified in the Safety Risk Portfolios for the different types of air operations (see ASR 2018). The EASA ABs will give feedback on the effectiveness of actions in the area of efficiency/proportionality and level playing field.



How we want to achieve it: actions

RMT.0031	Regular update of AMC & GM to Part 21						
Efficiency/pro portionality Status Reference(s)	This RMT v in the fina n/a		n 2019, it is included in this	draft EPAS for traceal	pility. It will be removed		
Affected stake	holders	n/a					
Owner		EASA CT.5					
Priority	n/a	RM Procedure	Standard	Harmonisation	n/a		
			PLANNING MILESTONES				
SubT ToR		NPA	Opinion	Commission IR	Decision		
RMT.0 15/12/		2019 Q2	n/a	n/a	2019 Q4		
PMT 0027							
KW11.0037	RMT.0037 Regular update of CS-22						
Efficiency/pro							
portionality							
Status	Ongoing						
Reference(s)	n/a						
Affected stake	holders	n/a					
Owner		EASA CT.5					
Priority	n/a	RM Procedure	Standard	Harmonisation	n/a		
			PLANNING MILESTONES				
SubT ToR		NPA	Opinion	Commission IR	Decision		
RMT.0 28/09/		2020 Q2	n/a	n/a	2021 Q1		



RMT.0049	critical sy	Specific risk and standardised criteria for conducting aeroplane-level safety assessments of critical systems				
Safety	safety ass aeroplane Rulemakin (ASAWG). In additio - to su - to of	 The objective of this RMT is to define a standardised criterion for conducting aeroplane-level safety assessment of specific risks that encompasses all critical aeroplane systems on large aeroplanes (i.e. in particular update AMC to CS 25.1309), based on the results of the Aviation Rulemaking Advisory Committee (ARAC) Airplane-level Safety Analysis Working Group (ASAWG). In addition, this RMT will consider to amend AMC 25.1309 taking into account the latest updates of industry documents, such as ED79A/ARP4754A; and 				
Status		will be completed in the final EPAS.	in 2019, it is included	in this draft EPAS for t	raceability. It will be	
Reference(s)	n/a					
Affected stak	ceholders	DAHs				
Owner		EASA CT.5				
Priority	No	RM Procedure	Standard	Harmonisation	FAA, TCCA and ANAC	
PLANNING MILESTONES						
SubT	ToR	NPA	Opinion	Commission IR	Decision	
	25.029 (RMT.0049) Issue 2 18/03/2013	2014-02 27/01/2014	n/a	n/a	2019 Q2	

RMT.0069 Seat crashworthiness improvement on large aeroplanes — Dynamic testing 16g

SafetySafetyThe objective is to improve the protection of occupants on board large aeroplanes operated for commercial air transportation of passengers, when they are involved in a survivable impact accident.

This improvement would be reached by introducing on large aeroplanes used for CAT that were type certified without the JAR-25 change 13 standard improvements, passenger and cabin crew seats meeting the improved standard for dynamic testing and occupant protection, already used for type certification of new large aeroplanes.

This RMT is completed, it is included in this draft EPAS for traceability. It will be removed in the final Status EPAS. **Reference(s)** n/a Affected stakeholders CAT operators and POA holders Owner EASA CT.5 **Priority RM Procedure** No Standard Harmonisation n/a **PLANNING MILESTONES** SubT ToR NPA Opinion **Commission IR** Decision 26.002 Issue 1 2013-20 02/2016 2019/006/R 26/10/2018 17/09/2010 20/05/2016 10/10/2013 27/02/2019



RMT.0070	0070 Additional airworthiness specifications for operations: fire hazard in Class D cargo com				
Safety	-			tection of occupants on-l lable fire in Class D compa	
Status	Ongoing	:			
Reference(s)	n/a				
Affected stak	eholders	Air operators and	POA holders		
Owner		EASA CT.5			
Priority	No	RM Procedure	Standard	Harmonisation	n/a
		Р	PLANNING MILESTON	IES	
SubT ToR		NPA	Opinion	Commission IR	Decision
RMT	.0070	2019-02	2019 Q3	2021 Q2	2021 Q2
17/0 RMT.0071		01/03/2019 nal airworthiness spec	ifications for operat	ions: thermal/acoustic ins	sulation material
RMT.0071	Addition The gen propaga	nal airworthiness spec eral objective of this	s RMT is to reduce y introducing retroac	the safety risks due to tive specifications based of	flame penetration and
RMT.0071 Safety Status	Addition The gen propaga applicab This RM ^T EPAS.	nal airworthiness spec leral objective of this tion in the fuselage by le to already type-cert T is completed, it is ind	s RMT is to reduce y introducing retroac tified large aeroplane	the safety risks due to tive specifications based of	flame penetration and on CS 25.856(a) and (b)
RMT.0071 Safety Status Reference(s)	Addition The gen propaga applicab This RM ^T EPAS. CS 25.85	nal airworthiness spec leral objective of this tion in the fuselage by le to already type-cert T is completed, it is ind i6(a) and (b)	s RMT is to reduce y introducing retroac tified large aeroplane cluded in this draft E	the safety risks due to tive specifications based c s.	flame penetration and on CS 25.856(a) and (b)
RMT.0071 Safety Status	Addition The gen propaga applicab This RM ^T EPAS. CS 25.85	nal airworthiness spec leral objective of this tion in the fuselage by le to already type-cert T is completed, it is ind	s RMT is to reduce y introducing retroac tified large aeroplane cluded in this draft E	the safety risks due to tive specifications based c s.	flame penetration and on CS 25.856(a) and (b)
RMT.0071 Safety Status Reference(s)	Addition The gen propaga applicab This RM ^T EPAS. CS 25.85	nal airworthiness spec leral objective of this tion in the fuselage by le to already type-cert T is completed, it is ind i6(a) and (b)	s RMT is to reduce y introducing retroac tified large aeroplane cluded in this draft E	the safety risks due to tive specifications based c s.	flame penetration and on CS 25.856(a) and (b)
RMT.0071 Safety Status Reference(s) Affected stak Owner	Addition The gen propaga applicab This RM ^T EPAS. CS 25.85	nal airworthiness spec leral objective of this tion in the fuselage by le to already type-cert T is completed, it is ind i6(a) and (b) Air operators and	s RMT is to reduce y introducing retroac tified large aeroplane cluded in this draft E	the safety risks due to tive specifications based c s.	flame penetration and on CS 25.856(a) and (b)
RMT.0071 Safety Status Reference(s) Affected stak Owner	Addition The gen propaga applicab This RM ^T EPAS. CS 25.85 eholders	nal airworthiness spec leral objective of this tion in the fuselage by le to already type-cert T is completed, it is ind i6(a) and (b) Air operators and EASA CT.5 RM Procedure	s RMT is to reduce y introducing retroac tified large aeroplane cluded in this draft E POA holders	the safety risks due to tive specifications based o es. PAS for traceability. It will Harmonisation	flame penetration and on CS 25.856(a) and (b) be removed in the fina
RMT.0071 Safety Status Reference(s) Affected stak Owner Priority SubT TOR	Addition The gen propaga applicab This RM ^T EPAS. CS 25.85 eholders	nal airworthiness spec leral objective of this tion in the fuselage by le to already type-cert T is completed, it is ind i6(a) and (b) Air operators and EASA CT.5 RM Procedure	s RMT is to reduce y introducing retroac tified large aeroplane cluded in this draft E POA holders Standard	the safety risks due to tive specifications based o es. PAS for traceability. It will Harmonisation	flame penetration and on CS 25.856(a) and (b) be removed in the fina



RMT.0116	Real weight	and balance	of an aircraft		
Safety	the aeroplan and cost-effe — A retroa aeroplan	e being equip ectiveness con active requir nes (using a P	oped with a weight nsideration, the fol	and centre of gravity n lowing might be propo ystem to be installed	rge aeroplanes (CS-25) to require neasuring system. Based on safety sed: on already type-certified large
		-		•	ance specification (MOPS) which uipment (EUROCAE) WG-88.
Status			•	ger shows any need for moved in the final EPA	rulemaking action. It is included S.
Reference(s)	n/a				
Affected stake	holders DAI	Is and large a	and commuter aero	oplane operators	
Owner	EAS	A CT.5			
Priority	Yes	RM Proce	dure Standard	Harmonisation	n/a
			PLANNING MI	ESTONES	
SubT	ToR NP	4	Opinion	Commission IR	Decision
n/a	n/a n/a		n/a	n/a	n/a
RMT.0118	Analysis of c	n-ground wi	ngs contamination	effect on take-off per	formance degradation
RMT.0118 Safety	The objectiv applicants p	e of this task erforming ar	k is to assess the r n assessment of t	need for an amendme he effect of aircraft a	nt of CS-23 and CS-25 to require
	The objectiv applicants p	e of this task erforming ar	k is to assess the r n assessment of t	need for an amendme he effect of aircraft a	nt of CS-23 and CS-25 to require aerodynamic surfaces on-ground
Safety	The objectiv applicants p contaminatio	e of this task erforming ar on on take-of	k is to assess the r n assessment of t	need for an amendme he effect of aircraft a	nt of CS-23 and CS-25 to require aerodynamic surfaces on-ground
Safety Status	The objectiv applicants p contamination Ongoing CS-23 and CS	e of this task erforming ar on on take-of	k is to assess the r n assessment of t	need for an amendme he effect of aircraft a	nt of CS-23 and CS-25 to require aerodynamic surfaces on-ground

Priorit	y Yes	RM Procedure	Standard	Harmonisation	n/a
		Р	LANNING MILESTONES		
SubT	ToR	NPA	Opinion	Commission IR	Decision
	RMT.0118 21/03/2017	2020 Q1	n/a	n/a	2021 Q1



RMT.0128	Regular u	pdate of CS-27&29,	CS-VLR			
Efficiency/pro portionality	Questine					
Status	Ongoing					
Reference(s)	n/a					
Affected stake	holders	n/a				
Owner		EASA CT.5				
Priority	n/a	RM Procedure	Standard	Harmonisation	n/a	
			PLANNING MILESTON			
SubT ToR		NPA	Opinion	Commission IR	Decision	
)128	2020.02	n/a	n/a	2021 Q1	
	/2016	2020 Q2	nya		4-	
29/06	/2016	2020 Q2	11/α		~-	
	/2016	2020 Q2	11/ a		4-	
	, 	pdate of rotorcraft			4	
29/06	, 					
29/06 RMT.0134 Efficiency/pro	, 					_
29/06 RMT.0134 Efficiency/pro portionality	Regular u					_
29/06 RMT.0134 Efficiency/pro portionality Status	Regular u Ongoing					_
29/06 RMT.0134 Efficiency/pro portionality	Regular u					_
29/06 RMT.0134 Efficiency/pro portionality Status	Regular u Ongoing n/a					
29/06 RMT.0134 Efficiency/pro portionality Status Reference(s)	Regular u Ongoing n/a	pdate of rotorcraft				
29/06 RMT.0134 Efficiency/pro portionality Status Reference(s) Affected stake	Regular u Ongoing n/a	pdate of rotorcraft , n/a		Harmonisation	n/a	_
29/06 RMT.0134 Efficiency/pro portionality Status Reference(s) Affected stake Owner	Regular u Ongoing n/a holders	pdate of rotorcraft n/a EASA CT.5 RM Procedure	AMC	Harmonisation		
29/06 RMT.0134 Efficiency/pro portionality Status Reference(s) Affected stake Owner	Regular u Ongoing n/a holders n/a	pdate of rotorcraft n/a EASA CT.5 RM Procedure	AMC	Harmonisation		



RMT.0	184	Regular up	odate of CS-E			
Efficier portior	ncy/pro nality					
Status		Ongoing				
Refere	nce(s)	n/a				
Affecte	ed stakel	holders	n/a			
Owner	r		EASA CT.5			
Priority	у	n/a	RM Procedure	Standard	Harmonisation	n/a
			F	PLANNING MILESTO	NES	
SubT	ToR		NPA	Opinion	Commission IR	Decision
	RMT.0 27/07/		2020 Q2	n/a	n/a	2021 Q1



RMT.0225	Developm	nent of an ageing air	rcraft structure plan	I	
Safety	 Revie Revie preve Revie termi Devel been to be Evalua (WFD The rulem Amen of fut rule; a Requi the ar 	w and update the su w existing corrosi ention/control progra w all structurally-rel nating action or enfo lop guidelines to asso designed without us applied to future re ate individual aerop) and develop a prog taking framework for ndment to CS to imp ure TC and future a and irements on existing	upplemental structur ion prevention pr amme to maintain c ated service actions orcement of special ess the damage toler sing damage tolerar pairs; and lanes design regardi gramme for correction r such issues is comp rove the standards f mendments to TC/fin DAHs to review the ments on operators	lex as it is necessary to addr for ageing aircraft issues. Th uture STC in accordance wit ir existing designs to demon to introduce modifications i	SSIP) for effectiveness; a baseline corrosion evel; hich require mandatory epairs, which may have are methodology needs espread fatigue damage ess the following items: his will address the case th the changed product
Status	Ongoing				
Reference(s)	n/a				
Affected stake	eholders	DAHs and Air Ope	erators		
Owner		EASA CT.5			
Priority	No	RM Procedure	Standard	Harmonisation	FAA
			PLANNING MILESTO	NES	
SubT ToR		NPA	Opinion	Commission IR	Decision
	0225 /1.028) 5/2007	2013-07 23/04/2013	12/2016 10/10/2016	2020 Q1	2020 Q1

Safety	 To p inap rudd not r To d case 	ropose an amendme propriate rudder usa ler inputs from pilots make the erroneous i etermine if retroacti of a positive answer,	ge. This may be achie to ensure safe flight, rudder input. ve specifications are , to propose Part-26/	ter reversals to the aeroplane against the ved either by taking action or by proposing actions the suitable for already certif CS-26 standards, eventual ones proposed for CS-25 a	ns to mitigate erroneou nat will ensure pilots w fied large aeroplanes. I Ily including applicabili
Status	This RMT EPAS.	is completed, it is in	cluded in this draft E	PAS for traceability. It will	be removed in the fin
Reference(s)	n/a				
Reference(s) Affected stake	n/a	DAHs			
	n/a	DAHs EASA CT.5			
Affected stake	n/a		Standard	Harmonisation	n/a
Affected stake	n/a	EASA CT.5 RM Procedure	Standard PLANNING MILESTON		n/a
Affected stake	n/a	EASA CT.5 RM Procedure			n/a Decision

RMT.04	157 F	Regular update of E	ASA TSOs			
Efficien portion						
Status Referen	(Dngoing 1/a				
Affecte	d stakehol	ders n/a				
Owner		EASA CT	.5			
Priority	n/a	a RM Prod	cedure Standa	rd Harmo	onisation n/a	
			PLANNING N	AILESTONES		
SubT	ToR	NPA	Opinion	Comm	nission IR Decisi	on
	RMT.0457 21/08/20	2020 02	n/a	n/a	2020	Q4



RMT.0499	Regular u	pdate of CS-MMEL			
Efficiency/pro					
portionality					
Status	This RMT in the fina		2019, it is included in	this draft EPAS for tracea	bility. It will be removed
Reference(s)	n/a				
Affected stake	holders	n/a			
Owner		EASA CT.5			
Priority	n/a	RM Procedure	Standard	Harmonisation	n/a
			PLANNING MILESTON	ES	
SubT ToR		NPA	Opinion	Commission IR	Decision
	ממור	2018-08	,	n / n	2019 Q3
RMT.0 09/04		22/08/2018	n/a	n/a	2019 Q3
			n/a	II/a	2019 Q3
	/2018			II/a	2019 Q3
09/04	/2018 Regular u	22/08/2018		II/a	2013 Q3
09/04 RMT.0502	/2018 Regular u	22/08/2018		II/a	2013 Q3
09/04 RMT.0502 Efficiency/pro	/2018 Regular u	22/08/2018			2013 Q3
09/04 RMT.0502 Efficiency/pro portionality	/2018 Regular u	22/08/2018			2013 Q3
09/04 RMT.0502 Efficiency/pro portionality Status	/2018 Regular u Ongoing n/a	22/08/2018			2013 Q3
09/04 RMT.0502 Efficiency/pro portionality Status Reference(s)	/2018 Regular u Ongoing n/a	22/08/2018 pdate of CS for ballo		II/a	2013 Q3
09/04 RMT.0502 Efficiency/pro portionality Status Reference(s) Affected stake	/2018 Regular u Ongoing n/a	22/08/2018 pdate of CS for ballo		Harmonisation	n/a
09/04 RMT.0502 Efficiency/pro portionality Status Reference(s) Affected stake Owner	/2018 Regular u Ongoing n/a holders	22/08/2018 pdate of CS for ballo n/a EASA CT.5 RM Procedure	pons	Harmonisation	
09/04 RMT.0502 Efficiency/pro portionality Status Reference(s) Affected stake Owner	/2018 Regular u Ongoing n/a holders	22/08/2018 pdate of CS for ballo n/a EASA CT.5 RM Procedure	pons	Harmonisation	



RMT.0503	Regular up	odate of CS-APU				
Efficiency/pro portionality						
Status	Ongoing					
Reference(s)	n/a					
Affected stake	nolders	n/a				
Owner		EASA CT.5				
Priority	n/a	RM Procedure	Standard	Harmonisation	n/a	
			PLANNING MILESTONE	S		
			• • • •	Commission ID	Decision	
SubT ToR		NPA	Opinion	Commission IR		
SubT ToR tbd		NPA tbd	n/a	n/a	tbd	
			· · · ·			
	Regular up		· · · ·			
tbd	Regular up	tbd	· · · ·			
tbd RMT.0508 Efficiency/pro	Regular up	tbd	· · · ·			
tbd RMT.0508 Efficiency/pro portionality		tbd	· · · ·			
tbd RMT.0508 Efficiency/pro portionality Status	Ongoing n/a	tbd	· · · ·			
tbd RMT.0508 Efficiency/pro portionality Status Reference(s)	Ongoing n/a	tbd	· · · ·			
tbd RMT.0508 Efficiency/pro portionality Status Reference(s) Affected staket Owner	Ongoing n/a	tbd odate of CS-CC	· · · ·			
tbd RMT.0508 Efficiency/pro portionality Status Reference(s) Affected staket Owner	Ongoing n/a nolders	tbd odate of CS-CC n/a EASA CT.5 RM Procedure	n/a	n/a Harmonisation	tbd	
tbd RMT.0508 Efficiency/pro portionality Status Reference(s) Affected staket Owner	Ongoing n/a nolders n/a	tbd odate of CS-CC n/a EASA CT.5 RM Procedure	n/a Standard	n/a Harmonisation	tbd	



RMT.0570 Reduction of runway excursions

SafetyThe objective of this task is to increase the level of safety by reducing the number of runwaySafetyexcursions through mandating existing technologies on aeroplane that allow to measure remaining
runway left and thus support pilot-decision-making.

Due to the nature of the comments received on NPA 2013-09, EASA has decided to publish a new NPA on the reduction of runway excursions putting more emphasis on safety objectives against the risk of runway excursions, while providing more flexibility in terms of design solutions. The means to achieve these objectives it is proposed to refer to technical standard developed jointly by industry and CAs with the support of an international standardisation body (EUROCAE).

Status	Ongoing	3			
Refere	nce(s) n/a				
Affecte	ed stakeholders	Air Operators, PO	A holders, applicant	s for TC/STC	
Owner		EASA CT.5			
Priority	y Yes	RM Procedure	Standard	Harmonisation	n/a
		F	PLANNING MILESTO	NES	
SubT	ToR	NPA	Opinion	Commission IR	Decision
	RMT.0570 09/10/2012	2013-09 10/5/2013	n/a	n/a	n/a
		2018-12 15/10/2018	2019 Q3	2021 Q2	2021 Q2

RMT.060	5 Regular u	pdate of CS-LSA			
Efficiency portional					
Status	Ongoing				
Referenc	e(s) n/a				
Affected	stakeholders	n/a			
Owner		EASA CT.5			
Priority	n/a	RM Procedure	Standard	Harmonisation	n/a
		F	PLANNING MILESTON	ES	
SubT 1	ToR	NPA	Opinion	Commission IR	Decision
	RMT.0605 14/01/2016	2020 Q2	n/a	n/a	2020 Q4



RMT.0643	Regular u	odate of AMC-20			
Efficiency/pro portionality Status	This RMT v in the fina		1 2019, it is included in 1	this draft EPAS for traceal	bility. It will be removed
Reference(s)	n/a	I EPAS.			
Affected stake		n/a			
Owner		EASA CT.5			
Priority	n/a	RM Procedure	Standard	Harmonisation	n/a
/	7 -		PLANNING MILESTON		
SubT ToR		NPA	Opinion	Commission IR	Decision
RMT.0 20/07/		2018-09 24/08/2018	n/a	n/a	2019 Q2
RMT.0673	Regular u	odate of CS-25			
Efficiency/pro portionality Status Reference(s)	Ongoing n/a				
Affected stake	holders	n/a			
Owner		EASA CT.5			
Priority	n/a	RM Procedure	Standard	Harmonisation	n/a
			PLANNING MILESTON	ES	
SubT ToR		NPA	Opinion	Commission IR	Decision
RMT.0 27/04/		2019 Q2	n/a	n/a	2020 Q1
RMT.0684	Regular u	odate of CS-P			
Efficiency/pro portionality					
Status	Ongoing				
Reference(s)	n/a				
Affected stake	holders	n/a			

Owner			EASA CT.5				
Priority	/	n/a	RM Procedure	Standard	Harmonisation	n/a	
			Р	LANNING MILESTO	DNES		
SubT	ToR		NPA	Opinion	Commission IR	Decision	
	tbd		tbd	n/a	n/a	tbd	



RMT.0687	Regular up	date of CS-23			
Efficiency/pro portionality	*Instead of	f the NPA, Article 15	5/16 will apply		
Status	This RMT v in the final		2019, it is included ir	this draft EPAS for tracea	bility. It will be removed
Reference(s)	n/a				
Affected stake	nolders	n/a			
Owner		EASA CT.5			
Priority	n/a	RM Procedure	AP/DP	Harmonisation	n/a
		F	PLANNING MILESTON	IES	
SubT ToR		NPA	Opinion	Commission IR	Decision
RMT.0	687	n/a	n/a	n/a	2019 Q3
09/08/	2017	nya	nya		
	2017	11/ a			
		ipdate of CS-SIMD			
09/08/					
09/08/ RMT.0688 Efficiency/pro-					
09/08/ RMT.0688 Efficiency/pro- portionality	Regular u				
09/08/ RMT.0688 Efficiency/pro- portionality Status	Regular u Ongoing n/a				
09/08/ RMT.0688 Efficiency/pro- portionality Status Reference(s)	Regular u Ongoing n/a	pdate of CS-SIMD			
09/08/ RMT.0688 Efficiency/pro- portionality Status Reference(s) Affected staked	Regular u Ongoing n/a	ipdate of CS-SIMD	Standard	Harmonisation	n/a
09/08/ RMT.0688 Efficiency/pro- portionality Status Reference(s) Affected stake Owner	Regular u Ongoing n/a nolders	n/a EASA CT.5 RM Procedure		Harmonisation	n/a
09/08/ RMT.0688 Efficiency/pro- portionality Status Reference(s) Affected stake Owner	Regular u Ongoing n/a nolders n/a	n/a EASA CT.5 RM Procedure	Standard	Harmonisation	n/a Decision 2021 Q1



RMT.0709 Prevention of catastrophic accidents due to rotorcraft hoist issues

Safety Improvements in the certification specifications and standards relating to the certification of rotorcraft hoists is expected to significantly reduce the risk of catastrophic accidents due to rotorcraft hoists. The current certification specifications relating to the certification of rotorcraft hoists are not being appropriately applied. In addition, some failure modes are not consistently taken into consideration and this is reflected in service experience. A high number of safety occurrences have been reported that are attributed to rotorcraft hoists. The ETSO that is being developed is hoped to address some existing design shortfalls. Retrospective application of any additional certification specifications may be considered. Moreover, cargo hook aspects will also be considered along with the safety affects to people on the ground during non-human external cargo operations.

Status	Ongoing				
Reference(s)	n/a				
Affected stake	holders	DOA holders, POA	holders and helicopter o	operators	
Owner		EASA CT.5			
Priority	No	RM Procedure	Standard	Harmonisation	n/a
		F	PLANNING MILESTONES		
SubT ToR		NPA	Opinion	Commission IR	Decision
2019 (23	2020 Q2	n/a	n/a	2021 Q1

RMT.0710 Improvement in the survivability of rotorcraft occupants in the event of a crash

Safety The likelihood of survival of rotorcraft occupants in the event of a crash would significantly be improved through the retroactive application of the current improvements in fuel tank crash resistance and occupant safety for rotorcraft that were certified before the new certification specifications for type designs entered into force in the 1980s and 1990s. Safety Recommendations have been raised by accident investigation boards on fuel tanks and occupant safety for helicopters certified before the upgrade of the rules for emergency landing conditions and fuel system crash resistance, for new type designs in the 1980s and 1990s. In November 2015, a new task was assigned by the FAA for the ARAC to provide recommendations regarding occupant protection rulemaking in normal and transport category rotorcraft for older certification basis type designs. EASA participates to the Working Group and should consider the application of the outcome of this activity for application to the existing European fleet.

Status		Ongoing				
Refere	nce(s)	n/a				
Affecte	ed stakel	holders	DOA and POA hole	ders		
Owner			EASA CT.5			
Priority	y	No	RM Procedure	Standard	Harmonisation	FAA
			F	PLANNING MILESTONES		
SubT	ToR		NPA	Opinion	Commission IR	Decision
	2019 C	23	2020 Q3	2021 Q3	2022 Q3	2022 Q3



RMT.0711		n in accidents caused I vibration health mo	=	al rotor and rotor drive co	mponents through
Safety	rotor driv particular specificat	ve components have ly for offshore operation	e been shown to g ations. However, th	ems to detect imminent fail greatly improve the level ere is a need to improve t HM systems in order to gain	of safety of rotorcraft he current certification
	systems a		odernisation of the	and enable improvements se systems which would pu stems.	-
Status	Ongoing				
Reference(s)	n/a				
Affected stake	eholders	DOA and POA hole	ders		
Owner		EASA CT.5			
Priority	No	RM Procedure	Standard	Harmonisation	n/a
		F	PLANNING MILESTO	NES	
SubT ToR		NPA	Opinion	Commission IR	Decision
2019	Q2	2020 Q2	n/a	n/a	2021 Q1

RMT.0712	Enhancer	ment of the safety as	sessment processes	s for rotorcraft designs	
Efficiency/pro	in the rol events b rotorcraft failures.	bustness of the desig y introducing means t designs can be cons Technology and tech s and therefore it is vi	gn and also help air s to reduce their l idered to be even m niques have evolved ital that CSs keep ab	tems and equipment can he craft designers to mitigate ikelihood. Ensuring robust fore critical due to the high d since the inception of for reast with the latest thinkin entified during certification	the risk of undesirable safety assessment of number of single-point mal safety assessment og on safety assessment
	improved The FAA i create sig a lower r	for small and large r is also developing ne gnificant standard dif	otorcraft to reflect of w rules for the safe ferences between the The proposed RMT	and installations containe current best practice for saf ty assessment of rotorcraft ne EU and US regulations a also aims at reviewing th	fety assessment. and these changes will nd are likely to result in
Status	Ongoing				
Reference(s)	n/a				
Affected stake	eholders	DAHs and POA ho	lders		
Owner		EASA CT.5			
Priority	No	RM Procedure	Standard	Harmonisation	FAA
		F	PLANNING MILESTO	NES	
SubT ToR		NPA	Opinion	Commission IR	Decision
RMT. 15/10	0712)/2018	2020 Q1	n/a	n/a	2021 Q1



RMT.0713	Reductio	n in human-factor-ca	used rotorcraft accie	dents that are attributed	to the rotorcraft design
Safety HF	aircraft a		its and that the des	oute either directly or inc ign of the flight deck an for crew errors.	
	a human factor as helicopte controls.	factor assessment to sessments of the de rs are characterised b It is also likely that th	b be carried out. Lar esign of the flight by having a high level he future rotorcraft	craft do not contain any sp ge transport aircraft have deck and associated sys of integration of cockpit of projects, embodying fly-br rom a human factors persp	benefited from human stems. New generation equipment, displays and y-wire technology flying
Status	would mi accident.	itigate the probabilit		human factors in the desig and pilot workload issue	
Status	would mi accident. Ongoing	itigate the probabilit			
Status Reference(s)	would mi accident.	itigate the probabilit			
	would mi accident. Ongoing n/a	itigate the probabilit			
Reference(s)	would mi accident. Ongoing n/a	itigate the probabilit			
Reference(s) Affected stake	would mi accident. Ongoing n/a	itigate the probabilit			
Reference(s) Affected stake Owner	would mi accident. Ongoing n/a tholders	itigate the probabilit DOA holders EASA CT.5 RM Procedure	y of human factors	and pilot workload issue Harmonisation	s that could lead to an
Reference(s) Affected stake Owner	would mi accident. Ongoing n/a tholders	itigate the probabilit DOA holders EASA CT.5 RM Procedure	y of human factors Standard	and pilot workload issue Harmonisation	s that could lead to an



Efficiency/pro portionalityCurrently, civil rotorcraft are equipped with mechanical flight controls (with or without hyd assistance), and trim and automatic flight control system (AFCS) functions are typically introduc the mechanical flight control chains. Fly-By-Wire (FbW/FBW) technology has been in service or This technology allows the introduction of advanced flight control laws and flight control protec which greatly increase the complexity of the flight control system and integration with the systems and interaction with the aircraft handling qualities. FbW flight control systems are brown and also highly safety-critical.EASA has already been involved in a validation activity with a US applicant, for which a si dedicated and bespoke requirements are being developed by the FAA and EASA. It is expected there will be an application for a design containing FBW technology from an EU applicant short It is for these reasons that appropriate certification specifications for rotorcraft.StatusOngoing Reference(s)DAHs and POA holdersOwnerEASA CT.5EASA CT.5PriorityNoRM ProcedureStandardHarmonisationFAAStatusNPAOpinionCommission IRDecision	RMT.0714	Enable the	safe introduction	of rotorcraft Fly-by-	Wire technology	
dedicated and bespoke requirements are being developed by the FAA and EASA. It is expected there will be an application for a design containing FBW technology from an EU applicant short It is for these reasons that appropriate certification specifications for rotorcraft FbW systems shoe developed to enable the safe introduction of this technology to rotorcraft. Status Ongoing Reference(s) n/a Affected stake-blders DAHs and POA holders Owner EASA CT.5 Priority No RM Procedure Standard Harmonisation FAA		assistance), the mechan large aerop This techno which grea systems an	, and trim and auto nical flight control planes for more tha plogy allows the int tly increase the co d interaction with	matic flight control s chains. Fly-By-Wire (in 40 years and this t roduction of advance omplexity of the flig the aircraft handlin	system (AFCS) functions are FbW/FBW) technology has technology is now being ap ed flight control laws and fl ht control system and inte	e typically introduced in s been in service on civil oplied to civil rotorcraft. light control protections egration with the other
Reference(s) n/a Affected stakeholders DAHs and POA holders Owner EASA CT.5 Priority No RM Procedure Standard Harmonisation FAA PLANNING MILESTONES		dedicated a there will b It is for the	and bespoke requir e an application fo se reasons that app	rements are being de r a design containing propriate certification	eveloped by the FAA and E g FBW technology from an n specifications for rotorcra	ASA. It is expected that EU applicant shortly. aft FbW systems should
Affected stakeholders DAHs and POA holders Owner EASA CT.5 Priority No RM Procedure Standard Harmonisation FAA	Status	Ongoing				
Owner EASA CT.5 Priority No RM Procedure Standard Harmonisation FAA	Reference(s)	n/a				
Priority No RM Procedure Standard Harmonisation FAA PLANNING MILESTONES	Affected stakeh	olders	DAHs and POA ho	lders		
PLANNING MILESTONES	Owner		EASA CT.5			
	Priority	No	RM Procedure	Standard	Harmonisation	FAA
SubT ToR NPA Opinion Commission IR Decision			F	PLANNING MILESTO	NES	
	SubT ToR		NPA	Opinion	Commission IR	Decision
2020 Q2 2021 Q1 n/a n/a 2021 Q3	2020 Q	2	2021 Q1	n/a	n/a	2021 Q3
	RMT.0724	Rotorcraft	flight crew operati	ng manuals (FCOMs)	
RMT.0724 Rotorcraft flight crew operating manuals (FCOMs)	Safety	in the aircr	ew manuals. This c	ould be achieved by	ing information provided t standardising the structure uals, thereby improving the	e and approach used to

Status Reference(s	Ongoing				
Affected sta	akeholders	Rotorcraft operat	ors		
Owner		EASA CT.5			
Priority	Yes	RM Procedure	Standard	Harmonisation	n/a
		F	PLANNING MILESTO	NES	
SubT To	R	NPA	Opinion	Commission IR	Decision
20	19 Q3	2021 Q1	n/a	n/a	2022 Q1

such as the activity undertaken by Heli Offshore.

information. This RMT will consider the current approach utilised in CS-25 AMC, and other initiatives



RMT.0725 Rotorcraft chip detection system

Safety CS-27 and CS-29 require the installation of chip detectors to detect particles of ferromagnetic material that are released by elements of the rotor drive system as a result of damage or wear. Chip detectors provide a warning to the crew when particles of a sufficient size (or accumulation of particles) are detected and allow the crew to check the correct operation of the relevant drive system components. However, there is no explicit provision in the CS, nor detailed AMC, for consistently demonstrating that the chip detectors perform their intended function (i.e. particles are collected at a sufficient rate to provide the intended means of detection).

The task will also consider proportionate retrospective application of applicable CS-27 and CS-29 to existing fleets and types that are not compliant with the latest provisions.

Status Reference(s)	Ongoing n/a					
Affected stak	eholders	DOA and POA hol	ders			
Owner		EASA CT.5				
Priority	Yes	RM Procedure	Standard	Harmonisation	n/a	
		F	PLANNING MILESTO	NES		
SubT ToR		NPA	Opinion	Commission IR	Decision	
2020	0.01	2021 Q1	n/a	n/a	2022 Q1	
2020		2021 QI	2022 Q1	2023 Q1	2023 Q1	

RMT.0726	Rotorcra	ft occupant safety in	event of a bird strike	2	
Safety	where th This has r on safety strike. Th and also d	e rotorcraft was not o esulted in a number o r. The objective of th is will be achieved b	certified in accordance of occurrences where is RMT is to improve y considering the dev onate retrospective ap	nber of accidents involvir e with the latest bird strik rotorcraft bird impacts ha rotorcraft occupant safe relopment of new CS-27 p plication of applicable CS- est provisions.	e protection provisions. ve had an adverse effect ty in the event of a bird provisions for bird strike
Status	Ongoing				
Reference(s)	n/a				
Affected stake	holders	DOA and POA hole	ders		
Owner		EASA CT.5			
Owner Priority	Yes	EASA CT.5 RM Procedure	Standard	Harmonisation	n/a
	Yes	RM Procedure	Standard PLANNING MILESTON		n/a
•	Yes	RM Procedure			n/a Decision
Priority		RM Procedure	PLANNING MILESTON	IES	



RMT.0727	-	entation of the Bas Aviation)	sic Regulation into Pa	rt 21 (including simp	le and proportionate rules fo
Efficiency/pro portionality	Regulat a propo risk leve adminis include In the fi (EU) 202	ion (EU) 2018/1139 rtionate approach els in GA in the in trative burden and the preparatory wo rst phase of this RN 18/1139 and a few	O. The focus of this task for sports and recrea itial airworthiness pul- l costs, while at the s prk done under RMT. (MT, EASA will develop)	k is to introduce simp tional aircraft. It will rocess, and is aiming ame time supporting D689 'Part 21 proport proposals required b the second phase EA	y Article 140 (3) of Regulation ASA will develop proposals for
Status	Ongoing	B			
Reference(s)	n/a				
Affected stake	nolders	DOA and POA ho	Iders and CAs includin	ng EASA	
Owner		EASA CT.5			
Priority	Yes	RM Procedure	See field 'SubT'	Harmonisation	n/a
			PLANNING MILESTO	NES	
SubT	ToR	NPA	Opinion	Commission IR	Decision
1: ST/AP	2019 Q3	2019 Q4	2020 Q4	2022 Q1	2022 Q1
2: ST		2022 Q1	2023 Q1	2024 Q3	2024 Q3
RMT.0689 Efficiency/pro portionality	Introduct regulation Simplifica organisat streamlin For indivi	ns for small aircraft tion of the approva ions. A template n ed and privileges ca dual simple aircraft	t Il process and the ove nanual should simpli an be granted to orga	rsight of small design fy the approval proc nisations based on th is to explore if priva	d environmental certification , production and maintenance cess. The oversight should be ne demonstrated experience. te operation of aircraft where
			-	-	context of the activities of the

This RMT was initially planned to be completed in two phases. In the context of the activities of the former first phase (now being the sole one), EASA investigated whether some immediate benefits could be derived by amendments to AMC & GM to Part 21. The initially planned second phase of this RMT has been cancelled. The related activities will take place as part of the new RMT.0727.

StatusThis RMT is completed, it is included in this draft EPAS for traceability. It will be removed in the final
EPAS.

Reference(s) RMT.0727

Affect	ed stak	eholders	DOA holders, POA	holders, AMOs (Part-145 and Part-M Subpart F	:)
Ownei	r		EASA CT.5			
Priorit	ÿ	Yes	RM Procedure	AP	Harmonisation	n/a
			I	PLANNING MILES	TONES	
SubT	ToR		NPA	Opinion	Commission IR	Decision
	RMT. 9/6/2		FC	n/a	n/a	2019/003/R 13/02/2019



RMT.0180	CS-E engir	ne testing, enduran	ce/IMI/ETOPS		
Efficiency/pro portionality	suitability compliant	tive of this RMT is t for all engines, ar ce. The current requ	nd consider an alter	engine endurance test rec nate endurance test and equately address the tech e endurance test.	associated methods of
	support a potential	certification progra revision to the CSs	mme can no longer to better ensure tha	nat reliance upon robust of be guaranteed. There is no t any reliability and integr o the engine entering service	ow a need to consider a ity issues regarding the
	This will e occur in s	ensure that engine tervice prior to the	ests are conducted a issue of a TC. The ex	sed upon, if not identical to at conditions representativ pected benefits of this inc tion, and a more robust ce	ve of those expected to lude a reduction in the
Status	Ongoing				
Reference(s)	n/a				
Affected stake	holders	DAHs			
Owner		EASA CT.5			
Priority	No	RM Procedure	Standard	Harmonisation	n/a
			PLANNING MILESTO	NES	
		NPA	Opinion	Commission IR	
SubT ToR		IN A	opinion	Commission IR	Decision



field

	instructions	s for continued air	worthiness (ICA)		
	The objecti [,]	ve of this RMT is to	o revisit the existing re	equirements on ICA as foll	ows:
Level playing					
field	Subtask 1:				
				ided during the certification	on process)
			ing the certification p certification p	-	
	Subtask 2:	the CA (during the	certification process)		
		pility of ICA (to owr	ners, operators, MOs,	etc.)	
	Subtask 3:				
		uling Information (guidance on the MRB	process) -> cancelled	
	Subtask 4:	ana langer al -fi	CAa bu atharthan the	authority.	
	Subtask 5:	ance/approval of i	CAs by other than the	e authority.	
		cation maintenance	e requirements.		
				d an NPA, which was publi	ished in 2018. Followin
	the NPA pu	blic consultation, E	ASA will develop an o	pinion proposing amendn	nents to Regulation (EL
				(EU) No 1321/2014 (Cont	-
		•	th the amendment	to CS-25 (ED Decision	2017/018/R issued o
	30/08/2017	/)			
Status	Ongoing				
Deference(c)	1				
Reference(s)	n/a				
Affected stake	•	DAHs and POA ho	lders		
.,	•	DAHs and POA ho EASA CT.5	lders		
Affected stakeł Owner	•		lders Standard	Harmonisation	n/a
Affected stakeł Owner	nolders	EASA CT.5 RM Procedure			n/a
Affected stakeł Owner	nolders	EASA CT.5 RM Procedure	Standard		Decision
Affected staker Owner Priority	No 252	EASA CT.5 RM Procedure	Standard PLANNING MILESTON	IES	

Level playingTo establish IRs and associated AMC & GM on operational requirements for flights related to design
and production activities ('manufacturers flights').

This task is put on hold due to resource restrictions, giving support to more pressing matters. Nonetheless, EASA is following the development still and envisages to integrate it into next available rulemaking opportunities.

Status	This RMT is put on hold until further notice.

Reference(s) n/a			
Affected stakeholders	DOA and POA holders		
Owner	EASA CT.5		
Priority n/a	RM Procedure n/a	Harmonisation	n/a
	PLANNING MILESTO	DNES	
SubT ToR	NPA Opinion	Commission IR	Decision



RMT.0384	Enable op	en rotor engine & ir	nstallation		
Level playing field	improving The objec material f	g aircraft fuel burn ar tive of this task is to or CS-E, 14 CFR Part	nd emissions. This cor identify and recomm	er future large transport ncept is known as the 'ope end harmonised draft req Part 25 to address the no h the aircraft.	en rotor engine'. uirements and advisory
	safety obj and assoc	ectives based on the iated AMC material	e unique nature of th	nent of new requirements e open rotor configuration ne safety levels of open ro leet.	n. These new provisions
				the EPAS, as there is no new to focus its resources of the second s	
	develop a		his special condition	cation which includes an o will be based on the wor	
		ign, CS provisions m	-	ed in first certification pro sed on that special cond	
	upuate Ri	vii 5).			
Status		is deleted. The RMT	description is kept he	ere for traceability and wil	l be removed from the
	This RMT	is deleted. The RMT	description is kept he	ere for traceability and wil	l be removed from the
Reference(s)	This RMT final EPAS n/a	is deleted. The RMT		ere for traceability and wil	l be removed from the
Reference(s)	This RMT final EPAS n/a	is deleted. The RMT		ere for traceability and wil	l be removed from the
Reference(s) Affected stake Owner	This RMT final EPAS n/a	is deleted. The RMT Engine DOA and P		ere for traceability and wil Harmonisation	l be removed from the
Reference(s) Affected stake Owner	This RMT final EPAS n/a holders	is deleted. The RMT Engine DOA and P EASA CT.5 RM Procedure	OA holders	Harmonisation	
Reference(s) Affected stake Owner Priority SubT ToR	This RMT final EPAS n/a holders No	is deleted. The RMT Engine DOA and P EASA CT.5 RM Procedure F NPA	OA holders Standard	Harmonisation	
Reference(s) Affected stake Owner Priority <u>SubT ToR</u> RMT.0	This RMT final EPAS n/a holders No 384	is deleted. The RMT Engine DOA and P EASA CT.5 RM Procedure F NPA 2015-22	OA holders Standard PLANNING MILESTON	Harmonisation	FAA
Reference(s) Affected stake Owner Priority SubT ToR	This RMT final EPAS n/a holders No 384	is deleted. The RMT Engine DOA and P EASA CT.5 RM Procedure F NPA	OA holders Standard PLANNING MILESTON Opinion	Harmonisation IES Commission IR	FAA Decision
Reference(s) Affected stake Owner Priority SubT ToR RMT.0 14/03,	This RMT final EPAS n/a holders No 384 /2011	is deleted. The RMT Engine DOA and P EASA CT.5 RM Procedure F NPA 2015-22	OA holders Standard PLANNING MILESTON Opinion n/a	Harmonisation IES Commission IR	FAA Decision
Reference(s) Affected stake Owner Priority SubT ToR RMT.0 14/03, RMT.0453	This RMT final EPAS n/a holders No 384 /2011 Ditching p The objec	is deleted. The RMT Engine DOA and P EASA CT.5 RM Procedure P 2015-22 21/12/2015 Darameters without tive of this RMT is co	OA holders Standard PLANNING MILESTON Opinion n/a engine power	Harmonisation IES Commission IR n/a	FAA Decision n/a
Reference(s) Affected stake Owner Priority SubT ToR RMT.0 14/03,	This RMT final EPAS n/a holders No 384 /2011 Ditching p The objec the use of	is deleted. The RMT Engine DOA and P EASA CT.5 RM Procedure P 2015-22 21/12/2015 Darameters without tive of this RMT is co f exceptional skills, ir	OA holders Standard PLANNING MILESTON Opinion n/a engine power onsider whether ditch	Harmonisation IES Commission IR n/a ning parameters can be att ne power.	FAA Decision n/a

Affected s	takeholders	DAHs				
Owner		EASA CT.5				
Priority	No	RM Procedure	Standard	Harmonisation	n/a	
		F	PLANNING MILESTON	IES		
SubT T	oR	NPA	Opinion	Commission IR	Decision	
2	021 Q1	2022 Q2	n/a	n/a	2023 Q1	



RMT.0561	-	of AMC-20 'in-flight e criteria'	entertainment (IFE),	lead-free soldering, harm	onisation of safety and
Level playing field	on airwo	orthiness for various s to the criteria for safe	systems that can be	d to those parts of AMC-20 installed on different airc oftware development, lead	raft categories, namely
Status Reference(s)	This RMT in the fin n/a	•	2019, it is included ir	n this draft EPAS for tracea	bility. It will be removed
Affected stak	eholders	AOC holders, POA	holders of aircraft a	nd equipment	
Owner		EASA CT.5			
Priority	No	RM Procedure	Standard	Harmonisation	n/a
		F	PLANNING MILESTO	NES	
SubT ToR		NPA	Opinion	Commission IR	Decision
	.0561 7/2015	2017-09 22/06/2017	n/a	n/a	2019 Q2

RMT.0586	Tyre pressure	monitoring system
11111.0300	iyie piessuie	monitoring system

SafetyThe specific objective of this RMT is to ensure that large aeroplanes tyres inflation pressures remainsSafetywithin the pressure specifications defined by the aircraft manufacturer.

The rulemaking proposal should consider better enforcing the operator's responsibility to ensure regular tyre pressure checks, and also the aircraft manufacturer's obligation to define the tyre pressure check procedures and intervals in the instructions for continued airworthiness (ICA); as different practices exist in terms of content and presentation of the information in the aircraft maintenance manual (AMM), it could be proposed to better standardise this ICA item among manufacturers and aircraft.

Since a tyre pressure check legal obligation would not always guarantee that the tyres are correctly inflated (e.g. air leakage in the tyre/wheel assembly, maintenance error or negligence, failure/inaccuracy of the inflation equipment, operator not correctly performing the regular checks, etc.), the rulemaking proposal should also include the installation of a tyre pressure monitoring system which will alert the pilots when a tyre pressure is abnormal or out of tolerance.

Status	Ongoing				
Reference	(s) n/a				
Affected s	takeholders	Aeroplane Operat	ors		
Owner		EASA CT.5			
Priority	No	RM Procedure	Standard	Harmonisation	n/a
		F	PLANNING MILESTO	INES	
SubT To	oR	NPA	Opinion	Commission IR	Decision
1 30	0/05/2017	2020 Q1	2021 Q1	2022 Q3	2022 Q3
2		n/a	n/a	n/a	2021 Q1



2021 Q1

2022 Q1

Design and Manufacture

2023 Q1

RMT.0671	Engine bir	d ingestion			
Safety	A US ARA requireme	•	n tasked to work o	n several improvements	to the bird ingestion
Status	This RMT i EPAS.	s completed, it is in	cluded in this draft Ef	PAS for traceability. It will	be removed in the fina
Reference(s)	n/a				
Affected stake	holders	DOA and POA hole	ders		
Owner		EASA CT.5			
Priority	No	RM Procedure	Standard	Harmonisation	FAA
		F	PLANNING MILESTON	ES	
SubT ToR		NPA	Opinion	Commission IR	Decision
					2010/014/0
RMT.0 30/05,		2017-16 02/10/2017	n/a	n/a	2018/014/R 14/12/2018
30/05,	/2017	02/10/2017			
30/05, RMT.0686	/2017 HP rotor i The object	02/10/2017 ntegrity and loss-of-	-load (due to shaft fa		14/12/2018
30/05, RMT.0686 Safety	/2017 HP rotor i The object	02/10/2017 ntegrity and loss-of - tive of this RMTis to	-load (due to shaft fa	ilure)	14/12/2018
30/05, RMT.0686 Safety Status	/2017 HP rotor in The object issues for i	02/10/2017 ntegrity and loss-of - tive of this RMTis to	-load (due to shaft fa	ilure)	14/12/2018
	/2017 HP rotor in The object issues for i Ongoing n/a	02/10/2017 ntegrity and loss-of - tive of this RMTis to	-load (due to shaft fa	ilure)	14/12/2018
30/05, RMT.0686 Safety Status Reference(s)	/2017 HP rotor in The object issues for i Ongoing n/a	02/10/2017 ntegrity and loss-of- tive of this RMTis to new designs.	-load (due to shaft fa	ilure)	14/12/2018
30/05, RMT.0686 Safety Status Reference(s) Affected stake Owner	/2017 HP rotor in The object issues for i Ongoing n/a	02/10/2017 ntegrity and loss-of - tive of this RMTis to new designs. DAHs	-load (due to shaft fa	ilure)	14/12/2018
30/05, RMT.0686 Safety Status Reference(s) Affected stake	/2017 HP rotor in The object issues for i Ongoing n/a holders	02/10/2017 ntegrity and loss-of- tive of this RMTis to new designs. DAHs EASA CT.5 RM Procedure	-load (due to shaft fa review and amend C	ilure) S-E 840 and CS-E 850 to a Harmonisation	14/12/2018

RMT.06	90 Regular u	update of CS-STAN			
Efficien portion					
Status	Ongoing				
Referen	nce(s) n/a				
Affecte	d stakeholders	n/a			
Owner		EASA CT.5			
Priority	n/a	RM Procedure	Standard	Harmonisation	n/a
PLANNING MILESTONES					
SubT	ToR	NPA	Opinion	Commission IR	Decision
	RMT.0690 09/06/2016	2020 Q3	n/a	n/a	2021 Q1

n/a

n/a



RMT.0695	Non-ETO	PS operations using	performance class A	eroplanes with a MOPS	C of 19 or less	
Level playing field	The objective is to accommodate new business-jet aeroplanes operated by European CAT operators in the 180' non-ETOPS category					
Status	Ongoing					
Reference(s)	n/a					
Affected stake	holders	DOA holders, Air	operators			
Owner		EASA FS.2				
Priority	No	RM Procedure	Standard	Harmonisation	n/a	
			PLANNING MILESTON	ES		
SubT ToR		NPA	Opinion	Commission IR	Decision	
RMT.0 15/12		2017-15 25/09/2017	2019-02 22/02/2019	2020 Q2	2020 Q2	
Safety	researc	new engine designs. Pilots have little or no means to detect and/or avoid it, especially at night. A research is proposed in order to better detect the presence of ice crystal icing and to develop an equipment suitable to detect such a phenomenon.				
Status	Ongoin	g				
Reference(s)	n/a					
Affected stake	holders	CAT				
Owner		EASA SM.0.1				
			EXPECTED OUTPUT			
Deliverable(s) Report		Timeline 2022				
				2022		
RES.014	Air data	Air data enhanced fault detection & diagnosis				
Safety	-	o new fault detection ng types:	on & diagnosis (FDD)	and fault tolerant contro	I (FTC) methods of the	

 data-based (i.e. model free-methods), or a combination of both types. 			
Status	Ongoing		
Reference(s)	n/a		
Affected stakeholders		CAT	
Owner		EASA SM.0.1	
		EXPECTED OUTPUT	
Deliverable(s)			Timeline
Report			2022

• model-based analytical redundancy (e.g. virtual sensors),



RES.017	Icing hazard linked to Super cooled Large droplet (SLD)				
Safety	Characterisation of phenomena (SLD icing) and analysis of impact/mitigation for safety in order to develop relevant airworthiness standards and means of compliance.				
Status	Ongoing				
Reference(s)	n/a				
Affected stakeho	lders CAT				
Owner					
	EXPECTED OUTPUT				
Deliverable(s)	Timeline				
Report	2022				
EVT.0007	Evaluation on Regulation 748/2012				
Efficiency/prop ortionality	Evaluation of several aspects of the Regulation, including continued validity of type certificates issued by MS on the basis of bilateral agreements with third countries (Art. 3 (a)(1) of Regulation (EU) No 748/2012).				
Status	Ongoing				
Reference(s)	n/a				
Affected stakeho	Iders Part-21 organisations (DO, PO, ETSO, etc), NAA, EASA				
Owner	EASA CT.5.1				
	EXPECTED OUTPUT				
Deliverable(s)	Timeline				

In addition to the above RMTs, the following RMT is directly relevant to Design and Production:

RMT.0018 Installation of parts and appliances that are released without an EASA Form 1 or equivalent

The full description for this action is included in Chapter 10.



10 Maintenance and Continuing Airworthiness management

This chapter includes all actions that are relevant to maintenance and continuing airworthiness management, for the drivers safety, efficiency/proportionality and level playing field.

Issue/rationale

Like in the case of design and manufacture improvements, maintenance improvements may limit the probability and/or severity of technical failures. Many fatal accidents involve some sort of technical failure, in many cases not properly managed during flight, thus making it a precursor of other types of accident. This does not necessarily mean that the technical failure was the direct cause of the accident, but that a system component failure was identified in the sequence of events in a number of serious incidents and accidents over the past years. Handling of technical failures in this context means the ineffective handling of a non-catastrophic technical failure by the flight crew. This could be an engine failure, an avionics system failure or some other recoverable technical failure. The cause of the accident is usually the result of a combination of circumstances and events that can only be understood after reading the investigation report. Specific analysis work is ongoing to identify the systemic safety issues that may be present in the maintenance domain. Non-accident data will be used for the analysis.

Certain existing requirements are either not efficient or not proportionate to the risks involved.

In terms of level playing field rules may need to be harmonised within the EU as well as with the main international trade partners in order to either ensure fair competition or facilitate the free movement of goods, persons and services.

What we want to achieve

Increase safety by continuously assessing and improving risk controls related to maintenance. Increase proportionality and efficiency in the continuing airworthiness field. Harmonise requirements where this ensures fair competition or facilitates the free movement of goods, persons and services.

How we monitor improvement

Continuous monitoring of safety issues identified in the Safety Risk Portfolios for the different types of air operations (see ASR 2018). The EASA ABs will give feedback on the effectiveness of the actions in terms of efficiency/proportionality and level playing field.



Maintenance and Continuing Airworthiness Management

How we want to achieve it: actions

_

RMT.0018	Installation of parts and appliances that are released without an EASA Form 1 or equivalent
Efficiency/pro portionality	 The intent of this task is: to provide a consistent interpretation of the definition of 'parts & appliances' and other terms used in the various rules; to develop criteria for the acceptance of parts and appliances with different production background for installation in certified aircraft; to create a parts classification for commercial parts, allowing an installer to install commercial parts on a type-certified product without having to obtain parts manufactured under a POA. This proposal will also allow manufacturers to continue to use parts now categorised as commercial parts in their type designs. The added benefit of the proposal is to have the manufacturers identify for EASA approval the commercial parts they intend to use; to develop criteria for production and release of parts and appliances proportionate to the potential impact on safety as determined in the design certification process; to develop the draft amendments to Regulations (EU) Nos 748/2012 and 1321/2014 as necessary to incorporate the above concepts and integrate the existing alleviations for sailplanes and European light aircraft (ELA); to develop the necessary AMC and GM to accompany the amendments to the regulations; to develop AMC and GM to support the interpretation of the above-mentioned provisions in the Basic Regulation related to parts and appliances; and

Status		Ongoing				
Refere	nce(s)	n/a				
Affecte	ed stakeh	olders	DAHs, POA holders, aircraft operators, AMOs (Part-145 and Part-M Subpart F) and maintenance personnel			
Owner			EASA FS.1			
Priority	/ 1	No	RM Procedure	Standard	Harmonisation	n/a
PLANNING MILESTONES						
SubT	ToR		NPA	Opinion	Commission IR	Decision
	RMT.00 01/11/2	-	2017-19 14/12/2017	2019 Q3	2020 Q3	2020 Q3

to elaborate the AMC and GM related to standard parts.



	Amendn	Amendments (IR and AMC & GM) in line with the process of granting foreign Part-145 approvals					
	Streamli	ne the approval proce	ess.				
Level playing field							
Status	Ongoing						
Reference(s)	n/a						
Affected stak	eholders	AMOs (Part-145)					
Owner		EASA FS.1					
Priority	No	RM Procedure	Standard	Harmonisation	n/a		
		F	PLANNING MILESTON				
SubT ToR		NPA	Opinion	Commission IR	Decision		
(145	.0096 .023) 6/2008	2013-12 11/07/2013	n/a	n/a	2020 Q3		
RMT.0097	Function	s of B1 and B2 suppo	rt staff and responsi	bilities			
	Introduc potentia	e principles for incre	ased robustness of t fying the roles and re	bilities ne maintenance certificat sponsibilities of certifying			
Safety	Introduc potentia	e principles for increa I 'safety gaps' by clari staff, both in line and	ased robustness of t fying the roles and re	ne maintenance certificat			
Safety Status	Introduc potentia 'sign-off'	e principles for increa I 'safety gaps' by clari staff, both in line and	ased robustness of t fying the roles and re	ne maintenance certificat			
Safety Status Reference(s)	Introduc potentia 'sign-off' Ongoing n/a	e principles for increa I 'safety gaps' by clari staff, both in line and	ased robustness of t fying the roles and re	ne maintenance certificat			
Safety Status Reference(s) Affected stak	Introduc potentia 'sign-off' Ongoing n/a	e principles for increa l 'safety gaps' by clari staff, both in line and	ased robustness of t fying the roles and re	ne maintenance certificat			
Safety Status Reference(s) Affected stak Owner	Introduc potentia 'sign-off' Ongoing n/a	e principles for increa l 'safety gaps' by clari staff, both in line and Part-145 MOs	ased robustness of t fying the roles and re	ne maintenance certificat			
Safety Status Reference(s) Affected stak Owner	Introduc potentia 'sign-off' Ongoing n/a eholders	e principles for increa l 'safety gaps' by clari staff, both in line and Part-145 MOs EASA FS.1 RM Procedure	ased robustness of t fying the roles and re d base maintenance.	ne maintenance certificat sponsibilities of certifying Harmonisation	staff, support staff and		
RMT.0097 Safety Status Reference(s) Affected stak Owner Priority SubT ToR	Introduc potentia 'sign-off' Ongoing n/a eholders	e principles for increa l 'safety gaps' by clari staff, both in line and Part-145 MOs EASA FS.1 RM Procedure	ased robustness of t fying the roles and re d base maintenance. Standard	ne maintenance certificat sponsibilities of certifying Harmonisation	staff, support staff and		



RMT.0217	CAMOs'	and Part-145 organis	ations' responsibiliti	ies			
Safety	the resp	Establishment of the principles to mitigate the risks linked to a faulty assessment and coordinatio the responsibilities of CAMOs and Part-145 organisations, especially in complex, multi-tier subcontracted maintenance.					
Status	This task	is de-prioritised in ac	ccordance with criter	ia described in Chapter 3.			
Reference(s)	n/a						
Affected stak	eholders	Air operators and	CAMOs				
Owner		EASA FS.1					
Priority	No	RM Procedure	Standard	Harmonisation	n/a		
			PLANNING MILESTON				
SubT ToR		NPA	Opinion	Commission IR	Decision		
	.0217 3/2013	2014-27 02/12/2014	tbd	tbd	tbd		
RMT.0276	Tashaisa	al records					
KIVI1.0276	rechnica	al records					
Safety	assessm	Clarification of criteria for preventing incomplete records. Incomplete records may lead to a wron assessment of the airworthiness status of the product with a consequent safety risk, development of back-to-birth concept, components traceability, and use of radio frequency identification device (RFIDs).					
	This RMT will be completed in 2019, it is included in this draft EPAS for traceability. It will be removed in the final EPAS.						
Status			2019, it is included in		bility. It will be removed		

Owner

• • • • • • • •					
Priority	y No	RM Procedure	Standard	Harmonisation	n/a
		P	LANNING MILESTONE	S	
SubT	ToR	NPA	Opinion	Commission IR	Decision
	RMT.0276 28/11/2011	2014-04 07/02/2014	13/2016 17/11/2016	2019 Q3	2019 Q3



RMT.0	393 Mair	tenance check flights				
Establish operational requirements and crew competence criteria for the performanc Safety Maintenance Check Flights (MCFs) to reduce the probability of incidents and accidents of this ty flights. This will apply not only for AOC holders, but also for any operator performing these fligh						
Status	Status This RMT will be completed in 2019, it is included in this draft EPAS for traceability. It will be remove in the final EPAS.					
Refere	nce(s) n/a					
Affecte	ed stakeholders	Operators, CAN	1Os, and AMOs (Part-1	.45 and Part-M Subpart-F)		
Owner		EASA FS.1				
Priority	y No	RM Procedure	Standard	Harmonisation	n/a	
			PLANNING MILESTO	NES		
SubT	ToR	NPA	Opinion	Commission IR	Decision	
	MDM.097(a)8 04/04/2011	&(b) 2012-08 30/07/2012	01/2017 08/03/2017	2019 Q3	2019 Q3	

RMT.0547	Task force for the review of Part-M for general aviation (PHASE II)						
Efficiency/pro portionality	— Light — Defeo	 The following important topics are part of this task: Light Part-M; Defect management; and Time between overhaul (TBO) extension. 					
Status Reference(s)	This RMT in the fina n/a	•	2019, it is included in	n this draft EPAS for traceat	pility. It will be removed		
Affected stake	holders	AMOs (Part-145 a CAs	and Part-M Subpart	F), CAMOs, operators other	r than airlines, GAs and		
Owner		EASA FS.1					
Priority	Yes	RM Procedure	Standard	Harmonisation	n/a		
		F	PLANNING MILESTO	NES			
SubT ToR		NPA	Opinion	Commission IR	Decision		
RMT.(23/10)547)/2012	2015-08 09/07/2015	05/2016 13/04/2016	2019 Q2	2019 Q2		



RMT.0521	Airworthiness review process
Safety	Performance of a full review of the airworthiness review process to introduce an improved framework to mitigate the risks linked to a faulty airworthiness review with potential safety consequences where the actual airworthiness status of the aircraft is below the standard.

Status	Ongoing					
Referen	ce(s) n/a					
Affected	d stakeholders	Air operators, CA	MOs and CAs			
Owner		EASA FS.1				
Priority	No	RM Procedure	Standard	Harmonisation	n/a	
			PLANNING MILEST	ONES		
SubT	ToR	NPA	Opinion	Commission IR	Decision	
	RMT.0521/2 07/05/2013	2015-17 05/11/2015	2021 Q3	2022 Q3	2022 Q3	

RMT.0555	Control	of Suppliers					
SafetyThe objective of this task is to include requirements and guidance material to establish component supplier evaluation procedures in order to help organisations to: · reduce risks associated to the use of external suppliers; · reduce burden and costs associated to the evaluation of suppliers which serve to more than o maintenance organisation.							
	The working group should assess whether already existing industry standards and accreditation programmes such as AC00-56A, ASA 100, and AS/EN 9120 could be accepted as an alternative method of compliance for the maintenance organisation.						
Status	This RMT EPAS.	T is completed, it is in	cluded in this draft E	PAS for traceability. It will	be removed in the final		
Reference(s)	n/a						
Affected stak	eholders	Maintenance orga	inisations, CAs				
Owner		EASA FS.1					
Priority	No	RM Procedure	Standard	Harmonisation	n/a		
		F	PLANNING MILESTON	IES			
SubT ToR		NPA	Opinion	Commission IR	Decision		
	145.017 4/2011	NPA 2012-03 12/04/2012	12/2013 10/12/2013	01/08/2018	2019/009/R 28/03/2019		



RMT.0588	Aircraft c	ontinuing airworthir	ness monitoring — R	eview of key risk element	S
Safety	review th monitorin assess: — if the annu — the a	ne current principle ng', and the related (e requirements adec ual reviews to ensure appropriateness of ea	s specified in AMC GM1 M.B.303(b) and quately address the that all regulatory re	ding Standardisation feedb 3 M.B.303(b) 'Aircraft co Appendix III to GM1 M.B processing of key risk ele ferences remain up to dat he need for additional KR uded.	ontinuing airworthiness .303(b). In particular, to ements (KREs) requiring te; and
Status	Ongoing				
Reference(s)	AMC3 M.	B.303(b), GM1 M.B.3	803(b) and Appendix	III to GM1 M.B.303(b)	
Affected stake	holders	CAs			
Owner		EASA FS.1			
Priority	No	RM Procedure	Standard	Harmonisation	n/a
			PLANNING MILESTON	IES	
SubT ToR 2021 (NPA 2022 Q1	Opinion n/a	Commission IR n/a	Decision 2023 Q1
	Develor Such hi	o new safety promot gh-profile safety issu	ion material on highes es are to be determin	-profile maintenance safe profile safety issues in th ned from important risks i rom EASA stakeholders.	e maintenance domain
SPT.104 Safety Status	Develor Such hi	o new safety promot gh-profile safety issu , accidents/serious ir	ion material on highes es are to be determin	profile safety issues in th ned from important risks i	e maintenance domain
Safety	Develop Such hig process	o new safety promot gh-profile safety issu , accidents/serious ir	ion material on highes es are to be determin	profile safety issues in th ned from important risks i	e maintenance domain
Safety Status	Develop Such hig process Ongoing n/a	o new safety promot gh-profile safety issu , accidents/serious ir	ion material on highes es are to be determin	profile safety issues in th ned from important risks i	e maintenance domain
Safety Status Reference(s)	Develop Such hig process Ongoing n/a	o new safety promot gh-profile safety issu , accidents/serious ir g	ion material on highes es are to be determin	profile safety issues in th ned from important risks i	e maintenance domain
Safety Status Reference(s) Affected stakel	Develop Such hig process Ongoing n/a	o new safety promot gh-profile safety issu , accidents/serious ir g	ion material on highes es are to be determin	profile safety issues in th ned from important risks i om EASA stakeholders.	e maintenance domain dentified from the SRM

In addition to the above RMTs, the following RMT is directly relevant to Maintenance and Continuing Airworthiness Management:

RMT.0690 Regular update of CS-STAN

The full description for this action is included in Chapter 9.



Finally, the below SPT is directly relevant to Maintenance and Continuing Airworthiness Management:

SPT.106 Preventing, detecting and mitigating fraud cases in Part-147 organisations.

The full description is included in **Chapter 5 Section 5.3.3**



11 Air Traffic Management / Air Navigation Services

Issue/rationale

There is still a lack of harmonised rules based on ICAO SARPs in order to ensure compliance with the essential requirements that apply to ATM/ANS. In addition, Regulation (EC) 552/2004 has been repealed, so new rules must ensure that ATM/ANS systems and their constituents are successfully designed, manufactured and installed. If not, the achievement of the overall objectives of ATM/ANS may be compromised.

What we want to achieve

Regulation (EU) 2017/373 requires the inclusion of additional requirements concerning flight procedure design, ATS, AIS/AIM. Safe and cost-efficient ATM/ANS provision also needs to ensure harmonised conformity assessment of their supporting systems and constituents, so that the equipment involved performs as expected during the intended operation. After the adoption of the new rules, implementation issues associated with ATM/ANS systems and constituents should decrease, especially those related to lack of interoperability and performance that may have an impact on operations.

ATM/ANS Services

Key Risk Areas

Priority 1	Priority 2	Priority 3	Priority 4
Airborne CollisionRunway Collision	 Runway Excursion Terrain Collision Injuries/Damages 	 Ground Collision Aircraft Upset Technical Failure Taxiway Excursion 	SecurityObstacle Collision

How we monitor improvement

The key risk areas and underlying safety issues will continue to be monitored as part of the ATM/ANS safety risk portfolio, with the support of the ATM CAG. The EASA ABs will provide feedback on the efficiency/proportionality of the actions.

How we want to achieve it: actions



RMT.0161 Conformity assessment

14/07/2014

25/10/2016

Efficiency/pr oportionality	Development and introduction of new technologies and systems that conform to agreed goals needs to be achieved in a harmonised and consistent manner. The general objective is to develop the requirements and guidance material for the declaration or certification of systems and constituents in a manner consistent with the existing process related to changes to the functional systems.					
Status	This RM ⁻	Γ is de-prioritised in ac	cordance with crite	ria described in Chapter 3.		
Reference(s)	n/a					
Affected stake	holders	•		tems and constituents, or nd CAs (including EASA)	ganisations maintaining	
Owner		EASA FS.4				
Priority	No	RM Procedure	Standard	Harmonisation	n/a	
		P	LANNING MILESTO	NES		
SubT ToR		NPA	Opinion	Commission IR	Decision	
tbd		tbd	tbd	tbd	tbd	

RMT.04	145	Technical requirements and operating procedures for airspace design, including flight procedure design Development of the necessary organisational and technical requirements on airspace design, thus ensuring that the specific safety objectives of the Basic Regulation are met. Basically, the scope of the task is to establish the requirements for the design of flight procedures and ATS routes, to support the implementation of PBN operations, and evaluate the need for extension to other airspace structures and flight procedure design. This will include an analysis of the need to include procedures for airspace design in the ATM/ANS certification scheme.					
Efficien oportio							
Status		Ongoing					
Referen	nce(s)	n/a					
Affecte	d stake	holders	MSs, CAs, ANSPs,	ADR operators and a	ir operators		
Owner			EASA FS.4				
Priority	/	Yes	RM Procedure	Standard	Harmonisation	n/a	
			F	PLANNING MILESTON	IES		
SubT	ToR		NPA	Opinion	Commission IR	Decision	
	RMT.0		2016-13	02/2018 08/03/2018	2020 Q1	2020 Q1	

08/03/2018



RMT.0464 Requirements for air traffic services

Efficiency/proTransposition of the relevant ICAO provisions on ATS. The objective is to establish a sufficient level of
harmonisation throughout the EU, based on mandatory and flexible requirements, and to define
proportionate and cost-efficient rules.

Status		Ongoing				
Refere	nce(s)	n/a				
Affecte	ed stakeh	olders	MSs, CAs, ANSPs, ATCOs	ATCOs, ADR opera	ators, aircraft operators, ti	rade unions, pilots and
Owner	r		EASA FS.4			
Priority	y	Yes	RM Procedure	Standard	Harmonisation	n/a
			P	PLANNING MILESTO	NES	
SubT	ToR		NPA	Opinion	Commission IR	Decision
	RMT.04	464	2016-09	03/2018	2020 Q1	2020 Q1
	09/07/	2014	14/09/2016	22/05/2018	2020 Q1	2020 Q1

RMT.0	469	Assessment of changes to functional systems by service providers in ATM/ANS and the oversign of these changes by CAs				
Safety Developm			nent of the necessary	AMC & GM for the s	ervice providers and the (CAs.
Status This RMT is expected to be completed it will be remov				•	included in this draft EPA	S for traceability. When
Refere	ence(s)	Refer als	o to RMT.0470 issued	19/06/2012		
Affect	ed stakel	holders	ANSPs, CAs			
Owner	r		EASA FS.4			
Priorit	y	No	RM Procedure	Standard	Harmonisation	n/a
			F	PLANNING MILESTON	IES	
SubT	ToR		NPA	Opinion	Commission IR	Decision
1	RMT.0 and RMT.0 19/06/	470	2014-13 24/06/2014	03/2014 16/12/2014	2017/373 08/03/2017	2017/001/R 08/03/2017
2			2017-10 28/06/2017	n/a	n/a	2019 Q4



RMT.0476 Regular update of SERA implementing rules (stemming from ICAO SL)

Efficien portior	ncy/pro nality					
Status		Ongoing				
Refere	nce(s)	n/a				
Affecte	d stake	holders	n/a			
Owner			EASA FS.4.2			
Priority	/	n/a	RM Procedure	Standard	Harmonisation	n/a
			F	PLANNING MILESTONES		
SubT	ToR		NPA	Opinion	Commission IR	Decision
	RMT.0 18/08/	-	2021 Q4 ⁶⁷	2022 Q3	2023 Q4	2023 Q4

RMT.047	77		Technical requirements and operational procedures for aeronautical information services and aeronautical information management					
Efficienc portiona		aeronautio	al information and	data, mainly based	uirements and AMC & GI I on the transposition of IC. mming from the SES implen	AO Annex 15 and ICAO		
Status		Ongoing						
Referen	ce(s)	n/a						
Affected	l stakeh	olders	MSs, CAs, ANSPs,	ADR operators and	air operators			
Owner			EASA FS.4					
Priority	١	ſes	RM Procedure	Standard	Harmonisation	n/a		
	PLANNING MILESTONES							
SubT	ToR		NPA	Opinion	Commission IR	Decision		
	RMT.04 11/10/2		2016-02 27/04/2016	2018-02 08/03/2018	2020 Q1	2020 Q1		

⁶⁷ Instead of the NPA, Article 15 will apply



RMT.0519	Regular up	odate of CS-ACNS			
Efficiency/pro portionality					
Status	Ongoing				
Reference(s)	n/a				
Affected stake	holders	n/a			
Owner		EASA CT.5			
Priority	n/a	RM Procedure	Standard	Harmonisation	n/a
			PLANNING MILESTON	ES	
SubT ToR		NPA	Opinion	Commission IR	Decision
	519		,	n/a	tbd
RMT.0 12/09/		tbd	n/a	11/ a	
	/2015 Regular uj			iance and guidance mate	
12/09/	/2015 Regular uj	pdate of the accept			
12/09/ RMT.0692 Efficiency/pro	/2015 Regular uj performar	odate of the accept nce indicators	able means of compli		erial on the safety (key)
12/09/ RMT.0692 Efficiency/pro portionality	/2015 Regular uj performar	odate of the accept nce indicators	able means of compli	iance and guidance mate	erial on the safety (key)
12/09/ RMT.0692 Efficiency/pro portionality Status	'2015 Regular u performar This task is n/a	odate of the accept nce indicators	able means of compli	iance and guidance mate	erial on the safety (key)
12/09/ RMT.0692 Efficiency/pro portionality Status Reference(s)	'2015 Regular u performar This task is n/a	odate of the accept nce indicators s merged into RMT.	able means of compli	iance and guidance mate	erial on the safety (key)
12/09/ RMT.0692 Efficiency/pro portionality Status Reference(s) Affected staked	'2015 Regular u performar This task is n/a	odate of the accept nce indicators s merged into RMT. n/a	able means of compli	iance and guidance mate	erial on the safety (key)
12/09/ RMT.0692 Efficiency/pro portionality Status Reference(s) Affected staked Owner	⁷ 2015 Regular up performar This task is n/a holders	pdate of the accept nce indicators s merged into RMT. n/a EASA.4.2 RM Procedure	able means of compli 0723 and will no longe	iance and guidance mate er appear in the final EPAS Harmonisation	erial on the safety (key)
12/09/ RMT.0692 Efficiency/pro portionality Status Reference(s) Affected staked Owner	/2015 Regular up performar This task is n/a holders n/a	pdate of the accept nce indicators s merged into RMT. n/a EASA.4.2 RM Procedure	able means of compli 0723 and will no longe Standard	iance and guidance mate er appear in the final EPAS Harmonisation	erial on the safety (key)



RMT.0719 Regular up		update of ATM/ANS rules (IRs/AMC & GM)			
Efficiency/pro portionality					
Status	Ongoing				
Reference(s)	n/a				
Affected stake	holders	n/a			
Owner		EASA FS.4.1			
Priority	n/a	RM Procedure	Standard	Harmonisation	n/a
		F	PLANNING MILESTO	INES	
SubT ToR		NPA	Opinion	Commission IR	Decision
18/08	/2017	20/12/2017 ⁶⁸	02/2018 8/3/2018	2020 Q1	2020 Q1

RMT.0723 Regular update of development of AMC & GM for SKPI (ATM performance IRs)					IRs)		
Efficiency/		Reference Period 3 An accelerated rulemaking procedure will be used, involving the NPA public consultation, but will not include a regulatory impact assessment (RIA), as per Article 16 of the Rulemaking Procedure, as the options are linked with low expected impact and no controversy. Ongoing. This RMT also includes the content from RMT.0692					
portionali	An acce include						
Status	Ongoing						
Reference	(s) n/a						
Affected s	takeholders	n/a					
Owner		EASA SM.1					
Priority	n/a	RM Procedure	Standard	Harmonisation	n/a		
		F	PLANNING MILESTON	NES			
SubT To	D R	NPA	Opinion	Commission IR	Decision		
	06/2018	2019 Q3	n/a	n/a	2020 Q2		

⁶⁸ ABs consultation

SPT.103	Develop new safety promotion material on high-	nrofile ATM safety issues
Safety	Develop new safety promotion material on high-p safety issues are to be determined from impor accidents/serious incidents and inputs from EASA s	profile safety issues for ATM. Such high-profile rtant risks identified from the SRM process,
Status	Ongoing	
Reference(s)	n/a	
Affected stakeh	olders CAT	
Owner	EASA FS.4	
	EXPECTED OUTPUT	
Deliverable(s)		Timeline
	, web-pages and/or applications	Timeline Continuous
	, web-pages and/or applications Loss of radar detection	

Status	Ongoing					
Reference(s)	n/a					
Affected stakeh	olders	ALL				
Owner		MS				
			EXPECTED	OUTPUT		
Deliverable(s)					Timeline	
Report					2020	

In addition to the above, the following RMTs are is also relevant for ATM/ANS:

RMT.0524	Data link services
RMT.0624	Remote aerodrome ATS
RMT.0639	Performance-based navigation implementation in the European ATM network (task completed)
RMT.0679	Revision of surveillance performance and interoperability (SPI)
RMT.0682	Implementation of the regulatory needs of the SESAR projects

The full description for these actions is included in **Section 15.3**.



Aerodromes

12 Aerodromes

This Chapter addresses aerodrome design and operations, as well as aerodrome operators.

Issue/rationale

Actions in this Chapter address safety, as well as efficiency/proportionality in terms of developing and maintaining of a legal framework commensurate with the complexity of ADR activities and management of potential risks. This Chapter also includes actions to ensure a level playing field on the basis of the regulatory requirements stemming from the Basic Regulation.

What we want to achieve

Ensure safety with sufficient flexibility for ADR operators to adjust to local conditions.

Flight

Ensure compliance with ICAO SARPs on the provision of AMS, maintain a uniform and high level of safety in the MSs and ensure a harmonised approach which will support the free movement of services within the MSs. Reduce the administrative burden especially for those providers providing AMS in different MSs.

Aerodromes and Groundhandling							
Key Risk Areas							
Priority 1	Priority 2	Priority 3	Priority 4				
 Ground Damage Aircraft Upset 	 Runway Excursion Obstacle Collision in 	 Terrain Collision Unsurvivable Aircraft 	 Airborne Collision Taxiway/Apron Excursion 				

Environment

How we monitor improvement

The key risk areas and underlying safety issues will continue to be monitored as part of the ADR and GH safety risk portfolio, with the support of the ADR CAG. The EASA ABs will provide feedback on the efficiency/proportionality of the actions

How we want to achieve it: actions

Runway Collision



Aerodromes

RMT.0485 Requirements for apron management services at ADRs					
Level playing field	any subco provision approach administr	ontractor to them). T of AMS, maintain a which will suppor rative burden esp	he changes are expect uniform and high levent the free moveme ecially for those	ed either by the ADR oper sted to ensure compliance yel of safety in the MSs ar nt of services within the providers providing AM ated as necessary to bring	with ICAO SARPs on the od ensure a harmonised MSs and reduce the IS in different MSs.
Status	Ongoing				
Reference(s)	n/a				
Affected stak	eholders	ADR operators an	d APs		
Owner		EASA FS.4			
Priority	No	RM Procedure	Standard	Harmonisation	n/a
		F	PLANNING MILESTOR	IES	
SubT ToR		NPA	Opinion	Commission IR	Decision
0465	0485 and 7/2012	2013-24 18/12/2013	02/2014 24/09/2014	2020 Q2	2020 Q4

RMT.0591 Regular update of ADR rules

Efficiency/pro The first stream is for the Update of CS, while the second (NPA planned for 2020 Q3) one is for the update of IR/AMC.

Status	Ongoing				
Refere	nce(s) n/a				
Affecte	ed stakeholders	n/a			
Owner		EASA FS4.3			
Priority	y n/a	RM Procedure	Standard	Harmonisation	n/a
		F	PLANNING MILESTO	NES	
SubT	ToR	NPA	Opinion	Commission IR	Decision
1	RMT.0591 29/07/2016	2019 Q4	n/a	n/a	2020 Q4
2		2020 Q3	2021 Q2	2021 Q4	2022 Q1



RMT.0703

14/0/2017

2018-14

17/12/2018

RMT.0638	Certification requirements for VFR heliports located at ADRs falling under the scope of the Basic Regulation					
Efficiency/pro portionality	Annex 14, Volume II, H	n level of safety at ADRs by Heliports; develop necessary Irsight of VFR heliports co-loo	CS and GM for design and,	if necessary, AMC & GN		
Status	This RMT will be comp in the final EPAS.	pleted in 2019, it is included in	n this draft EPAS for tracea	bility. It will be remove		
Reference(s)	ICAO Annex 14					
Affected stake	holders ADR oper	ators				
Owner	EASA FS.4	Ļ				
Priority	No RM Proce	edure Standard	Harmonisation	n/a		
		PLANNING MILESTO	NES			
SubT ToR	NPA	Opinion	Commission IR	Decision		
RMT.0 22/09,	/2014 01/08/20	17 n/a	n/a	2019 Q2		
22/09, RMT.0703	/2014 01/08/20 Runway safety	17 n/a ontain several recommenda				
22/09, RMT.0703	 (2014 01/08/20) Runway safety EAPPRI and EAPPRE c mitigate the risks. In the ADR domain, E/ 	17	tions to CAs, ADR operato on (EU) No 139/2014 ⁶⁹ and	rs and EASA in order t d in the relevant AMC a		
22/09, RMT.0703 Safety	 (2014 01/08/20) Runway safety EAPPRI and EAPPRE c mitigate the risks. In the ADR domain, E/ GM and CS many of the addressed. 	17 ontain several recommenda ASA had included in Regulati	tions to CAs, ADR operato on (EU) No 139/2014 ⁶⁹ and rever, there are some of th	rs and EASA in order t d in the relevant AMC a nem that have not bee		
22/09, RMT.0703 Safety Status	 (2014 01/08/20) Runway safety EAPPRI and EAPPRE c mitigate the risks. In the ADR domain, E/ GM and CS many of the addressed. 	ontain several recommenda ASA had included in Regulati hese recommendations; how	tions to CAs, ADR operato on (EU) No 139/2014 ⁶⁹ and rever, there are some of th	rs and EASA in order t d in the relevant AMC a nem that have not bee		
22/09, RMT.0703 Safety Status Reference(s)	 (2014 01/08/20) Runway safety EAPPRI and EAPPRE c mitigate the risks. In the ADR domain, E/ GM and CS many of the addressed. Ongoing. This RMT no n/a 	ontain several recommenda ASA had included in Regulati hese recommendations; how	tions to CAs, ADR operato on (EU) No 139/2014 ⁶⁹ and vever, there are some of th ay surface condition assess	rs and EASA in order t d in the relevant AMC a nem that have not bee		
22/09, RMT.0703 Safety Status Reference(s) Affected stake	 (2014 01/08/20) Runway safety EAPPRI and EAPPRE c mitigate the risks. In the ADR domain, E/ GM and CS many of the addressed. Ongoing. This RMT no n/a 	17 ontain several recommenda ASA had included in Regulati hese recommendations; how w includes RMT.0704 'Runw ators, AOC holders, GA, ANSI	tions to CAs, ADR operato on (EU) No 139/2014 ⁶⁹ and vever, there are some of th ay surface condition assess	rs and EASA in order t d in the relevant AMC a nem that have not bee		
	 (2014 01/08/20) Runway safety EAPPRI and EAPPRE c mitigate the risks. In the ADR domain, E/ GM and CS many of the addressed. Ongoing. This RMT no n/a holders ADR oper 	17 ontain several recommenda ASA had included in Regulati hese recommendations; how w includes RMT.0704 'Runw ators, AOC holders, GA, ANSI	tions to CAs, ADR operato on (EU) No 139/2014 ⁶⁹ and vever, there are some of th ay surface condition assess	rs and EASA in order t d in the relevant AMC a nem that have not bee		
22/09, RMT.0703 Safety Status Reference(s) Affected stake Owner	(2014 01/08/20) Runway safety EAPPRI and EAPPRE c mitigate the risks. In the ADR domain, E/GM and CS many of tl addressed. Ongoing. This RMT no n/a holders ADR oper EASA FS.4	17 ontain several recommenda ASA had included in Regulati hese recommendations; how w includes RMT.0704 'Runw ators, AOC holders, GA, ANSI	tions to CAs, ADR operato on (EU) No 139/2014 ⁶⁹ and vever, there are some of th ay surface condition assess Ps and CAs Harmonisation	rs and EASA in order t d in the relevant AMC nem that have not bee		

2019 Q2

2020 Q1

2020 Q1

⁶⁹ <u>Commission Regulation (EU) No 139/2014 of 12 February 2014 laying down requirements and administrative procedures related to aerodromes pursuant to Regulation (EC) No 216/2008 of the European Parliament and of the Council</u>



RMT.0705	Additio	n of a new requireme	ent for the handling	of dangerous goods at aero	odromes
Efficiency/pro portionality	requirec (EU) No	to designate approp 139/2014 does not o	priate areas for the contain any require) No 139/2014 (ADR.OR.D.C storage of dangerous good ment for the establishment gerous goods at the ADR.	ls. However, Regulatio
	operato	•	sonnel in the handl	No 139/2014, there is no dire ing of dangerous goods, in ent) of air operators.	•
		refore recommendec on (EU) No 139/2014		ssues by incorporating rele	vant ICAO provisions i
Status Reference(s)		< will be discontinued RMT.0728.	as a stand-alone RN	ለT. The new requirements እ	will be developed as
Affected stake	holders	ADR operators			
Owner		EASA FS.4			
	n/a	RM Procedure	n/a	Harmonisation	n/a
Priority					
Priority			PLANNING MILESTO	ONES	

RMT.0722	Provisio	n of aeronautical data	a by the ADR operat	or	
Safety	include t		oter 2 of ICAO Annex	2014 and of the related Al 14 and the provisions of In perator.	
Status Reference(s)	This task n/a	x is de-prioritised in ac	cordance with criter	ia described in Chapter 3.	
Affected stak	eholders	ADR operators			
Owner		EASA FS.4			
Priority	Yes	RM Procedure	Standard	Harmonisation	n/a
		F	PLANNING MILESTO	NES	
SubT ToR		NPA	Opinion	Commission IR	Decision
tbd		tbd	tbd	tbd	tbd



Aerodromes

SPT.102	Develop new safety promotion material on high-profile aerodrome and groundhandling safety issues
Safety	Develop new safety promotion material on high-profile safety issues for aerodromes and groundhandling. Such high-profile safety issues are to be determined from important risk identified from the SRM process, accidents/serious incidents, inputs from EASA stakeholders and groundhandling safety topics that have been defined by the groundhandling roadmap, including groundhandling safety topics stemming from the NBR.
Status	Ongoing
Reference(s)	n/a
Affected stakeh	olders CAT
Owner	EASA FS.4
	EXPECTED OUTPUT
Deliverable(s)	web-pages and/or applications Continuous
MST.029	Implementation of SESAR runway safety solutions
Safety HF	MSs should evaluate together with the ADR operators and ANSPs the needs for implementing th related SESAR solutions such as those related to ground situational awareness, airport safety ne vehicles and enhanced airport safety nets ⁷⁰ .
	These SESAR solutions designed to improve runway safety should be implemented as far as it i feasible. See SESAR Solutions Catalogue: <u>https://www.sesarju.eu/sites/default/files/solutions/SESAR Solutions Catalogue Ed2 2017.pd</u>
Status	Ongoing
Reference(s)	This EPAS action is aligned with the ATM MP's (Level 3 Ed 2018) action ATC02.9 Enhanced STCA i TMAs.

INAS		
Affected stakeholders	CAT/GA	
Owner	MS	
		EXPECTED OUTPUT
Deliverable(s)		Timeline
SPAS		2020

⁷⁰ See link <u>https://www.atmmasterplan.eu/exec/operational-changes</u>



Aerodromes

EVT.0012	Evaluation on Aerodrome Regulation
Efficiency/prop ortionality	Commission Regulation (EU) No 139/2014 – Aerodrome Regulation was adopted in 2014. Since 2018 rules are subject to monitoring through EASA Standardisation. An evaluation is envisaged to assess the relevance, effectiveness and efficiency of the rules.
Status	Ongoing
Reference(s)	n/a
Affected stakeho	Iders Aerodrome operators, CA
Owner	EASA FS.4.3
	EXPECTED OUTPUT
Deliverable(s)	Timeline
Evaluation report	2023



Groundhandling

13 Groundhandling

This Chapter addresses all groundhandling related aspects, with the exception of aerodrome design and operations, as well as aerodrome operators, being dealt with in the previous Chapter.

Issue/rationale

This risk area includes all groundhandling and apron management-related issues (aircraft loading, de-icing, refuelling, ground damage, etc.) as well as collision of the aircraft with other aircraft, obstacles or vehicles while the aircraft is moving on the ground, either under its own power or being towed. It does not include collisions on the runway. While it was not the accident outcome for any fatal accidents in the past years, the risk score warrants its inclusion as a key risk area in this domain.

What we want to achieve

Increase safety by continuously assessing and improving risk controls to mitigate the risks in the area of ground safety.

How we monitor improvement

The key risk areas and underlying safety issues will continue to be monitored as part of the ADR and GH safety risk portfolio, with the support of the ADR CAG. The EASA ABs will provide feedback on the efficiency/proportionality of the actions and on the effect on level playing field.

RMT.0728	Developm	ent of requirement	s for groundhan	dling	
Safety	to the NBI requireme	R. This will consider	operational req essary. Detailed o	vith the essential requirement uirements, organisational requ objectives and actions will be de Q1/2019.	irements and authority
Status	• th da • AE AE	ngerous goods at th DR operators to trai	ne ADR. n their personne g as sub-contract	or the delivery storage, dispe I in the handling of dangerous or (handling agent) of air opera	s goods, in the case the
Reference(s)	n/a				
Affected stak	eholders	CAs, groundhand groundhand s	•	oviders, aerodrome operato	rs, air operators and
Owner		EASA FS.4			
Priority	n/a	RM Procedure	AP	Harmonisation	n/a
				STONES	
SubT ToR		NPA	Opinion	Commission IR	Decision

How we want to achieve it: actions

In addition to the above, the following SPT is also directly relevant to Groundhandling:

SPT.102 Develop new safety promotion material on high-profile aerodrome and groundhandling safety issues

The full description for this action is included in Chapter 12.



This chapter includes all actions that are relevant to civil unmanned aircraft systems, for the drivers safety, efficiency/proportionality and level playing field.

Issue/rationale

Most of the EU MSs have adopted national regulations to *ensure safe operations* of UASs with MTOM below 150 kg. There are currently no harmonised rules at EU level, and UAS operations still depend on an individual authorisation from every MS, which is a burdensome administrative process that stifles business development and innovation. The NBR extends the scope of the EU competence to regulate UAS below the MTOM of 150 kg, also to allow free circulation of UASs throughout the EU.

While this task has multiple drivers due to its very nature, there are also very strong efficiency and level playing field aspects.

In order to ensure safe UAS operations, it is extremely important to manage the safe integration of UASs in the airspace. SJU has worked with the support of EASA and all relevant stakeholders on the development of what is named U-space⁷¹. U-space is a set of new services and specific procedures designed to support the safe, efficient and secure access to airspace for large numbers of drones. In 2017, SJU prepared the U-space Blue Print⁷² describing the vision for U-space. In addition, the European Roadmap for safe integration of drones in all airspace classes⁷³ was also prepared by SJU with EASA support and adopted by the EC. The ATM MP reflects the details about the integration of UAS in the EU airspace.

What we want to achieve

To create a level playing field in all EU MSs, using an operation-centric concept, which is proportionate and risk-and performance-based, so that all companies can make best use of UAS technologies to create jobs and growth. At the same time, to enable the safe integration of drones in the European airspace while maintaining a high and uniform level of safety.

How we monitor improvement

The EASA ABs will give feedback on the effectiveness of the activities.

How we want to achieve it: actions

⁷¹ U-space is the European name for Unmanned Traffic Management (UTM)

⁷² https://www.sesarju.eu/u-space-blueprint

⁷³ <u>https://www.sesarju.eu/sites/default/files/documents/reports/European%20ATM%20Master%20Plan%20Drone%20roadmap.pdf</u>



RMT.023	0 Introduc	ction of a regulator	ry framework for t	he operation of drones	
Safety	to 57 an — Op — Spe bef	d Annex IX to Regu en category: low-ri ccific operation ca ore flight	lation (EU) No 201 sk operation not ro tegory: medium-r	8/1139. There are three of equiring authorisation or of the second secon	uthorisation or declaration
	subtasks 1 Open 2 Certifi 3 Specif assess 4 Certifi 5 Certifi 6 Develo 7 Certifie For the r	were identified: and specific catego ied category with a fic category with st sment in AMC ied category with a ied category with d opment of adequa ed category with fu maintenance of the ITs have been cre	ory with developm mendments to IAV andard scenarios i mendments to CS- levelopment of a n te rules to enable to irther amendment e Regulation and th	ent of new, dedicated imp V, CAW, FCL, OPS, SERA, A n appendix to implement ETSO and CS-36 ew CS-UAS J-space implementation s to ATM/ANS, ATCO, SER	ADR, ATM/ANS ing act and pre-defined risk
Status	Ongoing	-			
Reference					
Affected	stakeholders		n community, mo	-	tions), UAS manufacturers, ATM/ANS providers, ADR
Owner		EASA CT.5			
Priority	Yes	RM Procedure	ST/DP	Harmonisation	n/a
			PLANNING MILE	STONES	
SubT	ToR	NPA	Opinion	Commission IR	Decision
1	22/12/2016	04/05/2017	01/2018 06/02/2018	2019 Q1	2019 Q2
2		2020 Q1	2021 Q2	2023 Q2	2023 Q3
3		2019 Q2 ⁷⁴	n/a	n/a	2019 Q2
4		2020 Q4	n/a	n/a	2021 Q4
5		2020 Q4 ⁷⁵	n/a	n/a	2021 Q2
6		2019 Q4 ⁷⁶	2019 Q4	2020 Q4	2021 Q1
7		2022 Q1	2023 Q1	2024 Q3	2024 Q4

⁷⁴ Instead of an NPA, Article 15 will apply

⁷⁵ Instead of an NPA, Article 15 will apply

⁷⁶ Instead of an NPA, Article 15 will apply



RMT.0729	Regular u	pdate of Regulation	2019/xxxx (drones	in the open and specific ca	ategory)
Efficiency/pro portionality					
Status	Ongoing				
Reference(s)	n/a				
Affected stake	holders	n/a			
Owner		EASA CT.5			
Priority	n/a	RM Procedure	DP	Harmonisation	n/a
			PLANNING MILESTC	ONES	
SubT ToR		NPA	Opinion	Commission IR	Decision
2019 (ຸ 22	2021 Q1 ⁷⁷	2022 Q1	2023 Q2	2023 Q3

	category				
Efficiency/pro portionality					
Status	Ongoing				
Reference(s)	n/a				
Affected stake	holders	n/a			
Owner		EASA CT.5			
Priority	n/a	RM Procedure	Standard	Harmonisation	n/a
			PLANNING MILESTON	IES	
SubT ToR		NPA	Opinion	Commission IR	Decision
2019	Q2	2020 Q2	n/a	n/a	2020 Q3

⁷⁷ Instead of an NPA, Article 15 will apply.



SPT.091	Europea	an safety promotion on civil drones
	Coordin	nate European activities to promote safe operation of drones to the general public.
Safety		
Status	Ongoing	g
Reference(s)	n/a	
Affected stakeh	olders	Drone operators
Owner		SPN
		EXPECTED OUTPUT
Deliverable(s)		Timeline
Safaty Dramatia	n material	2021

RES.015	Vulnerability of manned aircraft to drone strikes				
Safety	Assessment of the potential collision threats posed by drones to manned aircraft and evaluation of their estimated impacts; establishment of a risk model to support regulatory and operational stances to be validated by means of a comprehensive set of simulated impact tests.				
Status	Ongoing				
Reference(s)	n/a				
Affected stakeholde	Air operators in CAT & NCC, SPO, HE, GA				
Owner	SM.0.1				
	EXPECTED OUTPUT				
Deliverable(s)	Timeline				
Report	2022				

RES.022	SESAR 2020 research projects aiming to safely integrate drones in the airspace				
Safety	The following research activities are being addressed under the SESAR 2020 programme: surface operations by RPAS (PJ.03a-09); IFR RPAS Integration (PJ. 10-05) and airborne detect and avoid systems supporting integrated RPAS operations (PJ.13-01-01) ⁷⁸ .				
Status	Ongoing				
Reference(s)	SESAR solution PJ.03a-09, PJ.10-05 and PJ.13-01-01				
Affected stakeh	olders RPAS				
Owner	SESAR				
	EXPECTED OUTPUT				
Deliverable(s)	Timeline				
Report	2022				

⁷⁸ More details about the related research projects can be found in <u>https://www.atmmasterplan.eu/data/sesar_solutions</u>



		un la verte municate en 11 en ese					
RES.023	SESAR e	ESAR exploratory projects on U-space					
Safety		SESAR JU has launched U-space exploratory research as steps towards realising the European Commission's U-space vision for ensuring safe and secure access to airspace for drones.					
		The ER projects complete their work in 2020, there will be others in ER4 for the U3 and U4 services and capabilities.					
Status	Ongoing						
Reference(s)	SESAR ⁷⁹						
Affected stakeh	olders	RPAS/drones					
Owner		SESAR					
		EXPECTED OUTPUT					
Deliverable(s)		Timeline					
Research report	S	2020					

⁷⁹ <u>https://www.sesarju.eu/news/sesar-launches-u-space</u>



This Chapter addresses the safe integration of new technologies and innovative solutions into the aviation system, with the exception of civil drones, which are addressed in the previous Chapter.

While many of the technologies and innovations emerging in the aviation industry bear significant potential to further improve the level of safety, EPAS gives due consideration to the safety issues derived from new technologies, new operational concepts or novel business models.

In the ATM domain, SESAR covers the development of new technologies for a better management of Europe's airspace as well as their contribution to the achievement of the SES goals and safety targets.

What we want to achieve

Facilitate European emerging technologies and innovative concepts, while ensuring their safe integration into the aviation system.

15.1 New business models

Issue/rationale

This section addresses risks related to new and emerging business models arising from the increased complexity of the aviation industry, the number of interfaces between organisations, their contracted services and regulators. Some new business models are emerging: the increased demand for flying in the cities, urban air mobility; the increased digitalisation in aviation systems, the introduction of more autonomous vehicles, platforms starting for single pilot operations and completely autonomous cargo aircraft. These will challenge the way authorities regulate and oversee the aviation system. CAs should work better together and EASA should evaluate whether the existing safety regulatory system adequately addresses current and future safety risks arising from new and emerging business models. Upon the request of MSs, EASA tasked a working group of CAs to assess airlines' emerging 'new' business models and to identify related safety risks posed to the aviation system.

The same approach could be applied to monitor the development of urban air mobility should the MSs request EASA to do so. So far no actions have been foreseen in this EPAS update.

Managing current and future safety risks arising from new and emerging business models is a strategic priority.

What we want to achieve

Increase safety by continuously assessing and mitigating risks posed by new and emerging business models.

How we monitor improvement

The EASA ABs will give feedback on the effectiveness of the activities.

How we want to achieve it: actions



RMT.0300	Operatio	Operations with airships							
	Developn	Development of rules for the operation of airships.							
Level playing field	Nonethel rulemakir	This task is put on hold due to resource restrictions, giving support to more pressing matters. Nonetheless, EASA is following the development still and envisages to integrate it into next available rulemaking opportunities. One such opportunity might exist, partially, with RMT.0731 'New Air Mobility'. EASA will ensure timely addressing the regulatory frame for the technologies at stake.							
Status	This task	is put on hold until fu	irther notice.						
Reference(s)	n/a								
Affected stake	eholders	Airship operators	and airship DOA	/POA holders					
Owner		EASA FS.2							
Priority	n/a	RM Procedure	n/a	Harmonisation	n/a				
			PLANNING MILI	STONES					
SubT ToR		NPA	Opinion	Commission IR	Decision				

RMT.0414	Operatio	Operations and equipment for high-performance aircraft (HPA)						
C. f. h.	Review	of IRs/AMC & GM in re	lation to the opera	tion of HPA.				
Safety	Nonethe	This task is put on hold due to resource restrictions, giving support to more pressing matters. Nonetheless, EASA is following the development still and envisages to integrate it into next available rulemaking opportunities.						
Status	This task	s is put on hold until fu	rther notice.					
Reference(s)	n/a							
Affected stake	holders	n/a						
Owner		EASA FS.2						
		RM Procedure	n/a	Harmonisation	n/a			
Priority	n/a	Rivi Procedure	ny a	Harmonisation	ny a			
Priority	nya		PLANNING MILEST		17 a			



MST.019	Better understanding of operators' governance structure
Safety	CAs to have a thorough understanding of operators' governance structure. This should in particular apply in the area of group operations.
	 Aspects to be considered include: extensive use of outsourcing, the influence of financial stakeholders, and controlling management personnel, where such personnel are located outside the scope of approval.
	Note: The Agency will support this MST by providing guidance on how to effectively oversee group operations.
Status	This MST will be completed in 2019, it is included in this draft EPAS for traceability. It will be removed in the final EPAS.
Reference(s)	n/a
Affected stakeho	ders CAT/HE
Owner	MS
	EXPECTED OUTPUT
Deliverable(s)	Timeline
Research/guidanc	e material 2019



15.2 New products, systems, technologies and operations

Issue/rationale

This section addresses the introduction of new designs, technologies or types of operation for which regulatory updates are needed, and highlights some of the most relevant trends that will influence aviation in the years to come.

What we want to achieve

Manage the safe introduction of new products, systems, technologies and operations and continuously assess and mitigate safety risks related to new designs, technologies or types of operation.

How we monitor improvement

The EASA ABs will give feedback on the effectiveness of the activities.

How we want to achieve it: actions

RMT.0266		d lift (tilt rotor) applic ons and maintenance)	•	ts (pilot licensing with synthe	tic training devices, air		
Safety	To develop IRs for powered lift pilot licensing and operations.						
	This task is put on hold due to resource restrictions, giving support to more pressing matters. Nonetheless, EASA is following the development still and envisages to integrate it into next available rulemaking opportunities. One such opportunity might exist, partially, with RMT.0731 'New Air Mobility'. EASA will ensure timely addressing the regulatory frame for the technologies at stake.						
Status	This task	is put on hold until fu	rther notice.				
Reference(s)	n/a						
Affected stake	holders	n/a					
Owner		EASA FS.0					
Priority	n/a	RM Procedure	n/a	Harmonisation	n/a		
		Р	LANNING MILES	TONES			
SubT ToR		NPA	Opinion	Commission IR	Decision		



RMT.0731	New air	New air mobility						
Level Playing Field	convention active co	The current European regulatory framework for aviation safety has initially been designed for conventional fixed wing aircraft, rotorcraft, balloons and sailplanes. The existing framework relies on active contribution of human beings, increasingly assisted by automation, be it on board or on the ground. Propulsion is mostly provided by piston or turbine engines using fossil fuels The introduction of new technologies and concepts of air transport (from multi-modal vehicles to autonomous vehicles) requires to revisit this framework. The purpose of this RMT is to develop rules or amend existing ones, where necessary, to address new technologies and operational air transport concepts, with the objective to be agile and to adapt the regulatory framework in line with Performance Based Regulations principles. The resulting framework should be operation centric and address the total aviation system.						
	autonom or ameno concepts Performa							
	concept	of operations decided ifferent streams of ac	by the time EPAS 2 tivity. For instance,	to the set-up of this RMT. 2020-2024 will be finalised. draft rules for gyroplanes (t	This RMT is expected to			
	one of s	such streams. A gen	eral principle that	es with multi-modal operati t will govern this RMT is ible, while ensuring legal ce	to ensure that futur			
Status	one of s	such streams. A gen	eral principle that	t will govern this RMT is	to ensure that futur			
	one of s requirem	such streams. A gen	eral principle that	t will govern this RMT is	to ensure that futur			
Reference(s)	one of s requirem New n/a	such streams. A gen	eral principle that	t will govern this RMT is	to ensure that futur			
Reference(s)	one of s requirem New n/a	such streams. A gen nents are technology-i	eral principle that	t will govern this RMT is	to ensure that futur			
Reference(s) Affected stake	one of s requirem New n/a	such streams. A gen nents are technology-n All	eral principle that	t will govern this RMT is	to ensure that futur			
Affected stake Owner	one of s requirem New n/a holders	All RM Procedure	eral principle that neutral where poss	t will govern this RMT is ible, while ensuring legal ce Harmonisation	to ensure that futur rtainty.			
Reference(s) Affected stake Owner	one of s requirem New n/a holders	All RM Procedure	eral principle that neutral where poss	t will govern this RMT is ible, while ensuring legal ce Harmonisation	to ensure that futur rtainty.			



15.3 SESAR deployment

Issue/rationale

Implement the regulatory needs of the SESAR pilot common projects.

What we want to achieve

The rationale behind the following actions is to cater for the regulatory needs of the SESAR common projects and other new technological advancements (e.g. such as, but not limited to U-space deployment, virtualisation and cloud-based architecture and remote tower operations) by enabling the implementation of new working methods and technologies developed by SESAR. Interoperability, civil-military cooperation and compatibility, and NextGen international compatibility (e.g. such as but not limited to ICAO GANP/ASBUS and NextGen) will form an integral part of EASA's work in impact assessment and future rulemaking. In addition, there is a need to initiate a consolidated and coordinated implementation support action that should look holistically to the implementation needs of the necessary enabling infrastructure to facilitate the achievement of the needed operational improvements and new ATM operational concepts.

How we monitor improvement

The EASA ABs will give feedback on the effectiveness of the activities.

How we want to achieve it: actions

RMT.0524 Data link services

The analysis of the technical issues observed during the deployment of Regulation (EC) No 29/2009 **Safety** resulted in various recommendations. This RMT will consider these regulatory recommendations to support the data link operations, including regulatory needs to support the ELSA Model D multifrequency implementation, the identification and development of an 'end-to-end certification/validation' framework and the clarification of the notion of 'best in class' performance and the related avionics improvements. Furthermore, to improve the predictability of the aircraft trajectory leading to less tactical interventions and improved deconfliction, this RMT will addresses elements of the 'Pilot Common Project' (PCP) air traffic management (ATM) functionality 6 requirements ('Initial Trajectory Information Sharing'); in particular, the regulatory support for the implementation of the 'Extended Projected Profile' (EPP).

Status Reference	Ongoing e(s) n/a				
Affected s	stakeholders	CAs, ANSPs, ADR	operators, Air opera	ators, manufacturers and AT	COs
Owner		EASA FS.4			
Priority	Yes	RM Procedure	Standard	Harmonisation	n/a
		F	PLANNING MILEST	DNES	
SubT T	oR	NPA	Opinion	Commission IR	Decision
	MT.0524 9/01/2018	2020 Q2	2021 Q2	2022 Q4	2022 Q4



RMT.0624 Remote aerodrome ATS

0/12/2014

2

3

23/03/2015

20/12/2017

2017-21

2020 Q4

Safety The development and introduction of new technologies enables provision of ADR ATS (aerodrome control service (ATC) or aerodrome flight information service (AFIS)) from geographically independent locations/facilities where direct visual observation is not available. Phase 1 of this RMT resulted in the publication of 'Guidance Material on the implementation of the remote tower concept for single mode of operation' (ED Decision 2015/014/R) and 'Requirements on Air Traffic Controller licensing regarding remote tower operations' (ED Decision 2015/015/R

amending Acceptable Means of Compliance and Guidance Material to Commission Regulation (EU) 2015/340).

Phase 2 of this RMT, which is ongoing, is extending the scope to cover also more complex modes of operations and to provide enhanced and extended generic guidance and requirements (hence not limited to any specific operational context/mode) for CAs, ANSPs and ADR operators, encompassing all possible types of operational modes/contexts, such as single and multiple mode of operation, contingency solutions and the use of new technical enablers which have traditionally not been available for ADR ATS. The general objective of the RMT is to ensure that ADR ATS provided from a remote tower meet the applicable EU and ICAO requirements and to ensure at least the same level of safety as when provided from a conventional tower.'

n/a

n/a

Status	Ongoing	5				
Reference(s) This EPAS task is aligned with the ATM MP's (Level 3 Ed 2018) action AOP14 (only single operation (Remote TWR).						
Affected	l stakeholders	CAs, ANSPs and a	erodrome operators			
Owner		EASA FS.4				
Priority	Yes	RM Procedure	Standard	Harmonisation	n/a	
		F	PLANNING MILESTO	NES		
SubT	ToR	NPA	Opinion	Commission IR	Decision	
1	RMT.0624	2015-04	n/a	n/a	2015/014/R & 2015/015/R	

n/a

n/a

03/07/2015

2019/004/R

19/02/2019

2021 Q4



RMT.0639	Perform	ance-based navigatio	n implementation in	the European ATM netw	ork	
Safety	PBN implementation that supports the improved performance of the EATMN, the uniform use of PBN specifications and functionalities. The optimal and safe use of airspace and the improved safe access to ADRs through the improved airspace design, arrival/departure routes and approach procedures would be ensured based on a common application of navigation specifications and functionalities.					
	used in t		e dates by which the	ecifications and functiona y are to be applied in acco		
Status	This RM ⁻	T was completed in No	ovember 2018, it is ir	ncluded in this draft EPAS	for traceability.	
Reference(s)	n/a					
Affected stake	holders	MSs, CAs, ANSPs a	and Air Operators			
Owner		EASA FS.4.2				
Priority	Yes	RM Procedure	Standard	Harmonisation	n/a	
		F	LANNING MILESTON	NES		
SubT ToR		NPA	Opinion	Commission IR	Decision	
RMT.0 25/06	0639 5/2014	2015-01 19/01/2015	10/2016 28/07/2016	01/08/2018	2018/013/R 21/11/2018	
RMT.0679	Revision	of surveillance perfo	rmance and interop	erability (SPI)		
RMT.0679 Revision of surveillance performance and interoperability (SPI) The current SPI Regulation (Regulation (EU) No 1207/2011 ⁸⁰) details the requirements for the carriag and operation of airborne surveillance equipment by both civil and State registered aircraft, and th dates by which qualifying aircraft must be equipped.						
			•	ASA decided not to proposion of the proposion of the publish an NPA but publish an NPA but provided the proposition of the prop		

The current SPI Regulation (Regulation (EU) No 1207/2011 ⁸⁰) details the requirements for tSafetyand operation of airborne surveillance equipment by both civil and State registered aircrading dates by which qualifying aircraft must be equipped.						
	Note: Based on the Cost-Benefit Analysis results, EASA decided not to propose significant changes t the present SPI Regulation. Therefore, EASA will not publish an NPA but prepare a report to th European Commission. However, there is a proposal to change the Regulation. In addition, EASA may decide to provide some GM on items already identified by the rulemakir group. Therefore, the date for the ED Decision is also kept.					
Status Reference(s)	This RMT in the fin n/a	•	2019, it is included	in this draft EPAS for traceal	pility. It will be removed	
Affected stake	holders	MS, CAs, ANSPs, a	aircraft operators an	d Air Traffic Controllers.		
Owner		EASA FS.4				
Priority	Yes	RM Procedure	Standard	Harmonisation	n/a	
			PLANNING MILESTO	NES		
SubT ToR		NPA	Opinion	Commission IR	Decision	
RMT.0679 18/03/2016 n/a n/a				n/a	2019 Q2	

⁸⁰ Commission Implementing Regulation (EU) No 1207/2011 of 22 November 2011 laying down requirements for the performance and the interoperability of surveillance for the single European sky (OJ L 305, 23.11.2011, p. 35).



RMT.0682	Implementation of the regulatory needs of the SESAR projects
Safety	The general objective of the task is the development of the implementing measures as required to enable the timely deployment of the ATM functionalities and other operational changes stemming from SESAR and the European ATM MP by addressing those issues which are not covered by existing RMTs.
	The initial purpose of this task is to address the implementation needs, among others and when known, of the following:
	 Extended arrival management (AMAN) in high-density terminal manoeuvring areas (TMAs); Airport integration and throughput;
	 Flexible airspace management and free route;
	 Network collaborative management;
	 Initial system-wide information management (SWIM);
	 Development of the requirements for the use of GBAS augmented GNSS to support CAT I/II/III operations;
	 Other new essential operational changes (e.g. user-driven prioritisation process (UDPP), trajectory-based tools, sector-based operations, etc.)

Status	This task is de-prioritised in accordance with criteria described in Chapter 3.							
Refere	nce(s)	n/a						
Affected stakeholders		MSs, CAs, ANSPs, Air Operators, ADR operators, POA holders						
Owner		EASA FS.4						
Priority	y N	No	RM Procedure	Standard	Harmonisation	n/a		
PLANNING MILESTONES								
SubT	ToR		NPA	Opinion	Commission IR	Decision		
	2019 Q4	1	tbd	tbd	tbd	tbd		



15.4 All-weather operations (AWOs)

Issue/rationale

AWOs are currently addressed by regulations in the following aviation domains: airworthiness, air operations, aircrew, aerodromes, air traffic management (ATM)/air navigation services (ANS) as well as in the standardised European rules of the air (SERA). The existing rules in these domains have a number of deficiencies that need to be addressed. Work on AWOs will allow to sufficiently address technological advancements, align with the ICAO SARPs (e.g. ICAO Annex 6 amendments introducing lower category (CAT) II and CAT III minima and the concept of operational credits, in particular for operations with vision systems), increase consistency of rules across different domains, carry out cross-domain risk assessments, ensure that better weather information is provided to pilots, as well as harmonise with the FAA and other regulators.

What we want to achieve

The European industry should be enabled to take full advantage of safety and economic benefits generated through new technologies and operational experience.

How we monitor improvement

Continuous monitoring of safety issues related to AWO will be ensured on the basis of the CAT Safety Risk Portfolio for CAT Airline and NCC Business aeroplane operations. The EASA ABs will give feedback on the effectiveness of the activities.

How we want to achieve it: actions



RMT.0379	All-weather operations
Safety	 Review and update the AWO rules in all aviation domains, as regards: possibility of applying safety performance principle in redrafting of current rules with the aim of allowing a better integration of new and future technologies supporting AWOs, as e.g. enhanced flight vision systems (EFVSs), synthetic vision systems (SVSs), synthetic vision guidance systems (SVGSs), combined vision systems (CVSs), head-up displays (HUDs); conventional low-visibility operations (LVOs), such as instrument landing system (ILS)-based CAT II and CAT III approach operations or low-visibility take-offs (LVTOs); other than AWO, such as CAT I operations using ILS, GLS or SBAS, or approach operations to higher minima using area navigation (RNAV)(GNSS), non-directional beacons (NDBs) or very high frequency (VHF) omnidirectional ranges (VORs); miscellaneous items, such as the improvement of existing rules text and the transposition of the new ICAO approach classification; harmonisation with bilateral partners (e.g. FAA) to the extent possible; introduction of operations with operational credits such as newly introduced SA CAT I⁸¹ not being yet part of the ICAO regulatory system.

Recommendations and consequent follow up actions to the Weather Information to Pilots Strategy Paper, itself an outcome of RMT.0379 are now being taken forward as a stand-alone project. Phase 2 (subtask 2) will address AWOs for helicopters.

Status	Ongoing						
Reference(s) n/a						
Affected stakeholders		POA holders, Air operators, ATOs, ADR operators and ATM/ANS					
Owner		EASA FS.2					
Priority	Yes	RM Procedure	Standard	Harmonisation	n/a		
PLANNING MILESTONES							
SubT ToR	1	NPA	Opinion	Commission IR	Decision		
1 RMT.0379 09/12/2015		2018-06 13/07/2018	2020 Q2	2022 Q2	2022 Q2		
2		2019 Q2	2020 Q2	2022 Q2	2022 Q2		

⁸¹ Special authorisation CAT I represents a type of LVOs operations with operational credits with the following provisions:

the decision height (DH) of an SA CAT I operation should not be lower than the highest of the minimum DH specified in the AFM (if stated), the applicable obstacle clearance height (OCH) for the category of aeroplane, the DH to which the flight crew is qualified to operate; or 150 ft; and

⁻ the lowest RVR minima to be used are specified vs approach lighting system and are typically between 400 and 700 (m).



16 Environmental protection

Environmental protection and sustainability are key challenges for the aviation industry, MSs, EC and EASA. Sustainable aviation is about combatting climate change, and reducing the health effects from aircraft noise and air pollution. This needs to be considered in the global context in order to ensure a level playing field such that European industry remains competitive in a rapidly changing world. Environmental standards are key to achieving this.

EASA is helping tackle the challenge of ensuring a cleaner, quieter and more sustainable future for the aviation system, including supporting the Carbon Offsetting and Reduction Scheme for International Aviation (CORSIA).

The information below reports on the status of environmental standards. For the full picture, including stakeholder actions and market-based measures, see the European Aviation Environmental Report⁸², which provides an overview of the historic, current and forecasted environmental performance of the European aviation sector.

As European standards are developed by reference to ICAO standards, the below actions are directly aligned with the ICAO process. The ICAO Committee on Aviation Environmental Protection (CAEP) agreed in February 2019 on a new non-volatile particulate matter (nvPM) emissions standard, and proposed improvements to the existing noise, aircraft engine emissions and aeroplane CO₂ emissions standards. The agreed updates to the environmental standards will need to be implemented into European legislation in order to become effective.

The actions to implement ICAO standards in Europe will be adjusted and detailed once the outcome of the ICAO adoption process is communicated in an ICAO State Letter.

⁸² www.easa.europa.eu/eaer



16.1 Noise, local air quality and climate change standards

Issue/rationale

Implement ICAO Annex 16 Volume I, Volume II and Volume III standards into the EASA regulation

What we want to achieve

- Implement the ICAO SARPs and guidance material resulting from the CAEP/11 work cycle into:
 - The Basic Regulation (2018/1139); and
 - The Implementing Rules (currently 748/2012); and
 - o The AMC & GM to the Implementing Rules; and
 - \circ The CS34, CS36 and CS CO_2.

How we monitor improvement

Continuous monitoring of ICAO adoption process

Continuous monitoring of ICAO / CAEP work related to Annex 16 Volume I, Volume II and Volume III

Monitoring of aviation environmental impact through the European Aviation Environmental Report (EAER)

How we want to achieve it: actions

RMT.0513 Environment	after CAE Align CS-3 NB: The b	Update CS 36 to refer to the environmental technical manual on noise certification as amended after CAEP Align CS-36 with the ICAO CAEP recommendations NB: The below timelines are related to the implementation of CAEP 10. The implementation of CAEP 11 will start in 2019 under this same RMT.					
Status	This task i	s merged into RMT.(0513 and will no long	ger appear in the final EPAS			
Reference(s)	CS-36						
Affected stake	holders	DOA and POA hol	ders				
Owner		EASA CT.4					
Priority	Yes	RM Procedure	Standard	Harmonisation	n/a		
		F	PLANNING MILESTO	NES			
SubT ToR		NPA	Opinion	Commission IR	Decision		
RMT.)513 &)514 /2016	2017-01 17/01/2017	09/2017 07/11/2017	2018 Q4	2018 Q4		
		2019 Q3	2020 Q4	2022 Q1	2022 Q1		



RMT.0514 Implementation of the CAEP amendments

Implement the ICAO SARPs and guidance material resulting from the CAEP/11 work cycle into:

- The Basic Regulation (2018/1139); and
- The Implementing Rules (currently 748/2012); and
- o The AMC & GM to the Implementing Rules; and
- The CS34, CS36 and CS CO₂.

NB: The below timelines are related to the implementation of CAEP/10 and CAEP/11 ICAO SARPs. The implementation of CAEP/10 ICAO SARPs (RMT.0513 and RMT.0514) should be finalised by 2019 Q2/Q3 for the AMC&GM to Part 21 and the CS34, CS36 and CS CO_2 . The implementation of CAEP/11 ICAOP SARPs will start in 2019 under the newly merged RMT.0514.

Status	Status Ongoing					
Referer	Reference(s) BR Article 9 and CS-34					
Affecte	d stakeholders	DOA and POA hol	ders			
Owner		EASA CT.4				
Priority	Yes	RM Procedure	Standard	Harmonisation	n/a	
		F	PLANNING MILESTON	ES		
SubT	ToR	NPA	Opinion	Commission IR	Decision	
	RMT.0513 & RMT.0514 13/06/2016	2017-01 17/01/2017	09/2017 07/11/2017	2018 Q4	2018 Q4	
		2020 Q1	2020 Q3	2022 Q1	2022 Q1	
RMT.05	Balance	the environmental ne	comply with ICAO st eds with safety and w	andards ith cost-efficient rules fo	r progressive phase-out	
RMT.05	Balance of halon.	the environmental ne	eds with safety and w			
	Balance of halon This RM EPAS.	the environmental ne	eds with safety and w	ith cost-efficient rules fo		
Status Referer	Balance of halon This RM EPAS.	the environmental ne Γ is completed, it is in	eds with safety and w	ith cost-efficient rules fo		
Status Referer	Balance of halon This RMT EPAS. nce(s) n/a ed stakeholders	the environmental ne Γ is completed, it is in	eds with safety and w	ith cost-efficient rules fo AS for traceability. It will		
Status Referer Affecte	Balance of halon. This RMT EPAS. nce(s) n/a ed stakeholders	the environmental ne Γ is completed, it is in AOC holders (larg	eds with safety and w	ith cost-efficient rules fo AS for traceability. It will		
Status Referer Affecte Owner	Balance of halon. This RMT EPAS. nce(s) n/a ed stakeholders	the environmental ne Γ is completed, it is in AOC holders (larg EASA CT.5 RM Procedure	eds with safety and w cluded in this draft EP e aircraft), AMOs (Par	ith cost-efficient rules fo AS for traceability. It will t-145) and POA holders Harmonisation	be removed in the final	
Status Referer Affecte Owner	Balance of halon. This RMT EPAS. nce(s) n/a ed stakeholders	the environmental ne Γ is completed, it is in AOC holders (larg EASA CT.5 RM Procedure	eds with safety and w cluded in this draft EP e aircraft), AMOs (Par Standard	ith cost-efficient rules fo AS for traceability. It will t-145) and POA holders Harmonisation	be removed in the final	



Appendix B: Deliverables expected in 2020

RES.018	Development of particulate matter (PM) regulations and guidelines
Environment	Acquire high-quality PM data, analysis, modelling and expert support for regulatory action.
Status	This task is merged into RES.024 and will no longer appear in the final EPAS.
Reference(s)	n/a
Affected stakehol	ders ALL
Owner	
	EXPECTED OUTPUT
Deliverable(s)	Timeline
Report	2022
RES.019	Aviation emissions support
	Obtain high-quality technical expert support on standardisation issues.
Status	This task is merged into RES.024 and will no longer appear in the final EPAS.
Reference(s)	n/a
Affected stakehol	ders CAT
Owner	
	EXPECTED OUTPUT
Deliverable(s)	Timeline
Report	2021
RES.024	Assessment of Environmental Impacts - Engine emissions
	Development of extended and more robust standards for the purpose of supporting the assessment of engine emissions. The emphasis shall be on robust methods for non-volatile particulate matter (nvPM) mass and number determination including, notably, particle size measurement and sampling techniques, consideration of the effect of both ambient conditions and volatile PM, and sensitivity and uncertainty analyses.
Status	New. This task now includes RES.018 and RES.019.
Reference(s)	n/a
Affected stakehol	ders DOA holders, Air operators (CAT)
Owner	SM.0.1
	EXPECTED OUTPUT
Deliverable(s)	Timeline
Report	2023



RES.025	Assessment of Environmental Impacts - Aircraft Noise
	 Development of extended and more robust standards for the purpose of supporting the assessment of <u>aircraft noise</u> footprints. The focus will be two-fold: extension of current helicopter noise models towards ensuring the coverage of current types of helicopters within the European fleet; extension of prevailing modelling approaches in view of the assessment of the noise footprint of new aircraft concepts prior to their certification – centred on supersonic aircraft and vertical take-off and landing (VTOL) aircraft.
Status	New
Reference(s)	n/a
Affected stakeho	ders DOA holders and organisations intending to develop new aircraft concepts (VTOL, supersonic etc.)
Owner	SM.0.1
	EXPECTED OUTPUT
Deliverable(s)	Timeline
Report	2023



16.2 Market Based Measures

Issue/rationale

The adoption of the Carbon Offsetting and Reduction Scheme for International Aviation (CORSIA) by the International Civil Aviation Organization (ICAO) in 2016 was the first time a single industry sector agreed to a global market-based measure in the field of climate action. It is forecast that CORSIA will mitigate around 2.5 billion tonnes of CO2 between 2021 and 2035, making CORSIA one of the largest carbon pricing instruments in the world in terms of greenhouse gas emissions coverage.

The CORSIA monitoring, reporting and verification system, which started on January 1st 2019, is important as it will establish the emissions baseline from which growth will be measured for the first carbon offsetting obligations in 2021.

Currently 78 States, representing 76% of international aviation activity, have volunteered to start offsetting their CO2 emissions under CORSIA in 2021; others will follow in 2027 when the scheme becomes mandatory.

What we want to achieve

Support the preparation of CORSIA implementation through the development of standard methods and tools for the assessment of global emission units and the related off-setting requirements.

How we monitor improvement

The EASA ABs will give feedback on the effectiveness of the activities.

How we want to achieve it: actions

RES.026	Marke	et-based Measures (ETS ⁸³ and CORSIA)
	Emissi Aviatio and m	sion and updating of existing capabilities for assessment of Market-based Measures (e.g. EU ons Trading System (ETS) and ICAO Carbon Offsetting and Reduction Scheme for International on (CORSIA)) notably to cater for new traffic data and forecasts, handling of novel scenarios neasures, ensuring their fitness-for-purpose and credibility for supporting critical policy- g both at European (EC, Member States) and international (ICAO) level.
Status	New	
Reference(s)	n/a	
Affected stakeh	olders	Air operators
Owner		SM.0.1
		EXPECTED OUTPUT
Deliverable(s)		Timeline
Report		2022

⁸³ <u>https://www.emissions-euets.com/carbon-market-glossary/872-european-union-emissions-trading-system-eu-ets</u>



Appendix A: Opinions and Decisions published in 2019

Appendix A: Decisions and Opinions published in 2019

Title of official		Task	
publication	Date	Number	Task Title
ED Decision 2019/001/R	28/01/2019	RMT.0698	Revision of the operational rules for sailplanes
ED Decision 2019/002/R	28/01/2019	RMT.0287	Updating Part-MED and related AMC and GM
ED Decision 2019/003/R	13/02/2019	RMT.0689	"PART-21 proportionality"
ED Decision 2019/004/R	19/02/2019	RMT.0624	Remote aerodrome ATS
ED Decision 2019/005/R	27/02/2019	RMT.0581	Loss of control prevention and recovery training
ED Decision 2019/006/R	27/02/2019	RMT.0069	Seat crashworthiness improvement on large aeroplanes — Dynamic testing 16g Additional airworthiness specifications for operations:
ED Decision 2019/006/R ED Decision 2019/006/R	27/02/2019 27/02/2019	RMT.0071 RMT.0560	Thermal/acoustic insulation material Halon — Update of Part-26 to comply with ICAO standards
ED Decision 2019/000/R	27/02/2019	RMT.0300	
ED Decision 2019/007/R	27/02/2019	RMT.0601	RAMP simplification Transposition of provisions on electronic flight bag from ICAO Annex 6
ED Decision 2019/009/R	28/03/2019	RMT.0135	B2L and L Part-66 aircraft maintenance licences Part-66 basic examinations performed by Part-147 maintenance
ED Decision 2019/009/R	28/03/2019	RMT.0697	training organisations (MTOs)
ED Decision 2019/009/R	28/03/2019	RMT.0555	Control of suppliers
ED Decision 2019/010/R	04/04/2019	RMT.0690	Regular update of CS-STAN
ED Decision 2019/011/R	30/04/2019	RMT.0519	Maintaining CS-ACNS
Opinion 01/2019	19/02/2019	RMT.0654	Revision of the balloon licensing requirements Easier access of General Aviation (GA) pilots to instrument flight
Opinion 01/2019	19/02/2019	RMT.0677	rules (IFR) flying
Opinion 01/2019	19/02/2019	RMT.0701	Revision of the sailplane licensing requirement Recorders installation and maintenance thereof — certification
Opinion 02/2019	22/02/2019	RMT.0249	aspects
Opinion 02/2019	22/02/2019	RMT.0271	In-flight recording for light aircraft Review of aeroplane performance requirements for CAT
Opinion 02/2019	22/02/2019	RMT.0296	operations Non-ETOPS operations using performance class A aeroplanes
Opinion 02/2019	22/02/2019	RMT.0695	with an MOPSC of 19 or less



Appendix B: Deliverables expected in 2020

Terms of Reference (ToRs):

Driver	Baseline Quarter	Task Number	Task Title	Count
	1	RMT.0194	Modernising the European pilot training system and improve the supply of competent flight instructors	1.0
Safety	1	RMT.0294	Data link recording retrofit for aircraft used in CAT	1.0
	1	RMT.0725	Rotorcraft chip detection system	1.0
	1	RMT.0726	Rotorcraft bird strike	1.0
Efficiency /	1	RMT.0392	Regular updates of OPS rules	1.0
Proportionality	2	RMT.0714	Enable the safe introduction of rotorcraft Fly-by-Wire technology	1.0
Level Playing	2	RMT.0495	FTL requirements for commercial operations other than CAT	1.0
field	3	RMT.0494	FTL requirements for CAT operations of helicopters	1.0



Notice of Proposed Amendments (NPAs):

Driver	Baseline Quarter	Task Number	Task Title	Count
	1	RMT.0118	Analysis of on-ground wings contamination effect on take-off performance degradation	1.0
	1	RMT.0120	Helicopter ditching and water impact occupant survivability	1.0
	1	RMT.0127	Pilot compartment view	1.0
	1	RMT.0586	Tyre pressure monitoring system	1.0
Safety	1	RMT.0595	Technical review and regular update of learning objectives and syllabi for commercial licences (IR)	1.0
Safety	2	RMT.0709	Prevention of catastrophic accidents due to rotorcraft hoists issues	1.0
	2	RMT.0711	Reduction in accidents caused by failures of critical rotor and rotor drive components through improved Vibration Health Monitoring Systems	1.0
	3	RMT.0544	Review of Part-147	1.0
	3	RMT.0710	Improvement in the survivability of rotorcraft occupants in the event of a crash	1.0
	1	RMT.0134	Rotorcraft AMC revision	1.0
	1	RMT.0508	Regular update of CS-CC	1.0
	1	RMT.0712	Enhancement of the safety assessment processes for rotorcraft designs	1.0
	2	RMT.0730	Regular update of the AMC/GM to Regulation 2019/xxxx (drones in the open and specific category)	
	2	RMT.0037	Regular update of CS-22	
	2	RMT.0128	Regular update of CS-27&29, CS VLR	
	2	RMT.0184	Regular update of CS-E	1.0
	2	RMT.0524	Data link services	1.0
Efficiency /	2	RMT.0605	Regular update of CS-LSA	1.0
Proportionality	2	RMT.0673	Regular update of CS-25	1.0
	3	RMT.0255	Review of Part-66	1.0
	3	RMT.0591	Regular update of aerodrome rules	1.0
	3	RMT.0687	Regular update of CS 23	1.0
	3	RMT.0688	Regular update of CS SIMD	1.0
	3	RMT.0690	Regular update of CS-STAN	1.0
	4	RMT.0230	Introduction of a regulatory framework for the operation of drones	1.0
	4	RMT.0624	Remote aerodrome ATS	1.0
	4	RMT.0668	Regular update of ATCO licencing rules (IR/AMC/GM)	1.0
	4	RMT.0682	Implementation of the regulatory needs of the SESAR common projects	1.0
TOTAL				28.0



Decisions:

Driver	Baseline Quarter	Task Number	Task Title	Count
	2	RMT.0196	Update of flight simulation training devices requirements	1.0
	2	RMT.0713	Reduction in human factors caused rotorcraft accidents	
			that are attributed to the rotorcraft design	1.0
Safety	3	RMT.0249	Recorders installation and maintenance thereof — certification aspects	1.0
	4	RMT.0127	Pilot compartment view	1.0
	4	RMT.0708	Controlled Flight into Terrain (CFIT) prevention with	
			Helicopter Terrain Avoidance Warning Systems (HTAWS)	1.0
	1	RMT.0643	Regular update of AMC-20	1.0
	1	RMT.0673	Regular update of CS-25	1.0
	2	RMT.0509	Regular update of CS-FC	1.0
	2	RMT.0519	Maintaining CS-ACNS	1.0
	2	RMT.0723	Development of AMC/GM for SKPI (ATM performance IR)	1.0
Efficiency /	2	RMT.0031	Regular update of AMC/GM to Part-21	1.0
Proportionality	3	RMT.0508	Regular update of CS-CC	1.0
	3	RMT.0730	Regular update of the AMC/GM to Regulation 2019/xxxx (drones in the open and specific category)	1.0
	4	RMT.0037	Regular update of CS-22	1.0
	4	RMT.0591	Regular update of aerodrome rules	1.0
	4	RMT.0605	Regular update of CS-LSA	
	3		Amendments (IR and AMC/GM) in line with the process of	
Level Playing field	-	RMT.0096	granting foreign Part-145 approvals	1.0
TOTAL				17.0



Opinions:

Opinion	Task Number	Driver	Task Title	Baseline Quarter
1	RMT.0251		Embodiment of safety management system requirements into Commission Regulations (EU) Nos 1321/2014 and 748/2012	
	RMT.0720	Safety	Cybersecurity risks	2
2	RMT.0573	Salety	Fuel planning and management	2
3	RMT.0379		All-weather operations	
4	RMT.0678	Efficiency /	Addressing other FCL GA issues (FCL 'Light')	
5	RMT.0719	Proportionality	Regular update of ATM/ANS rules (IR/AMC/GM) - Part Met	
6	RMT.0731	Level Playing field	New technologies and operational air transport concepts	4
_	RMT.0514	Environment	Implementation of the CAEP amendments	
7	RMT.0727	Safety	Implementing NBR into Part 21]



Decisions pending IR:

Driver	Baseline Quarter	Task Number	Task Title	Count
	1	RMT.0225	Development of an ageing aircraft structure plan	1.0
	1	RMT.0703	Runway Safety	1.0
Safety	2	RMT.0599	Update of ORO.FC	1.0
Juncty	3	RMT.0271	In-flight recording for light aircraft	1.0
	3	RMT.0296	Review of aeroplane performance requirements for CAT operations	1.0
	1	RMT.0445	Technical requirements and operational procedures for airspace design, including flight procedure design	1.0
	1	RMT.0464	Requirements for air traffic services	1.0
Efficiency /	1	RMT.0477	Technical requirements and operational procedures for aeronautical information services and aeronautical information management	1.0
Proportionality	1	RMT.0719	Regular update of ATM/ANS rules (IR/AMC/GM)	1.0
	2	RMT.0654	Revision of the balloon licensing requirements Easier access of General Aviation (GA) pilots to instrument	1.0
	2	RMT.0677	flight rules (IFR) flying	1.0
	2	RMT.0701	Revision of the sailplane licensing requirement	1.0
Level Playing	2	RMT.0695	Non-ETOPS operations using performance class A aeroplanes with an MOPSC of 19 or less	1
field	4	RMT.0485	Requirements for Apron Management Services at aerodromes	1
TOTAL				14.0



Appendix C: New actions, deleted actions and negative priorities overview

Туре	Strategic Priorities	Driver	Task Number	Task Title
RMT		Level Playing field	RMT.0731	New air mobility
	egic		SPT.105	Language proficiency requirements
SPT	strategic	Safety	SPT.106	Preventing, detecting and mitigating fraud cases in Part-147 organisations
MST			MST.032	Oversight capabilities
Ξ			MST.033	Language proficiency requirements
			EVT.0008	Third Country Operator Regulation
	ġ	Efficiency / Proportionality	EVT.0009	European Operators Flight Data Monitoring
ĔŢ	dar		EVT.0010	Helicopter Operations
Ш	standard		EVT.0011	Support programmes, psychological assessment of flight crew etc.
			EVT.0012	Aerodrome Regulation
	<u>.</u>		RES.026	Market-based Measures (ETS and CORSIA)
RES	strategic	Environment	RES.024	Assessment of Environmental Impacts - Engine emissions
	st		RES.025	Assessment of Environmental Impacts - Aircraft Noise

New tasks

Deleted tasks

Task Number	Task Title	Driver	Reason
RMT.0384	Open rotor engine & installation	Level Playing field	This RMT was stopped and will be deleted from the EPAS, as there is no immediate need for an amendment of CS-E, and in order to allow the Agency to focus its resources on more pressing safety issues. Should EASA receive an application for type certification which includes an open rotor design, it will develop a special condition. This special condition will be based on the work already completed or discussed in the context of this RMT. In the mid-term, based on the experiences gathered in first certification projects with engine open rotor design, CS provisions may be developed based on that special condition (using the regular update RMTs).



Appendix C: New actions, deleted actions, negative priorities, new tasks

De-prioritised tasks

Driver	Task	Task Title	Domains
	Number		
	RMT.0116	Real weight and balance of an aircraft	IAW
	RMT.0217	CAMOs' and Part-145 organisations' responsibilities	CAW
Safety	RMT.0486	Alignment with ICAO on ATCO fatigue management provisions	ATM/ANS
	RMT.0706	Update of authority and organisation requirements	SM
	RMT.0722	Provision of aeronautical data by the aerodrome operator	ADR
	RMT.0161	Conformity assessment	ATM/ANS
Efficiency /	RMT.0412	Update of the authority and organisation requirements pertaining to Part-FCL	Aircrew
Proportionality	RMT.0424	Regular update of Part-MED	Aircrew
	RMT.0587	Regular update of regulations regarding pilot training, testing and checking and the related oversight	Aircrew
	RMT.0312	Review of standard weights	OPS
Level Playing	RMT.0318	Single-engine helicopter operations	OPS
field	RMT.0577	Extended diversion time operations	OPS
	RMT.0707	Medical Regulation – Combine and harmonise Part-MED and ATCO MED	Aircrew



Appendix D: European Commission's priorities and EASA's Strategic Plan

Appendix D: European Commission's priorities and EASA's Strategic Plan

EASA is a European Union body, therefore its planning exercise must be aligned to the 10 key priorities defined by the Juncker's Commission at the beginning of its mandate, which are the following:

- 1. Jobs, Growth and Investment
 - ✓ Creating jobs and boosting growth
- 2. Digital Single Market
 - ✓ Bringing down barriers to unlock online opportunities
- 3. Energy Union and Climate
 - ✓ Making energy more secure, affordable and sustainable
- 4. Internal Market
 - ✓ Stronger industry, fewer national trade barriers, stricter business ethics
- 5. Economic and Monetary Union
 - \checkmark A deeper and fairer economic and monetary Union

- 6. EU-US Free Trade
 - ✓ Reaching a reasonable and balanced trade agreement
- 7. Justice and Fundamental Rights
 - ✓ Upholding shared values, the rule of law and fundamental rights
- 8. Migration
 - Towards a European agenda on Migration
- 9. EU as a Global Actor
 - ✓ A stronger global actor
- 10. Democratic Change
 - ✓ Making the EU more democratic

Out of the above priorities for the transport sector, Commissioner Bulc identified the following as key priorities:

- Jobs, Growth and Investment
- Internal Market
- EU as a Global Actor
- Democratic Change

Cascading from these priorities, the Transport Agencies of the EC have been assigned the following objectives:

- Become global leaders
- One-stop shop for all domain-related matters
- Efficiency effort to be made, in particular on the simplification of processes
- Support to the industry
- Strategic alignment with the Juncker Objectives
- Innovative funding schemes

EASA reviewed its planning framework taking into account all the elements above, aiming for a clear cascade from the Commission's vision to its objectives and actions. This lead to the development of 6 strategic statements that represent the goals to be achieved. The strategic statements respond to the inputs analysed by EASA as well as the objectives set by Commissioner Bulc.

1. Our ambition is to be the foremost Aviation Safety Agency in the world

(Linked to the Junker objective: EU as Global Actor)

2. EASA works on safety, in a proactive manner, helped by an enhanced safety analysis capability (*Linked to the Junker objective: EU as Global Actor*)

3. One system based on partners working in an integrated, harmonised and coordinated manner (*Linked to the Junker objective: Jobs, Growth and Investment*)

4. EASA builds on committed, agile and talented staff

(Linked to the Junker objective: EU as Global Actor)

5. Rules are smart, proportionate and contribute to the competitiveness of the industry



Appendix D: European Commission's priorities and EASA's Strategic Plan

(Linked to the Junker objective: Jobs, Growth and Investment)

6. EASA will continue to be independent from political or economic influence in all its safety actions *(Linked to the Junker objective: EU as Global Actor)*

Strategic objectives

Each strategic statement has a set of underlying strategic objectives which are further described through the expected outcomes and a brief description of the actions EASA will take to achieve the objectives. The strategic actions will be monitored through specific KPIs that together with the ones used to monitor the recurrent activities of EASA will constitute the 'operational dashboard'.

Strategic statement		Objective	Outcome	Action
1. Our ambition is to be the foremost Aviation Safety Agency in the world	1.1	Facilitating competitiveness, innovation and emerging technologies which generate European success	Achieving proportionate and performance-based regulatory actions that efficiently maintain safety, stimulate jobs, growth and European industry	EASA increases safety and environmental performance by facilitating new technology deployment, impact assessment, analysis and mitigation of risks, and ex post evaluations.
	1.2	Sustaining worldwide recognition for the European aviation safety system	Recognition and respect as a strong partner with integrity, transparency and professional excellence	EASA shall implement an 'international strategy', promote European aviation standards and continue improving global safety and environmental protection levels.
2. EASA works on safety, in a proactive manner, helped by an enhanced safety analysis capability.	2.1	Applying an advanced, pro-active and systematic approach to aviation safety	In consultation with NAAs and industry, develop a Safety Management capability that can programme and deliver effective and robust safety actions.	Within the framework of the European Plan for Aviation Safety (EPAS), EASA shall assess, integrate and programme actions that result in Safety Promotion, Focused Oversight or Rulemaking.
	2.2	Using information technology to the benefit of the European Safety Management process	Managerial and technical processes and interactions with stakeholders are simplified, efficient and information is accessible to multiple parties	Consistent with strategic priorities, EASA shall implement integrated safety and environmental programming. Taking a holistic approach, EASA shall manage the analysis of complex safety data efficiently and effectively. EASA shall follow an 'Information Security Roadmap' to protect its technical infrastructure.
3. One system based on partners working in an integrated, harmonised and coordinated manner	3.1	Identifying safety deficiencies and taking corrective actions in a common, coordinated and rapid manner	A comprehensive risk-based oversight system provides safety performance monitoring of aviation activities.	EASA shall develop and implement one harmonised risk-based oversight system capable of targeted and timely responses to identified risks.
	3.2	Integrating technical resource management at European level for efficiency, effectiveness and flexibility	Competent well-trained technical experts can be deployed in a coordinated manner to support safety activities and NAAs throughout Europe.	EASA shall harmonise the training and assessment standards for aviation authority staff through the Common Training Initiative Group (CTIG) and through training course approvals in accordance with Article 92 of EASA's Basic Regulation 2018/1139. EASA shall lead the integration of planning, deployment and support for the 'common pool' of experts.
	3.3	Establishing a new resource scheme to sustain the European aviation safety system	One new harmonised resource management mechanism that forecasts revenues and reliably provides funds over the complete business cycle. Cooperative oversight and pooling of experts at EU level will also ensure a proper use of funds to sustain the European Aviation Safety System.	EASA shall investigate, report and recommend innovative and proportionate new funding mechanisms.



Appendix D: European Commission's priorities and EASA's Strategic Plan

Strategic statement		Objective	Outcome	Action
4. EASA builds on committed, agile and talented staff	4.1	Empowering individuals to develop, engage and grow so as to deliver on our priorities	Clear, concise and complete HR policies, procedures and practices that include encompassing recognition, training and development	For all activities, EASA shall ensure regular tailored job evaluations, professional growth opportunities and succession planning for its staff. EASA shall train its staff based on training programmes and prioritised needs assessments.
	4.2	Creating a quality work environment that helps staff succeed	Facilities that encourage team work, cooperation and collaboration and encompass a paperless workplace with up-to-date support tools	EASA shall provide customised work premises and tools for active staff collaboration and support.
	4.3	Pledging to improve, refine and simplify processes, procedures and practices so as to drive efficiency.	Stakeholders receive an efficient, straightforward, quality service at a high level of availability and low level of bureaucracy.	EASA shall implement improvements, track progress, benchmark and review performance; with particular attention to developing stakeholders' two-way feedback.
5. Rules are smart, proportionate and contribute to the competitiveness of the industry.	5.1	Optimising Rulemaking activities to ensure a consistent, efficient and effective approach	Consultation mechanisms and rules, opinions and guidance that are objective, understandable and responsive to demand	EASA shall monitor the rulemaking process, in order to ensure a consistent, efficient, and effective approach. In addition EASA shall consistently conduct preliminary impact assessments.
	5.2	Assessing implementation of Rules and Regulations to ensure they are effective, proportionate and remain relevant	A smart feedback loop constantly improving aviation rules and regulations.	In consultation with stakeholders, EASA shall regularly review enacted rules and regulations to maintain, amend, remove or replace them with measures like safety promotion.
6. EASA will continue to be independent from political or economic influence in all its safety actions	6.1	Demonstrating integrity by assuring technical independence and robustness of safety decision-making	Technical safety decision- making that is objective, based on analysis, impact assessment and fair judgment and not influenced by bias or undue influence.	EASA shall maintain a conflict of interest management system and strengthen existing mechanisms such as the job rotation scheme.
	6.2	Minimising the consequences of political or unexpected constraints that may impact on aviation safety	Problems are anticipated and countermeasures are enacted so that safety risks are minimised and stakeholder expectations are satisfied	EASA shall employ data-based decision- making processes and establish practical measures to counter safety risks stemming from resource constraints and the impact of undue influence.



Appendix E: Policy on Safety Management Systems

Appendix E: Policy on Safety Management Systems

General

- 1.1. The main purpose of an SMS is to ensure that, beyond assuring mere compliance with regulations, organisations have the capacity of identifying the risks they may pose to flight safety and mitigating those risks.
- 1.2. Accidents having generally multiple, cross-domain causes, Authorities and organisations should have a consistent approach when dealing with the identification of hazards and management of safety risks.
- 1.3. In its report 'Harmonised European Approach to a Performance Based Environment'⁸⁴ EASA identified that effective implementation of SMS is the most important driver for implementing a risk- and performance-based approach⁸⁵.

Applicability and consistency

- 1.4. As a general principle, all organisations exposed to or possibly contributing to aviation safety risks, should be subject to SMS requirements. Possible exceptions should be determined based on:
 - the overall contribution of a particular activity to the safety of the total system;
 - the relative costs and benefits of SMS implementation both for organisations and authorities.
- 1.5. Applicability dates should be adapted to the type of activity of the organisations, in particular as regards their contribution to aviation safety risks.
- 1.6. In order to minimise changes in existing regulations and therefore the impact on organisations, the introduction of SMS requirements into new domains should be based on a careful gap analysis between existing requirements and the ICAO Annex 19 framework.
- 1.7. While minimising those changes, the resulting regulations should foster consistent implementation of SMS in the regulated fields. This is particularly important for those organisations holding multiple approvals within the scope of the Basic Regulation.
- 1.8. Common core authority requirements should apply in all technical domains to support the standardisation objectives set out in the Basic Regulation, support the implementation of SSP/EPAS, to streamline competent authority management systems and procedures, and to ensure consistency in organisation approvals.

Proportionality and flexibility

- 1.9. The Organisation's SMS must be commensurate with the size and complexity of the organisation and the level of risks involved.
- 1.10. To ensure proportionality and flexibility, the SMS requirements at Implementing Rule level should be limited to key principles. Non-essential implementation provisions should be included as AMC.
- 1.11. The implementation provisions at AMC level should be further adapted to the size, nature and complexity of specific technical domains or categories of organisations, while ensuring a consistent approach between different technical domains.

⁸⁴ <u>https://www.easa.europa.eu/document-library/general-publications/harmonised-european-approach-performance-based-environment</u>

⁸⁵ This view also aligns with the majority views expressed by stakeholders through the A-NPA 2014-12 consultation as related to question 3.1.1, which gave birth to <u>Regulation (EU) 2018/1139</u>.



Appendix E: Policy on Safety Management Systems

Implementation

- 1.12. The development and acceptance of industry standards and Safety Promotion material should be encouraged to support SMS implementation.
- 1.13. SMS implementation should be given reasonable time, beyond the mere implementation deadline, following a phased, performance-based approach.
- 1.14. Further emphasis should be put on supporting the implementation of simple, robust and proportionate SMS for simple, low-risk organisations.

General aviation and small organisations

1.15. Safety management principles, centred on the individual, should systematically be considered when developing or amending regulations.

International harmonisation

1.16. The common EASA management system framework should address the elements of ICAO Annex 19 while providing proportionality and flexibility. However, a less prescriptive and more proportionate approach than the ICAO Annex 19 SARPS is desirable.



Appendix F: Acronyms and definitions

14 CFR Part 25	US Code of Federal regulations (CFR) Title 14 Part-25 Airworthiness Standards: Transport Category Airplanes
14 CFR Part 33	Code of Federal regulations (CFR) Title 14 Part 33 Airworthiness Standards: Aircraft Engines
4G	fourth generation of wireless mobile telecommunications technology
AAD	advanced anomaly detection
AAS	Airspace Architecture Study – Proposal for the future architecture of the European airspace created by SESAR Joint Undertaking, 2019
ABs	Advisory Bodies
ACARE	Advisory Council for Aviation Research and Innovation in Europe
ACAS	airborne collision avoidance system
ACNS	Airborne Communications, Navigation and Surveillance
ADR	aerodromes
ADS-B	automatic dependent surveillance - broadcast
ADS-C	automatic dependent surveillance - contract
airprox	An AIRPROX is a situation in which, in the opinion of a pilot or air traffic services personnel, the distance between aircraft as well as their relative positions and speed have been such that the safety of the aircraft involved may have been compromised. (ICAO Doc 4444: PANS-ATM).
АМТО	approved maintenance training organisation (Part-147)
EAER	European Aviation Environmental Report
AFCS	automatic flight control system
AFIS	aerodrome flight information service
AI	Artificial Intelligence
AIW	Airworthiness
AltMoC	alternative means of compliance
AMAN	arrival management
AMC	acceptable means of compliance
AMC 20	general Acceptable Means of Compliance for airworthiness of products, parts and appliances
AMM	aircraft maintenance manual
AMO	approved maintenance organisation
ANAC	Agência Nacional de Aviação Civil (Portuguese national aviation authority)



A-NPA	advance notice of proposed amendment
ANS	air navigation services
ANSP	air navigation service provider
AOC	air operator certificate
AP	accelerated procedure
ARA	authority requirements (in the aircrew Regulation)
ARAC	Aviation Rulemaking Advisory Committee
ASAGA	aeroplane state awareness during go-around
ASAWG	ARAC Airplane-level Safety Analysis Working Group
ASBUs	Aviation System Block Upgrades
ASISP	Aircraft Systems Information Security/Protection
ASR	annual safety review
ATC	air traffic control
ATCO	air traffic controller
ATM	air traffic management
ATM MP	The ATM Master Plan
ATO	approved training organisation
ATPL	air transport pilot licence
ATQP	Alternative and Training Qualification Programme
ATS	air traffic services
AV-CERT	Aviation Computer Emergency Response Team
AWOs	all-weather operations
B777	Boeing 777
BASA	Bilateral Aviation Safety Agreement
BEA	Bureau d'Enquêtes et d'Analyses (French Safety Investigation Authority)
BIS	Best Intervention Strategy
BPL	balloon pilot licence
BR	Basic Regulation - Regulation (EU) 2018/1139 of the European Parliament and of the Council of 4 July 2018 on common rules in the field of civil aviation and establishing a European Union Aviation Safety Agency, and amending Regulations (EC) No 2111/2005, (EC) No 1008/2008, (EU) No 996/2010, (EU) No 376/2014 and Directives 2014/30/EU and 2014/53/EU of the European Parliament and of the Council, and repealing Regulations (EC) No 552/2004 and (EC) No 216/2008 of the European Parliament and of the Council and Council Regulation (EEC) No 3922/91
СА	competent authority



САА	civil aviation authority
CABA	Certification Authorities for Bilateral Agreements & Certification Procedures
CAEP	Committee on Aviation Environmental Protection (ICAO)
CAEP/10	tenth meeting of the committee on Aviation Environmental Protection
CAG	Collaborative Analysis Group
САМО	continuing airworthiness management organisation
CASA	Civil Aviation Safety Authority of Australia
CAT	commercial air transport
CAT I, II, III	category I, II, III
CAW	continuing airworthiness
СВ	Cumulonimbus
СВТА	competency-based training and assessment
CE	Critical Element
CERT-EU	Computer Emergency Response Team
CFIT	controlled flight into terrain
CMT	Certification Management Team
CO ₂	carbon dioxide
CORAL	Certification and ORganisation ApprovaL information hub programme
CPDLC	controller-pilot data link communication
CPL	commercial pilot licence
CRM	crew resource management
CS	certification specification
CS SIMD	Certification Specifications for Simulator Data
CS VLR	Certification Specifications for Very Light Rotorcraft
CS-22	Certification Specifications for sailplanes and powered sailplanes
CS-23	Certification Specifications for normal, utility, aerobatic and commuter aeroplanes
CS-25	Certification Specifications for large aeroplanes
CS-26	Certification Specifications for additional airworthiness specifications for operations
CS-27	Certification Specifications for small rotorcraft
CS-29	Certification Specifications for large rotorcraft
CS-34	Certification Specifications for aircraft engine emissions and fuel venting
CS-ACNS	Certification Specifications for Airborne Communication, Navigation and Surveillance



CS-APU	Certification Specifications for Auxiliary Power Units
CS-CC	Certification Specifications for cabin crew data
CS-E	Certification Specifications for Engines
CS-ETSO	Certification Specifications for European Technical Standard Orders
CS-FCD	Certification Specifications for flight crew data
CS-LSA	Certification Specifications for Light Sport Aeroplanes
CS-MMEL	Certification Specifications for Master Minimum Equipment List
CS-STAN	Certification Specifications for Standard Changes/Standard Repairs
CS-VLA	Certification Specifications for Very Light Aeroplanes
CTIG	Common Training Initiative Group
CVS	combined vision systems
CZ	Czech Republic
DAH	design approval holder
DAT provider	(aeronautical) data provider, indirectly, competent authority
DAT.OR	organisational requirements for the data service providers
DAT.TR	technical requirements for the provision of data services
D-ATIS	Data link-automatic terminal information service
DCL	departure clearance
DLS	data link services
DOA	design organisation approval
DP	direct publication
D-TAXI	delivery of planned and cleared departure routes by datalink
DTO	declared training organisation
EACTB	Engine Aircraft Certification Tracking Board
EACWG	Engine/Aircraft Certification Working Group
EAFDM	European Authorities Coordination Group on Flight Data Monitoring
EAPPRE	European Action Plan for the Prevention of Runway Excursions
EAPPRI	European Action Plan for the Prevention of Runway Incursions
EASA	European Union Aviation Safety Agency
EATMN	European air traffic management network
EBT	evidence-based training
EC	European Commission
ECCSA	European Centre for Cyber Security in Aviation



ECQB	European Central Question Bank
ECR	European Central Repository for accident and incident reports in aviation
ECTRL	Eurocontrol
EDTO	extended diversion time operation
EEA	European Environment Agency
EFB	electronic flight bag
EFVS	enhanced flight vision systems
EHEST	European Helicopter Safety Team
EI	Effective Implementation
ELA	European light aircraft
EMS	emergency medical services
EOFDM	European Operators Flight Data Monitoring forum
EPAS	European Plan for Aviation Safety
ERCS	European Risk Classification Scheme
EREA	European Research Establishments in Aeronautics
ESCP	European Strategic Coordination Platform
ESSI	European Strategic Safety Initiative
ETOPS	extended-range twin-engine operational performance standards
ETS	European Union Emissions Trading System (EU ETS) is the cornerstone of the European Union's policy to tackle climate change and its key tool for cost-effective reduction of emissions of carbon dioxide (CO2) and other greenhouse gases (GHG) in the power, aviation and industrial sectors. The EU ETS works on the 'cap and trade' principle and is a market-based measure where participants are required to monitor and report their emissions and surrender sufficient emission allowances to cover their reported emissions in each year.
ETSO	European technical standard order
ETSOA	European technical standard order (authorisation)
EU	European Union
EUROCAE	European Organisation for Civil Aviation Equipment
EVS	enhanced vision systems
FAA	Federal Aviation Administration
FABs	functional airspace blocks
FAR 33.90	Federal Aviation Regulation Section 33.90 — Initial maintenance inspection test
FbW/FBW	fly-by-wire
FCHWG	ARAC Flight Controls Harmonisation Working Group



FCOM	flight crew operating manual
FDD	fault detection & diagnosis
FDM	flight data monitoring
FEM	flight examiner manual
FIS	flight information services
F-NI	fire - non-impact
FRM	fatigue risk management
FTC	fault tolerant control
FSTD	flight synthetic training devices
FTE	flight test engineer
FTL	flight time limitation
FTS	flight time specifications
FW	fixed wing
GA	general aviation
GA.COM	General Aviation Committee (EASA Advisory Body)
GANP	Global Air Navigation Plan
GASP	Global Aviation Safety Plan (ICAO)
GBAS	Ground-based augmentation system
GCOL	ground collision
GH	Groundhandling
GLS	GBAS (ground-based augmentation system) landing system
GM	guidance material
GNSS	global navigation satellite system
GPS	global positioning system
H2020	Horizon 2020
HE	Helicopter manufacturers, operators, training organisations, maintenance organisations
HEMS	helicopter emergency medical services
HF	human factors
HF CAG	Human Factors Collaborative Analysis Group
HOSSWG	Helicopter Offshore Safety and Survival Working Group
НР	human performance
НРА	high-performance aircraft
HTAWS	helicopter terrain avoidance warning systems



HUD	head-up displays
HUMS	health and usage monitoring systems
IAW	initial airworthiness
ΙΑΤΑ	International Air Transport Association
ICA	instructions for Continued Airworthiness
ICAO	International Civil Aviation Organization
ICAO SL	ICAO State letter
IFE	in-flight entertainment
IFR	instrument flight rules
IHST	International Helicopter Safety Team
ILS	instrument landing system
IMA	Integrated modular avionics
IMC	instrument meteorological conditions
IMI	initial maintenance inspection
IR	(Commission) implementing rule
IR	Instrument rating
JAA	Joint Aviation Authorities
JAR-25	joint aviation requirements
JARUS	Joint Authorities for Rulemaking on Unmanned Systems
KPI	key performance indicator
KRE	key risk element
LAFI	light aircraft flight instructor
LAPL	light aircraft pilot licence
LAPL(A)	allows pilots to act as pilot in command on aeroplanes or touring motor gliders
LAPL(S)	allows pilots to act as pilot in command on EASA sailplanes and powered sailplanes
LO	learning objective
LOCART	loss of control avoidance and recovery training
LOC-I	loss of control - inflight
LOI	level of involvement
LPR	language proficiency requirements
LVO	low-visibility operation
MA	medical assessor
MB	Management Board



Appendix F: Acronyms and definitions

MAC	mid-air collision
MCF	maintenance check flights
MET	meteorology/meteorologic
MET provider	Meteorological service provider, indirectly, competent authority
MGB	main gearbox
MH17	Malaysia Airlines flight 17
MMEL	master minimum equipment list
МО	maintenance organisation
MOPS	minimum operational performance specification
MOPSC	maximum operational passenger seating configuration
MPL	multi-crew pilot licence
MRB	Maintenance Review Board
MS	Member States
MST	Member States' task
МТО	maintenance training organisation
МТОМ	maximum take-off mass
NCC	non-commercial air operations with complex motor-powered aircraft*
NCO	non-commercial air operations with other-than-complex motor-powered aircraft st

* The term 'complex motor-powered aircraft' is no longer defined in Regulation (EU) 2018/1139. Article 140 (2) of that regulation provides that no later than 12 September 2023 implementing rules adopted under Regulations (EC) 216/2008 and 552/2004 shall be adapted to the new Basic Regulation. Until then deleted or altered definitions will continue to apply.

NDB	non-directional beacon
NextGen	next generation
NO	Norway
NoAs	Network of Analysts
NPA	notice of proposed amendment
nvPM	non-volatile Particulate Matter
OEM	original equipment manufacturer
OJ	Official Journal of the European Union
OPS	air operations
OpSpecs	operations specifications
ORO.FC	organisation requirements for air operations - flight crew
PANS	procedures for air navigation services (ICAO)



Part-145	maintenance organisation approvals			
Part-147	training organisations requirements			
Part 21	airworthiness and environmental certification of aircraft and related products, parts and appliances, as well as for the certification of design and production organisations			
Part 21 Subpart H	Airworthiness certificates and restricted certificates of airworthiness			
Part-26	additional airworthiness requirements for operations			
Part-66	certifying staff			
Part-ARO	authority requirements for air operations			
Part-FCL	flight crew licensing			
Part-M	continuing airworthiness requirements			
Part-MED	medical certification of pilots, medical fitness of cabin crew, certification of AMEs and requirements of GMPs and OHMPs			
Part-ORA	Organisation requirements for aircrew			
Part-ORO	Organisation requirements for air operations			
Part-SPO	specialised operations			
рах	passengers			
PBN	performance-based navigation			
PBAOM	performance based aerodrome operating minima			
PBR	performance-based regulation			
РСР	pilot common project (SESAR)			
PED	personal electronic device			
PIS	public interest sites			
PM CPDLC	protected mode controller-pilot data link communication			
РОА	production organisation approval			
РоС	proof of concept			
PPL	private pilot license			
Q	Quarter			
RASG	Regional Aviation Safety Group			
RASP	Regional Aviation Safety Plan			
RAMP	aerodrome ramp			
RE	runway excursion			
REACH	Registration, Evaluation, Authorisation and Restriction of Chemicals – EC Directive 1907/2006			
RES	research actions			



RFFS	rescue and firefighting services
RFID	radio frequency identification
RI	runway incursion
RIA	regulatory impact assessment
RI-VAP	runway incursion (vehicle animal person)
RMT	rulemaking task
RNAV	area navigation
R.COM	Rotorcraft Committee (Advisory Body)
RSOO	Regional Safety Oversight Organisation
RT	radio telephony
SA CAT I	Special authorisation CAT I
SAR	Standardisation Annual Report
SARPS	Standards and Recommended Practices (ICAO)
SATCOM	Satellite Communications
SBAS	satellite-based augmentation system
SCF-NP	system component failure (non-powerplant)
SCF-PP	system component failure (powerplant)
SERA IR	standardised European rules of the air implementing rule
SERA IR SERA Part C	standardised European rules of the air implementing rule Commission Implementing Regulation (EU) 2016/1185 of 20 July 2016 amending Implementing Regulation (EU) No 923/2012 as regards the update and completion of the common rules of the air and operational provisions regarding services and procedures in air navigation (SERA Part C) and repealing Regulation (EC) No 730/2006
	Commission Implementing Regulation (EU) 2016/1185 of 20 July 2016 amending Implementing Regulation (EU) No 923/2012 as regards the update and completion of the common rules of the air and operational provisions regarding services and procedures in air navigation (SERA Part C) and repealing Regulation (EC) No
SERA Part C	Commission Implementing Regulation (EU) 2016/1185 of 20 July 2016 amending Implementing Regulation (EU) No 923/2012 as regards the update and completion of the common rules of the air and operational provisions regarding services and procedures in air navigation (SERA Part C) and repealing Regulation (EC) No 730/2006
SERA Part C SES	Commission Implementing Regulation (EU) 2016/1185 of 20 July 2016 amending Implementing Regulation (EU) No 923/2012 as regards the update and completion of the common rules of the air and operational provisions regarding services and procedures in air navigation (SERA Part C) and repealing Regulation (EC) No 730/2006 single European sky
SERA Part C SES SESAR	Commission Implementing Regulation (EU) 2016/1185 of 20 July 2016 amending Implementing Regulation (EU) No 923/2012 as regards the update and completion of the common rules of the air and operational provisions regarding services and procedures in air navigation (SERA Part C) and repealing Regulation (EC) No 730/2006 single European sky Single European Sky ATM Research
SERA Part C SES SESAR SET	Commission Implementing Regulation (EU) 2016/1185 of 20 July 2016 amending Implementing Regulation (EU) No 923/2012 as regards the update and completion of the common rules of the air and operational provisions regarding services and procedures in air navigation (SERA Part C) and repealing Regulation (EC) No 730/2006 single European sky Single European Sky ATM Research single-engine turbine
SERA Part C SES SESAR SET SIA	Commission Implementing Regulation (EU) 2016/1185 of 20 July 2016 amending Implementing Regulation (EU) No 923/2012 as regards the update and completion of the common rules of the air and operational provisions regarding services and procedures in air navigation (SERA Part C) and repealing Regulation (EC) No 730/2006 single European sky Single European Sky ATM Research single-engine turbine safety issue assessment
SERA Part C SES SESAR SET SIA SHARP	Commission Implementing Regulation (EU) 2016/1185 of 20 July 2016 amending Implementing Regulation (EU) No 923/2012 as regards the update and completion of the common rules of the air and operational provisions regarding services and procedures in air navigation (SERA Part C) and repealing Regulation (EC) No 730/2006 single European sky Single European Sky ATM Research single-engine turbine safety issue assessment Safety Helmet Assessment and Rating Programme
SERA Part C SES SESAR SET SIA SHARP SJU	Commission Implementing Regulation (EU) 2016/1185 of 20 July 2016 amending Implementing Regulation (EU) No 923/2012 as regards the update and completion of the common rules of the air and operational provisions regarding services and procedures in air navigation (SERA Part C) and repealing Regulation (EC) No 730/2006 single European sky Single European Sky ATM Research single-engine turbine safety issue assessment Safety Helmet Assessment and Rating Programme SESAR Joint Undertaking
SERA Part C SES SESAR SET SIA SHARP SJU SLD	Commission Implementing Regulation (EU) 2016/1185 of 20 July 2016 amending Implementing Regulation (EU) No 923/2012 as regards the update and completion of the common rules of the air and operational provisions regarding services and procedures in air navigation (SERA Part C) and repealing Regulation (EC) No 730/2006 single European sky Single European Sky ATM Research single-engine turbine safety issue assessment Safety Helmet Assessment and Rating Programme SESAR Joint Undertaking super-cooled large droplets
SERA Part C SES SESAR SET SIA SHARP SJU SLD SMICG	Commission Implementing Regulation (EU) 2016/1185 of 20 July 2016 amending Implementing Regulation (EU) No 923/2012 as regards the update and completion of the common rules of the air and operational provisions regarding services and procedures in air navigation (SERA Part C) and repealing Regulation (EC) No 730/2006 single European sky Single European Sky ATM Research single-engine turbine safety issue assessment Safety Helmet Assessment and Rating Programme SESAR Joint Undertaking super-cooled large droplets Safety Management International Collaboration Group
SERA Part C SES SESAR SET SIA SHARP SJU SLD SMICG SMS	Commission Implementing Regulation (EU) 2016/1185 of 20 July 2016 amending Implementing Regulation (EU) No 923/2012 as regards the update and completion of the common rules of the air and operational provisions regarding services and procedures in air navigation (SERA Part C) and repealing Regulation (EC) No 730/2006 single European sky Single European Sky ATM Research single-engine turbine safety issue assessment Safety Helmet Assessment and Rating Programme SESAR Joint Undertaking super-cooled large droplets Safety Management International Collaboration Group safety management system
SERA Part C SES SESAR SET SIA SHARP SJU SLD SMICG SMS SM TEB	Commission Implementing Regulation (EU) 2016/1185 of 20 July 2016 amending Implementing Regulation (EU) No 923/2012 as regards the update and completion of the common rules of the air and operational provisions regarding services and procedures in air navigation (SERA Part C) and repealing Regulation (EC) No 730/2006 single European Sky Single European Sky ATM Research single-engine turbine safety issue assessment Safety Helmet Assessment and Rating Programme SESAR Joint Undertaking super-cooled large droplets Safety Management International Collaboration Group safety management system Safety Management Technical Body



SPAS	State Plan for Aviation Safety
SPD	Single Programming Document
SPI	safety performance indicator
SPL	sailplane pilot license
SPN	Safety Promotion Network
SPO	specialised operations
SPT	safety promotion task
SR	safety recommendation
SRIA	Strategic Research and Innovation Agenda
SRM	safety risk management
SSIP	supplemental structural inspection programme
SSP	state safety programme
SSR	secondary surveillance radar (SSR)
ST	standard (rulemaking) procedure
STC	supplemental type certificate
STD	synthetic training device
Subparts J & K of Part-FCL	instructors and examiners
SVGS	synthetic vision guidance systems
SVS	synthetic vision systems
SWI	survivable water impact
SWIM	system-wide information management
TAWS	terrain awareness warning system
TBD	to be determined
ТВО	time between overhaul
тс	type certificate
тсо	third-country operator
TCAS	traffic collision avoidance system
TCCA	Transport Canada Civil Aviation
ТеВ	Member State technical body
TeC	Stakeholder technical body
TEM	threat and error management
ТМА	terminal manoeuvring area
TMG	touring motor glider



ТО	training organisation
ToR	terms of reference
TSO	technical standard order
UAS	unmanned aircraft systems
UDPP	user-driven prioritisation process
UK	United Kingdom
UNCs	Undertaking Non-Compliances
UPRT	upset prevention and recovery training
USOAP	Universal Safety Oversight Audit Programme
UTM	unmanned traffic management
VFR	visual flight rules
VHF	very high frequency
VHM	vibration health monitoring
VLA	very light aeroplane
VLD	very large-scale demonstration
VOR	VHF omnidirectional range
WFD	widespread fatigue damage
WIDDCWG	Water Impact, Ditching Design and Crashworthiness Working Group
WP	working paper
WPGR	Report of the Wise Person Group on the Future of the Single European Sky, April 2019



Appendix G: Working groups and bodies having a role in EPAS

Appendix G: Working groups and bodies having a role in EPAS

ACARE Working Group 'Safety – Security' (WG4)

Web Link

The Advisory Council for Aeronautics Research in Europe (ACARE) serves as the European technology platform for the aviation and air transport sectors.

ACARE gathers the main actors involved in aviation research, i.e. industry, research centres, universities, public authorities. The ACARE Strategic Research and Innovation Agenda (SRIA) provides the strategic roadmap for aviation research, development and innovation developed by ACARE that accounts for both evolutionary and revolutionary approaches. It aims to provide a guide to future actions in public and private funding programmes to ensure that research is adequately supported and funded.

As aviation is marked by the high complexity of its products and their components which are subject to very long R&D cycles (up to 20 years) and is technology and capital intensive, research efforts need to be based on a long-term programming approach.

ACARE WG4 maintains the list of research actions dedicated to safety and security identified to meet the long-term objectives of EU Aviation in those fields.

Advisory Bodies

Web Link

The **Advisory Bodies (ABs)** provide EASA with a forum for consultation of interested parties and national authorities. to the main purpose of the ABs is to :

- facilitate the discussion of strategic/technical priorities as well as controversial or horizontal issues at early Agency programming stage,
- provide EASA with a forum to consult on strategic safety priorities,

When the proposed actions affect the MS, the purpose of the ABs is to:

- provide advice to EASA on content, priorities and execution of its safety programmes,
- provide advice on ongoing efforts to improve EPAS, rulemaking, standardisation, safety promotion, and research programming process.

The following ABs are relevant for the EPAS:

Member States Technical Bodies (TeBs): The TeBs encompass the scope of the TAGs and Standardisation meetings and enlarge their scope to also include safety promotion.

Stakeholder Technical Bodies (TeCs): The TeCs replaces the sub-committees of the Safety Standards Consultative Committee (SSCC). They are responsible for reviewing and committing to concrete actions that address the specific Safety Issues at sectorial and technical level.

Member State Advisory Body (MAB): The MAB is body advising on strategic developments. It encompasses and extends the scope of RAG, EASAC and EASp Summit.

Stakeholder Advisory Body (SAB): The SAB replaced the Safety Standards Consultative Committee (SSCC) and the EASA Advisory Board (EAB). Within the SRM process is responsible for advising on strategic developments.

The Safety Management TeB (SM TeB) is particularly relevant for the EPAS as it is the forum to

- advise MSs with the implementation and maintenance of their SSPs and SPASs by exchanging information and addressing implementation issues;
- provide input and feedback on the implementation of the EPAS in regards to systemic issues;
- provide recommendations to EASA/EC on further actions required to support SSP / EPAS implementation;
- address issues stemming from the Standardisation SYS inspections; and
- discuss and provide recommendations where action is required on any safety management implementation issues.

ARAC/ARC

Rulemaking deliberations often start years before a formal rulemaking process is launched. Sometimes the FAA finds it beneficial to get industry and the public's advice and recommendations prior to starting rulemaking to prepare and facilitate the development of the draft regulations. There are two different types of consultative bodies FAA can employ for this purpose:



Appendix G: Working groups and bodies having a role in EPAS

- The Aviation Rulemaking Advisory Committee (ARAC) standing committee, which aims to build a consensus amongst aviation stakeholders on certain issues, which the FAA in its subsequent rulemaking process may (or may not) consider.
- The Aviation Rulemaking Committee (ARC) is formed on ad hoc basis for specific purposes with limited duration. It is essentially a group of aviation specialist who are selected to evaluate issues and provide advice and recommendations to the FAA.

Both Committees provide FAA with information, advice and recommendations. Both mechanisms hold the benefit that they allow to take advantage of industry technical expertise and experience, they help resolving controversies in an open forum by broadening public and industry participation in the process, and they may resolve issues before the formal rulemaking process begins.

EASA is a non-voting member of the ARAC, and it is invited and decides on a case by case basis whether to join specific task groups of these committees.

CAG

The **Collaborative Analysis Groups (CAGs)** enable EASA to work with both the EASA MSs and industry on the tasks of identifying Safety Issues, Safety Risk Assessment and the monitoring of Safety Performance.

The CAGs produce the following outputs:

- Safety Risk Portfolios per aviation domain,
- Safety Issue Assessments (SIA),
 Best Intervention Strategy (BIS)

These outputs can stem in action proposals for EPAS.

The CAGs provide a mechanism for external engagement with industry and the MS' NoA Representatives on the Safety Risk Portfolios, which are used to ensure agreement on the Key Risk Areas and Safety Issues in each domain. CAGs operate at a domain level and have been established for the following ones: CAT Aeroplanes, offshore helicopters, Balloons, Human Factors, ATM, Aerodrome/groundhandling, HEMS and GA aeroplanes.

CTIG

The Common Training Initiative Group (CTIG), is composed of training managers from CAs. The CTIG plays a crucial role in the implementation of the new EASA aviation training strategy. The Group is mandated to harmonise training and assessment standards for aviation inspectors, with the aim to provide for highly qualified and sufficiently trained authority inspectors across Europe.

EACWG

Engine/Aircraft Certification Working Group (EACWG), is an initiative of FAA and EASA to streamline the overall certification process by improving engine/aircraft interface certification and standard-setting practices. The EACWG aims at reducing unnecessary burden in the certification process and better address the interdependencies between aircraft and engine certification programmes of transport category aircraft with turbine engines.

EAFDM

Web Link

EASA and CAs have formed a group of experts called the **European Authorities Coordination Group on FDM (EAFDM)**. It is a voluntary and independent safety initiative with the objectives of contributing to:

- improving the implementation of FDM programmes and to making them more safety effective;
- EASA's objective of a high and uniform level of safety in Europe;
- a better overview of air transport operational safety in Europe for EASA and CAs.

Among the topics covered by EAFDM are:

- Development of national FDM forums;
- Oversight of FDM programs by CAs; and
- FDM-based indicators.

EOFDM

Web Link

The **European Operators Flight Data Monitoring (EOFDM)** forum is a project of a voluntary partnership between European operators and EASA in order to:

• facilitate the implementation of Flight Data Monitoring (FDM) by Operators,



• help operators draw the maximum safety benefits from an FDM Programme.

The EOFDM steering groups manages its work with a series of working groups. Depending on the group the following organisations may participate: Operators, Operator associations, Flight-crew associations, Aircraft Manufacturers, Flight-data-monitoring software vendors, Research and educational institutions, Regulators (national aviation authorities and international aviation regulators) and EASA. Non-European organisations are encouraged to join this safety initiative.

ESPN-R

Web Link

The **European Safety Promotion Network Rotorcraft (ESPN-R)** is a mixed industry-authorities team established by the Rotorcraft Sectorial Committee (RSC) in January 2017.

The ESPN-R develops, disseminates and evaluates Safety Promotion (SP) material and actions on a voluntary basis in support of the RSC, of EASA and of the industry. The ESPN-R can also contribute to Safety Promotion campaigns and ensuring that Safety Promotion material reaches the target audience.

The ESPN-R contributes to the implementation of rotorcraft Safety Promotion actions from EPAS, rotorcraft section, and can suggest Safety Promotion actions for inclusion in EPAS or other considerations. Scope includes but is not limited to operations and SMS, training and emerging safety-enhancing technologies.

Members come from the former European Helicopter Safety Team (EHEST) community and the former European Helicopter Safety Implementation Team (EHSIT), the implementation team of the EHEST. The ESPN-R leverages the former EHSIT competences for development, dissemination and evaluation of Safety Promotion material and actions.

Eurocontrol Agency Research Team (ART)

Web Link

The Agency Research Team (ART) is an advisory body of the Eurocontrol Agency. It disseminates research topics, projects and results with relevance for ATM amongst its members.

NoAs

The **Network of Analysts** was established in 2011 to provide a collaborative framework for the EASA MSs to work together on safety analysis activities. The NoAs was formalised within European Regulation (EU) 376/2014. It has the purpose (at Union level) of:

- reporting, analysing and following -up of occurrences in civil aviation using the European Central Repository of mandatory occurrences,
- assisting States in assessing their priorities for the State Safety Programmes (SSP),
- supporting both EPAS and to assist States in assessing their priorities for the State Safety Programmes (SSP).
- working closely with the CAGs in the identification of Safety Issues, Safety Risk Assessment and the monitoring of safety performance.

SM ICG

Web Link

The **SMS International Collaboration Group (SMICG)** was created in February 2009. It is a joint collaboration activity between aviation regulatory authorities in order to

- promote a common understanding and collaboration of SMS / State Safety Programme (SSP) principles and requirements in different countries, facilitating their implementation across the international aviation community
- share lessons learned
- encourage the progression of a harmonised SMS.
- collaborate with international organisations such as ICAO and civil aviation authorities that have implemented or are implementing SSP/SMS

The SMICG consists of a core group and a participant group. The core group is comprised of authorities with resources and expertise for product development. It includes members from the FAA, TCCA, EASA, DGAC France, AESA Spain, ENAC Italy, FOCA Switzerland, the Irish Aviation Authority, Trafi Finland, UK CAA, CASA of Australia, CAA of the Netherlands, JCAB of Japan, CAA of New Zealand, ANAC of Brazil, United Arab Emirates General Civil Aviation Authority, Civil Aviation Authority of Singapore and Civil Aviation Department of Hong Kong. The International Civil Aviation Organization (ICAO) is an observer to this group.



Appendix G: Working groups and bodies having a role in EPAS

SPN

Web Link

The **Safety Promotion Network (SPN)** is a voluntary partnership between EASA and other aviation organisations. The objective of the SPN is to enhance aviation safety in Europe by providing a framework for the collaboration of safety promotion activity throughout the MSs.

For mutual benefit and a common purpose the members of the safety promotion network take on these objectives:

- exchanging information.
- coordinating activities.
- cooperating and sharing joint activities.
- collaborating to increase the capacity for activities.

The Network activities will include coordinating, cooperating and collaboration with respect to the design, development, publication, translation and dissemination of safety information. The Network will also explore common tools and develop means to measure the effectiveness of Safety Promotion products that have been disseminated.



Appendix H: List of EASA Departments owning EPAS actions

Strategy & Safety Management Directorate			
SM.0.1	Strategy & Safety Management Director's Office		
SM.1	Safety Intelligence & Performance Department		
SM.2	Strategy & Programmes Department		
SM.3	International Cooperation Department		
Certification Directorate			
CT.1	Large Aeroplanes Department		
CT.2	General Aviation Department		
CT.3	Vertical Take-Off and Landing (VTOL) Department		
CT.4	Environment & Propulsion Systems Department		
CT.5	Certification Strategy & Programming Department		
CT.6	Design Organisations & ETSO Department		
Flight Standards Directorate			
FS.1	Maintenance & Production Department		
FS.2	Air Operations Department		
FS.3	Aircrew & Medical Department		
FS.4	ATM/ANS & Aerodromes Department		



Appendix I: Volume II – new structure

EPAS 2019-2023 EPAS 2020-2024		2020-2024	
§	Title	§	Title
5.1.1	Safety management	5.1	Systemic safety - Safety management
5.1.2	Human factors and competence of personnel	5.2	Systemic Safety - Human Factors and Human performance
5.1.2	Human factors and competence of personnel	5.3	Systemic Safety - Competence of personnel
5.1.3	Aircraft tracking, rescue operations and accident investigation	5.4	Systemic Safety - Aircraft tracking, rescue operations and accident investigation
5.1.4	Impact of security on safety	5.5	Systemic Safety - Impact of security on safety
5.1.5	Standardisation and oversight	5.6	Systemic Safety – Oversight and Standardisation
5.2.1	Aircraft upset in flight (LOC-I)	6.1	Flight operations - CAT by Aeroplane & NCC (Business)
5.2.2	Runway safety	6.1	Flight operations - CAT by Aeroplane & NCC (Business)
5.2.3	Airborne conflict (Mid-air collisions)	6.1	Flight operations - CAT by Aeroplane & NCC (Business)
5.2.4	Design and maintenance improvements	9	Design and Production
5.2.4	Design and maintenance improvements	10	Maintenance and continuing airworthiness management
5.2.5	Ground safety (ADR)	12	Aerodromes
5.2.5	Ground safety (Groundhandling)	13	Groundhandling
5.2.6	Terrain collision (CAT)	6.1	Flight operations - CAT by Aeroplane & NCC (Business)
5.2.7	Aircraft environment (CAT aeroplanes)	6.1	Flight operations - CAT by Aeroplane & NCC (Business)
5.2.8	Miscellaneous (CAT)	6.1	Flight operations - CAT by Aeroplane & NCC (Business)
5.3.0	Rotorcraft operations	7	Rotorcraft
5.4.1	Systemic enablers (GA)	8.1.1	General Aviation - Systemic enablers
5.4.2	Staying in control (GA)	8.1.2	General Aviation - Staying in control
5.4.3	Coping with weather (GA - NCO)	8.1.3	General Aviation - Coping with weather
5.4.4	Preventing mid-air collisions (GA)	8.1.4	General Aviation - Preventing mid-air collisions
5.4.5	Managing the flight (GA)	8.1.5	General Aviation - Managing the flight
5.5.1	Civil drones (Unmanned Aircraft Systems)	14	Unmanned Aircraft Systems
5.5.2	New business models (new technologies and concepts)	15.1	New business models
5.5.3	New products, systems, technologies and operations	15.2	New products, systems, technologies and operations
5.5.4	SESAR deployment	15.3	SESAR deployment
5.5.5	All Weather Operations	15.4	All Weather Operations
6.1	Climate change and air quality	16.1	Noise, local air quality and climate change standards
6.2	Aircraft noise	16.1	Noise , local air quality and climate change standards
7.1	Aerodrome design and operations	12	Aerodromes
7.2	Evaluations	n/a	reallocated as per operational domain
7.3	ATM/ANS	11	Air Traffic Management / Air Navigation Services
7.4	Airlines (AOC holders in CAT)	6.1	Flight operations - CAT by Aeroplane & NCC (Business)
7.5	General Aviation	8.2	General Aviation - Efficiency/proportionality
7.5	General Aviation (Balloons and sailplanes)	8.2	General Aviation - Efficiency/proportionality
7.6	Manufacturers (Design and Production)	9	Design and Production
7.7	Rotorcraft operations	7	Rotorcraft
7.8	Specialised operations	6.2	Flight operations - SPO aeroplane
7.9	Maintenance training organisations	5.3	Systemic Safety - Competence of personnel



Appendix I: Volume II new structure

EPAS 20	EPAS 2019-2023 EPAS 2020-2024		020-2024
§	Title	§	Title
7.10	Maintenance organisations	10	Maintenance and continuing airworthiness management
7.11	Regular updates	n/a	reallocated as per operational domain
8.1	Aerodromes operators	12	Aerodromes
8.2	Airlines (AOC holders)	6.1.2	Flight operations - Level playing field
8.3	Manufacturers (Design and Production)	9	Design and Production
8.4	Operators other than airlines (AOC holders): e.g. air taxi, EMS	n/a	all FTL tasks moved to 5.2 - remaining ones reallocated per operational domain
8.5	Maintenance organisations – CAMOs	10	Maintenance and Continuing Airworthiness Management
8.6	Medical requirements	5.2	Systemic Safety - Human Factors and Human performance



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